ISSN: 1017-060X (Print)



ISSN: 1735-8515 (Online)

Bulletin of the

Iranian Mathematical Society

Vol. 43 (2017), No. 7, pp. 2153-2167

Title:

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Author(s):

E. Khojastehnezhad and M. Bidkham

Published by the Iranian Mathematical Society http://bims.ims.ir

Bull. Iranian Math. Soc. Vol. 43 (2017), No. 7, pp. 2153–2167 Online ISSN: 1735-8515

INEQUALITIES FOR THE POLAR DERIVATIVE OF A POLYNOMIAL WITH *S*-FOLD ZEROS AT THE ORIGIN

E. KHOJASTEHNEZHAD AND M. BIDKHAM*

(Communicated by Ali Abkar)

ABSTRACT. Let p(z) be a polynomial of degree n and for a complex number α , let $D_{\alpha}p(z) = np(z) + (\alpha - z)p'(z)$ denote the polar derivative of the polynomial p(z) with respect to α . Dewan et al proved that if p(z) has all its zeros in $|z| \leq k$, $(k \leq 1)$, with s-fold zeros at the origin then for every $\alpha \in \mathbb{C}$ with $|\alpha| \geq k$,

$$\max_{|z|=1} |D_{\alpha}p(z)| \ge \frac{(n+sk)(|\alpha|-k)}{1+k} \max_{|z|=1} |p(z)|.$$

In this paper, we obtain a refinement of the above inequality. Also as an application of our result, we extend some inequalities for polar derivative of a polynomial of degree n which does not vanish in |z| < k, where $k \ge 1$, except s-fold zeros at the origin.

Keywords: Polynomial, inequality, maximum modulus, polar derivative, restricted zeros.

MSC(2010): Primary: 30A10; Secondary: 30C10, 30D15.

1. Introduction and statement of results

According to a well known result as Bernstein's inequality on the derivative of a polynomial p(z) of degree n, we have

(1.1)
$$\max_{|z|=1} |p'(z)| \le n \max_{|z|=1} |p(z)|.$$

The result is best possible and equality holds for a polynomial having all its zeros at the origin (see [4, 16]).

The inequality (1.1) can be sharpened, by considering the class of polynomials having no zeros in |z| < 1.

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In fact, P. Erdös conjectured and later Lax [12] proved that if $p(z) \neq 0$ in

Article electronically published on December 30, 2017.

Received: 18 August 2016, Accepted: 9 January 2017.

 $^{^{*}}$ Corresponding author.

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|z| < 1, then (1.1) can be replaced by

(1.2)
$$\max_{|z|=1} |p'(z)| \le \frac{n}{2} \max_{|z|=1} |p(z)|.$$

If p(z) has all its zeros in $|z| \leq 1$, then it was shown by Turan [17] that

(1.3)
$$\max_{|z|=1} |p'(z)| \ge \frac{n}{2} \max_{|z|=1} |p(z)|$$

As an extension of inequality (1.3) Malik [14], proved that if p(z) has all its zeros in $|z| \le k, k \le 1$, then

(1.4)
$$\max_{|z|=1} |p'(z)| \ge \frac{n}{1+k} \max_{|z|=1} |p(z)|.$$

Aziz and Shah [3, Theorem 3] generalized (1.4) and proved that if p(z) has all its zeros in $|z| \le k \le 1$ with s-fold zeros at the origin, then

(1.5)
$$\max_{|z|=1} |p'(z)| \ge \frac{n+sk}{1+k} \max_{|z|=1} |p(z)|.$$

Govil [9] improved inequality (1.4) and proved that if p(z) is a polynomial of degree n having all its zeros in $|z| \le k$, $k \le 1$, then

(1.6)
$$\max_{|z|=1} |p'(z)| \ge \frac{n}{1+k} \{ \max_{|z|=1} |p(z)| + \frac{1}{k^{n-1}} \min_{|z|=k} |p(z)| \}.$$

As an improvement of inequality (1.2) Dewan and Hans [6] proved that if p(z) is a polynomial of degree *n* having no zeros in |z| < 1, then for any complex number β with $|\beta| \leq 1$ and |z| = 1,

(1.7)
$$|zp'(z) + \frac{n\beta}{2}p(z)| \le \frac{n}{2}\{(|1 + \frac{\beta}{2}| + |\frac{\beta}{2}|)\max_{|z|=1}|p(z)| - (|1 + \frac{\beta}{2}| - |\frac{\beta}{2}|)\min_{|z|=1}|p(z)|\}.$$

Let α be a complex number. For a polynomial p(z) of degree n, $D_{\alpha}p(z)$, the polar derivative of p(z) is defined as

$$D_{\alpha}p(z) = np(z) + (\alpha - z)p'(z),$$

It is easy to see that $D_{\alpha}p(z)$ is a polynomial of degree at most n-1 (for more information, see [1, 5, 8]) and that $D_{\alpha}p(z)$ generalizes the ordinary derivative in the sense that

(1.8)
$$\lim_{\alpha \to \infty} \left[\frac{D_{\alpha} p(z)}{\alpha} \right] = p'(z).$$

For the polar derivative $D_{\alpha}p(z)$, Aziz and Rather [2] generalized the inequality (1.4) to the polar derivative of a polynomial. In fact, they proved that if all

zeros of p(z) lie in $|z| \le k, k \le 1$, then for every real or complex number α with $|\alpha| \ge k$, we get

(1.9)
$$\max_{|z|=1} |D_{\alpha}p(z)| \ge \frac{n}{1+k} (|\alpha|-k) \max_{|z|=1} |p(z)|.$$

As a refinement to inequality (1.9), Govil [10] proved that if p(z) is a polynomial of degree *n* having all zeros in $|z| \leq k$, where $k \leq 1$, then for every real or complex number α with $|\alpha| \geq k$, we have

$$(1.10) \quad \max_{|z|=1} |D_{\alpha}p(z)| \ge \frac{n}{1+k} \{ (|\alpha|-k) \max_{|z|=1} |p(z)| + \frac{(|\alpha|+1)}{k^{n-1}} \min_{|z|=k} |p(z)| \}.$$

As an improvement and generalization of (1.9), Dewan et al [7, Theorem 2] proved that if p(z) has all its zeros in $|z| \le k \le 1$ with s-fold zeros at the origin, then

(1.11)
$$\max_{|z|=1} |D_{\alpha}p(z)| \ge \frac{(n+sk)(|\alpha|-k)}{1+k} \max_{|z|=1} |p(z)|.$$

As an improvement and generalization to the inequalities (1.7) and (1.4), Liman et al [13] proved that if p(z) is a polynomial of degree *n* having no zeros in |z| < 1, then for all real or complex numbers α , β with $|\alpha| \ge 1$, $|\beta| \le 1$ and |z| = 1,

$$|zD_{\alpha}p(z) + n\beta \frac{|\alpha| - 1}{2}p(z)| \le \frac{n}{2} \{ (|\alpha + \beta \frac{|\alpha| - 1}{2}| + |z + \beta \frac{|\alpha| - 1}{2}|) \max_{|z| = 1} |p(z)| \}$$

$$(1.12) \qquad -(|\alpha + \beta \frac{|\alpha| - 1}{2}| - |z + \beta \frac{|\alpha| - 1}{2}|) \min_{|z| = 1} |p(z)| \}.$$

Our first result, Theorem 1.1, is a generalization and refinement of inequalities (1.10) and (1.11) respectively.

Theorem 1.1. Let p(z) be a polynomial of degree n, having all its zeros in $|z| \le k$, where $k \le 1$, with s-fold zeros at the origin, then

(1.13)
$$|zD_{\alpha}p(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}p(z)| \ge k^{-n}|n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}||z|^{n}\min_{|z|=k}|p(z)|,$$

for every real or complex numbers β , α with $|\beta| \leq 1$, $|\alpha| \geq k$ and $|z| \geq 1$.

According to Lemma 2.2, if p(z) is a polynomial of degree n, having all its zeros in $|z| \le k$, $k \le 1$, with s-fold zeros at the origin, then for |z| = 1,

$$|D_{\alpha}p(z)| \ge \frac{(n+sk)(|\alpha|-k)}{1+k}|p(z)|,$$

also for every complex number β with $|\beta| \leq 1$, by choosing suitable argument of β we have

(1.14)
$$|zD_{\alpha}p(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}p(z)| = |zD_{\alpha}p(z)| - |\beta|\frac{(n+sk)(|\alpha|-k)}{1+k}|p(z)|.$$

Combining (1.13) and (1.14), we have

$$|zD_{\alpha}p(z)| - |\beta|\frac{(n+sk)(|\alpha|-k)}{1+k}|p(z)| \ge k^{-n}|n\alpha + \beta\frac{(n+sk)(|\alpha|-k)}{1+k}|\min_{|z|=k}|p(z)|,$$

or

$$|D_{\alpha}p(z)| - |\beta| \frac{(n+sk)(|\alpha|-k)}{1+k} |p(z)| \ge k^{-n} \left(n|\alpha| - |\beta| \frac{(n+sk)(|\alpha|-k)}{1+k} | \right) \min_{|z|=k} |p(z)|.$$

Letting $|\beta| \rightarrow 1$, we have the following result which is a refinement and extension of inequalities (1.10) and (1.11).

Corollary 1.2. If p(z) is a polynomial of degree n having all its zeros in $|z| \le k, k \le 1$, with s-fold zeros at the origin, then we have

$$\max_{\substack{|z|=1}} |D_{\alpha}p(z)| \ge \frac{(n+sk)(|\alpha|-k)}{1+k} \max_{\substack{|z|=1}} |p(z)| + \frac{(n-s)|\alpha|+(n+sk)}{(1+k)k^{n-1}} \min_{\substack{|z|=k}} |p(z)|.$$
(1.15)

Dividing two sides of inequality (1.15) by $|\alpha|$ and letting $|\alpha| \to \infty$, we have the following refinement and generalization of the inequalities (1.5) and (1.6), respectively.

Corollary 1.3. If p(z) is a polynomial of degree n having all its zeros in $|z| \le k, k \le 1$, with s-fold zeros at the origin, then we have

(1.16)
$$\max_{|z|=1} |p'(z)| \ge \frac{n+sk}{1+k} \max_{|z|=1} |p(z)| + \frac{n-s}{(1+k)k^{n-1}} \min_{|z|=k} |p(z)|.$$

Next, as an application of Theorem 1.1, we prove the following generalization of inequality (1.12).

Theorem 1.4. Let p(z) be a polynomial of degree n that does not vanish in $|z| < k \ k \ge 1$, except at s-fold zeros at the origin, then for all $\alpha, \beta \in \mathbb{C}$ with

 $|\alpha| \geq k, \ |\beta| \leq 1 \ and \ |z| = 1, \ we \ have$

$$|zD_{\alpha}p(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}p(z)| \leq \frac{1}{2}[\\ \{k^{-n}|n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}| + \\ k^{-s}|(n-s)z + s\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}| \} \max_{|z|=k} |p(z)| \\ - \{k^{-n}|n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}| - \\ (1.17) \qquad k^{-s}|(n-s)z + s\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}| \} \min_{|z|=k} |p(z)|].$$

If we take s = 0, k = 1 in Theorem 1.4, then the inequality (1.17) reduces to the inequality (1.12)

Dividing two sides of inequality (1.17) by $|\alpha|$ and letting $|\alpha| \to \infty$, we have the following generalization of the inequality (1.7).

Corollary 1.5. Let p(z) be a polynomial of degree *n* that does not vanish in |z| < k, $k \ge 1$, except at s-fold zeros at the origin, then for all $\beta \in \mathbb{C}$ with $|\beta| \le 1$ and |z| = 1, we have

$$\begin{aligned} |zp'(z) + \beta \frac{n+sk}{1+k} p(z)| &\leq \frac{1}{2} [\\ &\{k^{-n}|n+\beta \frac{n+sk}{1+k}| + k^{-s}|s+\beta \frac{n+sk}{1+k}|\} \max_{|z|=k} |p(z)|\\ (1.18) &-\{k^{-n}|n+\beta \frac{n+sk}{1+k}| - k^{-s}|s+\beta \frac{n+sk}{1+k}|\} \min_{|z|=k} |p(z)|]. \end{aligned}$$

Theorem 1.4 simplifies to the following result by taking $\beta = 0$.

Corollary 1.6. Let p(z) be a polynomial of degree *n* that does not vanish in |z| < k, $k \ge 1$, except at s-fold zeros at the origin, then for any $\alpha \in \mathbb{C}$ with $|\alpha| \ge k$ and |z| = 1, we have

$$|D_{\alpha}p(z)| \leq \frac{1}{2} \{ nk^{-n} |\alpha| + k^{-s} |(n-s)z + s\alpha| \max_{|z|=k} |p(z)| - (nk^{-n} |\alpha| - k^{-s} |(n-s)z + s\alpha| \min_{|z|=k} |p(z)| \}.$$

2. Lemmas

For the proofs of these theorems, we need the following lemmas. The first lemma is due to Laguerre [11, 15].

Lemma 2.1. If all the zeros of an n^{th} degree polynomial p(z) lie in a circular region C and w is any zero of $D_{\alpha}p(z)$, then at most one of the points w and α may lie outside C.

Lemma 2.2. If p(z) is a polynomial of degree n, having all its zeros in the closed disk $|z| \leq k \leq 1$, with s-fold zeros at the origin, then for each real or complex number α with $|\alpha| \geq k$ and |z| = 1, we have

(2.1)
$$|D_{\alpha}p(z)| \ge \frac{(n+sk)(|\alpha|-k)}{1+k}|p(z)|.$$

The above lemma is due to K.K. Dewan and A. Mir [7].

Lemma 2.3. If p(z) is a polynomial of degree n with s-fold zeros at the origin, then for all α , $\beta \in \mathbb{C}$ with $|\beta| \leq 1$, $|\alpha| \geq k$ and |z| = 1, we have

(2.2)
$$|zD_{\alpha}p(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}p(z)| \leq |n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}|k^{-n}\max_{|z|=k}|p(z)|$$

Proof. Let $M = \max_{|z|=k} |p(z)|$, if $|\lambda| < 1$, then $|\lambda p(z)| < |M(\frac{z}{k})^n|$ for |z| = k. Therefore it follows from Rouche's theorem that the polynomial $G(z) = M(\frac{z}{k})^n - \lambda p(z)$ has all its zeros in |z| < k with s-fold zeros at the origin. By applying Lemma 2.2, to the polynomial G(z), we have for every real or complex

number α with $|\alpha| \ge k$ and for |z| = 1,

$$|zD_{\alpha}G(z)| \ge \frac{(n+sk)(|\alpha|-k)}{1+k}|G(z)|,$$

or

$$|n\alpha Mk^{-n}z^n - \lambda z D_{\alpha}p(z)| \ge \frac{(n+sk)(|\alpha|-k)}{1+k}|Mk^{-n}z^n - \lambda p(z)|.$$

On the other hand by Lemma 2.1, all the zeros of $D_{\alpha}G(z) = n\alpha Mk^{-n}z^{n-1} - \lambda D_{\alpha}p(z)$ lie in |z| < k, where $|\alpha| \ge k$. Therefore for any β with $|\beta| \le 1$, Rouche's theorem implies that all the zeros of

$$n\alpha Mk^{-n}z^n - \lambda z D_{\alpha}p(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}(Mk^{-n}z^n - \lambda p(z)),$$

lie in |z| < 1. This implies that the polynomial

(2.3)
$$T(z) = (n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k})Mk^{-n}z^{n} - \lambda(zD_{\alpha}p(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}p(z)),$$

will have no zeros in $|z| \ge 1$. This implies that for every real or complex number β with $|\beta| < 1$ and |z| = 1,

$$|zD_{\alpha}p(z) + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}p(z)| \le |n\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}|k^{-n}M.$$

If the inequality (2.4) is not true, then there is a point $z = z_0$ with $|z_0| \ge 1$, such that

$$|n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}|k^{-n}M < |z_0 D_{\alpha} p(z_0) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}p(z_0)|.$$

Take

$$\lambda = \frac{(n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k})k^{-n}M}{z_0 D_{\alpha} p(z_0) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k} p(z_0)},$$

then $|\lambda| < 1$ and with this choice of λ , we have $T(z_0) = 0$ for $|z_0| \ge 1$. But this contradicts the fact that $T(z) \ne 0$ for $|z| \ge 1$. For β with $|\beta| = 1$, the inequality (2.4) follows by continuity. This completes the proof of Lemma 2.3.

Lemma 2.4. If p(z) is a polynomial of degree n with s-fold zeros at the origin, then for all α , $\beta \in \mathbb{C}$ with $|\beta| \leq 1$, $|\alpha| \geq k$ and |z| = 1, we have

$$k^{n+s}|zD_{\alpha}p(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}p(z)|+ |zD_{\alpha}Q(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)| \leq \{k^{s}|n\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}|+ k^{n}|(n-s)z+s\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}|\}\max_{|z|=k}|p(z)|,$$
(2.5)

where $Q(z) = z^{n+s} \overline{p(\frac{k^2}{\overline{z}})}$.

Proof. Let $M = \max_{|z|=k} |p(z)|$. For λ with $|\lambda| > 1$, it follows from Rouche's theorem that the polynomial $G(z) = p(z) - \lambda M k^{-s} z^s$ has no zeros in |z| < k, except at s-fold zeros at the origin. Consequently the polynomial

$$H(z) = z^{n+s} \overline{G(\frac{k^2}{\overline{z}})},$$

has all its zeros in $|z| \leq k$ with s-fold zeros at the origin, also $k^{n+s}|G(z)| = |H(z)|$ for |z| = k. Since all the zeros of H(z) lie in $|z| \leq k$, therefore, for δ with $|\delta| > 1$, by Rouche's theorem all the zeros of $k^{n+s}G(z) + \delta H(z)$ lie in $|z| \leq k$.

Hence by Lemma 2.2 for every real or complex number α with $|\alpha| \ge k$, and |z| = 1, we have

$$\frac{(n+sk)(|\alpha|-k)}{1+k}|k^{n+s}G(z)+\delta H(z)| \le |zD_{\alpha}(k^{n+s}G(z)+\delta H(z))|.$$

Now using a similar argument as that used in the proof of Lemma 2.3, we get for every real or complex number β with $|\beta| \leq 1$ and $|z| \geq 1$,

$$k^{n+s}|zD_{\alpha}G(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}G(z)| \leq$$

$$(2.6) \qquad |zD_{\alpha}H(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}H(z)|.$$

Therefore by using the equality

$$\begin{split} H(z) =& z^{n+s} \overline{G(\frac{k^2}{\overline{z}})} = z^{n+s} \overline{p(\frac{k^2}{\overline{z}})} - \overline{\lambda} M k^s z^n \\ =& Q(z) - \overline{\lambda} M k^s z^n, \end{split}$$

and G(z) in (2.6), we get

$$\begin{aligned} k^{n+s}|(zD_{\alpha}p(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}p(z))-\\ \lambda((n-s)z+s\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k})Mk^{-s}z^{s}| \leq \\ |zD_{\alpha}Q(z)+\frac{\beta(n+s)(|\alpha|-1)}{2}Q(z)-\overline{\lambda}(n\alpha+\frac{\beta(n+s)(|\alpha|-1)}{2})k^{s}Mz^{n}|. \end{aligned}$$

This implies

$$(2.7)$$

$$k^{n+s}|zD_{\alpha}p(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}p(z)| - |\lambda((n-s)z+s\alpha+\beta \frac{(n+sk)(|\alpha|-k)}{1+k})Mk^{-s}z^{s}| \leq |(zD_{\alpha}Q(z)+\beta \frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)) - \overline{\lambda}(n\alpha+\beta \frac{(n+sk)(|\alpha|-k)}{1+k})Mk^{s}z^{n}|.$$

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As $|Q(z)| = k^{n+s} |p(z)|$ for |z| = k, i.e., $\max_{|z|=k} |Q(z)| = k^{n+s} \max_{|z|=k} |p(z)| = k^{n+s}M$, by applying Lemma 2.3 to Q(z), we obtain for |z| = 1,

$$\begin{aligned} |zD_{\alpha}Q(z)+\beta \frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)| < \\ |\lambda||n\alpha+\beta \frac{(n+sk)(|\alpha|-k)}{1+k}|k^{-n}\max_{|z|=k}|Q(z)| \\ &= |\lambda||n\alpha+\beta \frac{(n+sk)(|\alpha|-k)}{1+k}|k^{s}M. \end{aligned}$$

Thus taking suitable choice of argument of λ , we get

$$|zD_{\alpha}Q(z) + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}Q(z) - \overline{\lambda}(n\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k})Mk^{s}z^{n}|$$

$$= |\lambda||n\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}|k^{s}M - \frac{\beta(n+sk)(|\alpha|-k)}{1+k}|Q(z)|.$$

$$(2.8)$$

$$|zD_{\alpha}Q(z) + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}Q(z)|.$$

By combining right hand side of (2.7) and (2.8), we get for |z| = 1 and $|\beta| \le 1$,

$$\begin{aligned} k^{n+s}|zD_{\alpha}p(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}p(z)| - \\ |\lambda((n-s)z+s\alpha+\beta \frac{(n+s)(|\alpha|-1)}{2})Mk^n z^s| &\leq |\lambda| \times \\ |n\alpha+\beta \frac{(n+sk)(|\alpha|-k)}{1+k}|k^sM-|zD_{\alpha}Q(z)+\beta \frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)|, \end{aligned}$$

i.e.,

$$\begin{aligned} k^{n+s}|zD_{\alpha}p(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}p(z)|+\\ |zD_{\alpha}Q(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)| \leq \\ |\lambda|\{k^{s}|n\alpha+\frac{\beta(n+sk)(|\alpha|-k)}{1+k}|+\\ k^{n}|(n-s)z+s\alpha+\frac{\beta(n+sk)(|\alpha|-k)}{1+k}|\}M. \end{aligned}$$

Letting $|\lambda| \to 1$, we have

(2.9)
$$k^{n+s}|zD_{\alpha}p(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}p(z)|+ |zD_{\alpha}Q(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)| \leq \{k^{s}|n\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}|+ k^{n}|(n-s)z+s\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}|\}M.$$

This gives the result.

The following lemma is due to Zireh [18].

Lemma 2.5. If $p(z) = \sum_{\nu=0}^{n} a_{\nu} z^{\nu}$ is a polynomial of degree *n*, having all its zeros in |z| < k, (k > 0), then $m < k^{n} |a_{n}|$, where $m = \min_{|z|=k} |p(z)|$.

3. Proof of the theorems

Proof of Theorem 1.1. If p(z) has a zero on |z| = k, then the inequality (1.11) is trivial. Therefore we assume that p(z) has all its zeros in |z| < k. Let $m = \min_{\substack{|z|=k}} |p(z)|$, then m > 0 and $|p(z)| \ge m$ where |z| = k. Therefore, for $|\lambda| < 1$, it follows from Rouche's theorem and Lemma 2.5 that the polynomial $G(z) = p(z) - \lambda m k^{-n} z^n$ is of degree n and has all its zeros in |z| < k with s-fold zeros at the origin. By using Lemma 2.1, $D_{\alpha}G(z) = D_{\alpha}p(z) - \alpha\lambda m k^{-n} z^{n-1}$, has all its zeros in |z| < k, where $|\alpha| \ge k$. Applying Lemma 2.2 to the polynomial G(z), yields

(3.1)
$$|zD_{\alpha}G(z)| \ge \frac{(n+sk)(|\alpha|-k)}{1+k}|G(z)|, \ |z|=1.$$

Since $zD_{\alpha}G(z)$ has all its zeros in $|z| < k \leq 1$, by using Rouche's theorem, it can be easily verified from (3.1), that the polynomial

$$zD_{\alpha}G(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}G(z),$$

has all its zeros in |z| < 1, where $|\beta| < 1$. Substituting for G(z), we conclude that the polynomial

(3.2)
$$T(z) = (zD_{\alpha}p(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}p(z)) - \lambda mk^{-n}z^{n}(n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}),$$

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will have no zeros in $|z| \ge 1$. This implies for every real or complex number β with $|\beta| < 1$ and $|z| \ge 1$,

$$|zD_{\alpha}p(z) + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}p(z)| \ge mk^{-n}|z^{n}||n\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}||n\alpha + \frac{\beta(n+sk$$

If the inequality (3.3) is not true, then there is a point $z = z_0$ with $|z_0| \ge 1$ such that

$$|z_0 D_{\alpha} p(z_0) + \frac{\beta(n+sk)(|\alpha|-k)}{1+k} p(z_0)| < mk^{-n} |z_0^n| |n\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k} |.$$

Take

$$\lambda = \frac{z_0 D_\alpha p(z_0) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k} p(z_0)}{mk^{-n} z_0^n (n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k})},$$

then $|\lambda| < 1$ and with this choice of λ , we have $T(z_0) = 0$ for $|z_0| \ge 1$. But this contradicts the fact that $T(z) \ne 0$ for $|z| \ge 1$. For β with $|\beta| = 1$, the inequality (3.3) follows by continuity.

This completes the proof of Theorem 1.1.

Proof of Theorem 1.4. Under the assumption of Theorem 1.4, we can write $p(z) = z^s h(z)$, where the polynomial $h(z) \neq 0$ in |z| < k, and thus if $m = \min_{|z|=k} |p(z)|$, then $k^{-s}m \leq |h(z)|$ for $|z| \leq k$. Now for λ with $|\lambda| < 1$, we have

$$|\lambda k^{-s}m| < k^{-s}m \le |h(z)|,$$

where |z| = k.

It follows from Rouche's theorem that the polynomial $h(z) - \lambda k^{-s}m$ has no zero in |z| < k. Hence the polynomial $G(z) = z^s(h(z) - \lambda k^{-s}m) = p(z) - \lambda k^{-s}mz^s$, has no zero in |z| < k except s-fold zeros at the origin. Therefore the polynomial

$$H(z) = z^{n+s} \overline{G(k^2/\overline{z})} = Q(z) - \overline{\lambda} k^s m z^n,$$

will have all its zeros in $|z| \leq k$ with s-fold zeros at the origin, where $Q(z) = z^{n+s}\overline{p(1/\overline{z})}$. Also $|H(z)| = k^{n+s}|G(z)|$ for |z| = k.

Now, using a similar argument as that used in the proof of Lemma 2.4 (inequality (2.6)), for the polynomials H(z) and G(z), we have

$$k^{n+s}|zD_{\alpha}G(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}G(z)| \leq |zD_{\alpha}H(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}H(z)|,$$

where $|\alpha| \ge k$, $|\beta| \le 1$ and |z| = 1. Substituting for G(z) and H(z) in the above inequality, we conclude that for every real or complex numbers α , β ,

with $|\alpha| \ge k$, $|\beta| \le 1$ and |z| = 1,

$$\begin{aligned} k^{n+s} |zD_{\alpha}p(z) - \lambda((n-s)z + s\alpha)k^{-s}mz^{s} + \\ \beta \frac{(n+sk)(|\alpha|-k)}{1+k}(p(z) - \lambda k^{-s}mz^{s})| \leq \\ |zD_{\alpha}Q(z) - \overline{\lambda}\alpha nk^{s}mz^{n} + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}(Q(z) - \overline{\lambda}k^{s}mz^{n})|, \end{aligned}$$

i.e.,

$$k^{n+s}|zD_{\alpha}p(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}p(z)-\lambda((n-s)z+s\alpha+\beta\frac{(n+s)(|\alpha|-1)}{2})k^{-s}mz^{s}| \leq |zD_{\alpha}Q(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)-\frac{1}{\lambda}(n\alpha+\beta\frac{(n+s)(|\alpha|-1)}{2})k^{s}mz^{n}|.$$

$$(3.4)$$

Since all the zeros of Q(z) lie in $|z| \leq 1$ with s-fold zeros at the origin, and $|Q(z)| = k^{n+s} |p(z)|$ for |z| = k, therefore by applying Theorem 1.1 to Q(z), we have

$$|zD_{\alpha}Q(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)| \ge k^{-n}|n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}|\min_{|z|=k}|Q(z)| = |n\alpha + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}|k^{s}m.$$

Hence for an appropriate choice of the argument of λ , we have

$$|zD_{\alpha}Q(z) + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}Q(z) - \overline{\lambda}(n\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k})k^{s}mz^{n}|$$

$$= |zD_{\alpha}Q(z) + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}Q(z)| -$$

$$(3.5) \qquad |\lambda||n\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}|k^{s}m,$$

where |z| = 1. Combining the right hand sides of (3.4) and (3.5), we can rewrite (3.4) as

$$k^{n+s}|zD_{\alpha}p(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}p(z)|-$$

$$|\lambda||(n-s)z+s\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}|k^{n}m \leq |zD_{\alpha}Q(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)|-$$

$$|\lambda||n\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}|k^{s}m.$$
(3.6)

where |z| = 1. Equivalently

$$\begin{aligned} k^{n+s}|zD_{\alpha}p(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}p(z)| &\leq \\ |zD_{\alpha}Q(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)|-\\ |\lambda|\{k^{s}|n\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}|-\\ k^{n}|(n-s)z+s\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}|\}m. \end{aligned}$$

As $|\lambda| \to 1$ we have

$$k^{n+s}|zD_{\alpha}p(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}p(z)| \leq |zD_{\alpha}Q(z)+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}Q(z)| - \{k^{s}|n\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}| - k^{n}|(n-s)z+s\alpha+\beta\frac{(n+sk)(|\alpha|-k)}{1+k}|\}m.$$

It implies for every real or complex number β with $|\beta| \leq 1$ and |z| = 1,

$$2|zD_{\alpha}p(z) + \beta \frac{(n+s)(|\alpha|-1)}{2}p(z)| \leq |zD_{\alpha}p(z) + \frac{\beta(n+s)(|\alpha|-1)}{2}p(z)| + |zD_{\alpha}Q(z) + \frac{\beta(n+s)(|\alpha|-1)}{2}Q(z)| - \{|n\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2}| - |(n-s)z + s\alpha + \beta \frac{(n+s)(|\alpha|-1)}{2}|\}m.$$

This in conjunction with Lemma 2.4 gives for $|\beta| \leq 1$ and |z| = 1,

$$2k^{n+s}|zD_{\alpha}p(z) + \beta \frac{(n+sk)(|\alpha|-k)}{1+k}p(z)| \leq \{k^{s}|n\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}| + k^{n}|(n-s)z + s\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}| \}M - \{k^{s}|n\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}| - k^{n}|(n-s)z + s\alpha + \frac{\beta(n+sk)(|\alpha|-k)}{1+k}| \}m.$$

This completes the proof of Theorem 1.4.

Acknowledgments

The authors wish to sincerely thank the referees, for the careful reading of the paper and for the helpful suggestions and comments.

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(Elahe Khojastehnezhad) DEPARTMENT OF MATHEMATICS, UNIVERSITY OF SEMNAN, SEMNAN, IRAN.

 $E\text{-}mail\ address:\ khojastehnejadelahe@gmail.com$

(Mahmood Bidkham) Department of Mathematics, University of Semnan, Semnan, Iran.

E-mail address: mdbidkham@gmail.com