

## SHAPE OPERATOR OF SLANT SUBMANIFOLDS IN KENMOTSU SPACE FORMS

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ABSTRACT. In this paper, we establish some relations between the sectional curvature and the shape operator and also between the  $k$ -Ricci curvature and the shape operator for slant submanifolds in Kenmotsu space forms.

### 1. Introduction

According to B.Y. Chen, one of the basic problems in submanifold theory is to find simple relationships between the main extrinsic invariants and the main intrinsic invariants of a submanifold. Scalar curvature and Ricci curvature are among the main intrinsic invariants, while the squared mean curvature is the main extrinsic invariant. In [4], B.Y. Chen establishes a relationship between sectional curvature function  $K$  and the shape operator for submanifolds in real space forms. In [5], he also gives a relationship between Ricci curvature and squared mean curvature.

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A contact version of B.Y. Chen's inequality and its applications to slant immersions in a Sasakian space form  $\tilde{M}(c)$  are given in [3]. But there is another interesting class of almost contact metric manifolds, namely Kenmotsu manifolds [7]. In the present paper, we study slant submanifolds of Kenmotsu space forms and establish relations between the sectional curvature and the shape operator and also between the  $k$ -Ricci curvature and the shape operator for slant submanifolds in Kenmotsu space forms.

## 2. Preliminaries

Let  $\tilde{M}$  be an almost contact metric manifold [1] with an almost contact metric structure  $(\phi, \xi, \eta, g)$ , that is,  $\phi$  is a  $(1, 1)$  tensor field,  $\xi$  is a vector field,  $\eta$  is a 1-form and  $g$  is a Riemannian metric on  $\tilde{M}$  such that

$$\phi^2 = -I + \eta \otimes \xi, \quad \eta(\xi) = 1, \quad \phi(\xi) = 0, \quad \eta \circ \phi = 0, \quad (2.1)$$

$$g(\phi X, \phi Y) = g(X, Y) - \eta(X)\eta(Y), \quad (2.2)$$

$$g(X, \phi Y) = -g(\phi X, Y), \quad g(X, \xi) = \eta(X) \quad (2.3)$$

for all  $X, Y \in T\tilde{M}$ .

An almost contact metric manifold is known to be a Kenmotsu manifold [7] if

$$(\tilde{\nabla}_X \phi)Y = g(\phi X, Y)\xi - \eta(Y)\phi X, \quad (2.4)$$

$$\tilde{\nabla}_X \xi = -\phi^2 X = X - \eta(X)\xi, \quad X \in T\tilde{M}, \quad (2.5)$$

for any vector fields  $X, Y$  on  $\tilde{M}$ , where  $\tilde{\nabla}$  denotes the Riemannian connection with respect to  $g$ .

We denote by  $\Phi$  the fundamental 2-form of  $\tilde{M}$ , that is,  $\Phi(X, Y) = g(\phi X, Y)$ , for any vector fields  $X, Y$  on  $\tilde{M}$ . It was proved that the pairing  $(\Phi, \eta)$  defines a locally conformal cosymplectic structure, that is

$$d\Phi = 2\Phi \wedge \eta, \quad d\eta = 0. \quad (2.6)$$

A plane section  $\sigma$  in  $T_p\tilde{M}$  of an almost contact metric manifold  $\tilde{M}$  is called a  $\varphi$ -section if  $\sigma \perp \xi$  and  $\varphi(\sigma) = \sigma$ .  $\tilde{M}$  is of constant  $\varphi$ -sectional curvature if at each point  $p \in \tilde{M}$ , the sectional curvature  $\tilde{K}(\sigma)$  does not depend on the choice of the  $\varphi$ -section  $\sigma$  of  $T_p\tilde{M}$ , and in this case for  $p \in \tilde{M}$  and for any  $\varphi$ -section  $\sigma$  of  $T_p\tilde{M}$ , the function  $c$  defined by  $c(p) = \tilde{K}(\sigma)$  is called the  $\varphi$ -sectional curvature of  $\tilde{M}$ . A Kenmotsu manifold  $\tilde{M}$  with constant  $\varphi$ -sectional curvature  $c$  is said to be a Kenmotsu space form and is denoted by  $\tilde{M}(c)$ .

The curvature tensor  $\tilde{R}$  of a Kenmotsu space form  $\tilde{M}(c)$  is given by [7]

$$\begin{aligned} 4\tilde{R}(X, Y, Z, W) = & (c - 3)[g(X, W)g(Y, Z) - g(X, Z)g(Y, W)] \\ & + (c + 1)[g(\varphi X, W)g(\varphi Y, Z) - g(\varphi X, Z)g(\varphi Y, W) - \\ & 2g(\varphi X, Y)g(\varphi Z, W) + g(X, Z)\eta(Y)\eta(W) - \\ & g(Y, Z)\eta(X)\eta(W) + g(Y, W)\eta(X)\eta(Z) - \\ & g(X, W)\eta(Y)\eta(Z)] \end{aligned} \quad (2.7)$$

for all  $X, Y, Z, W \in T\tilde{M}$ .

Let  $M$  be an  $n$ -dimensional Riemannian manifold. The scalar curvature  $\tau$  at  $p$  is given by  $\tau = \sum_{i < j} K_{ij}$ , where  $K_{ij}$  is the sectional curvature of  $M$  associated with a plane section spanned by  $e_i$  and  $e_j$  at  $p \in M$  for any orthonormal basis  $\{e_1, \dots, e_n\}$  for  $T_pM$ . Now let  $M$  be a submanifold of an  $m$ -dimensional manifold  $\tilde{M}$  equipped with a Riemannian metric  $g$ . The Gauss and Weingarten formulae are given respectively by  $\tilde{\nabla}_X Y = \nabla_X Y + \sigma(X, Y)$  and  $\tilde{\nabla}_X N = -A_N X + \nabla_X^\perp N$  for all  $X, Y \in TM$  and  $N \in T^\perp M$ , where  $\tilde{\nabla}$ ,  $\nabla$  and  $\nabla^\perp$  are respectively the Riemannian, induced Riemannian and induced normal connections in  $\tilde{M}$ ,  $M$  and the normal bundle  $T^\perp M$  of  $M$  respectively, and  $\sigma$  is the second fundamental form related to the shape operator  $A$  by  $g(h(X, Y), N) = g(A_N X, Y)$ . Then the equation of Gauss is given by

$$\begin{aligned} \tilde{R}(X, Y, Z, W) = R(X, Y, Z, W) & + g(h(X, W), h(Y, Z)) \\ & - g(h(X, Z), h(Y, W)) \end{aligned} \quad (2.8)$$

for any vectors  $X, Y, Z, W$  tangent to  $M$ , where  $\tilde{R}$  and  $R$  are the curvature tensors of  $\tilde{M}$  and  $M$  respectively.

The relative null space of  $M$  at a point  $p \in M$  is defined by

$$\mathcal{N}_p = \{X \in T_p M \mid \sigma(X, Y) = 0, \text{ for all } Y \in T_p M\}.$$

Let  $\{e_1, \dots, e_n\}$  be an orthonormal basis of the tangent space  $T_p M$ . The mean curvature vector  $H$  at  $p \in M$  is

$$H = \frac{1}{n} \text{trace}(\sigma) = \frac{1}{n} \sum_{i=1}^n \sigma(e_i, e_i). \quad (2.9)$$

The submanifold  $M$  is totally geodesic in  $\tilde{M}$  if  $\sigma = 0$ , and minimal if  $H = 0$ . If  $\sigma(X, Y) = g(X, Y)H$  for all  $X, Y \in TM$ , then  $M$  is totally umbilical. We put

$$\sigma_{ij}^r = g(\sigma(e_i, e_j), e_r), \quad \|\sigma\|^2 = \sum_{i,j=1}^n g(\sigma(e_i, e_j), \sigma(e_i, e_j)),$$

where  $e_r$  belongs to an orthonormal basis  $\{e_{n+1}, \dots, e_m\}$  of the normal space  $T_p^\perp M$ .

Suppose  $\hat{L}$  is a  $k$ -plane section of  $T_p M$  and  $X$  a unit vector in  $L$ . We choose an orthonormal basis  $\{e_1, \dots, e_k\}$  of  $L$  such that  $e_1 = X$ .

Define the Ricci curvature  $\text{Ric}_L$  of  $L$  at  $X$  by

$$\text{Ric}_L(X) = K_{12} + K_{13} + \dots + K_{1k}, \quad (2.10)$$

where  $K_{ij}$  denotes the sectional curvature of the 2-plane section spanned by  $e_i, e_j$ . We simply called such a curvature a  $k$ -Ricci curvature.

The scalar curvature  $\tau$  of the  $k$ -plane section  $L$  is given by

$$\tau(L) = \sum_{1 \leq i < j \leq k} K_{ij}. \quad (2.11)$$

For each integer  $k$ ,  $2 \leq k \leq n$ , the Riemannian invariant  $\Theta_k$  on an  $n$ -dimensional Riemannian manifold  $M$  is defined by

$$\Theta_k(p) = \frac{1}{k-1} \inf_{L, X} \text{Ric}_L(X), \quad p \in M, \quad (2.12)$$

where  $L$  runs over all  $k$ -plane sections in  $T_p M$  and  $X$  runs over all unit vectors in  $L$ .

From now on, we assume that the dimension of  $M$  is  $n+1$  and that of the ambient manifold  $\tilde{M}$  is  $2m+1$ . We also assume that the structure vector field  $\xi$  is tangent to  $M$ .

For a vector field  $X$  in  $M$ , we put

$$\varphi X = PX + FX, \quad PX \in TM, \quad FX \in T^\perp M. \quad (2.13)$$

For any local orthonormal basis  $\{e_1, e_2, \dots, e_{n+1}\}$  for  $T_p M$ , we can define the squared norm of  $P$  and  $F$  by

$$\|P\|^2 = \sum_{i,j=1}^{n+1} g(e_i, Pe_j)^2, \quad \|F\|^2 = \sum_{i,j=1}^{n+1} g(e_i, Fe_j)^2, \quad (2.14)$$

respectively. It is easy to show that both  $\|P\|^2$  and  $\|F\|^2$  are independent of the choice of the orthonormal basis.

A submanifold  $M$  of an almost contact metric manifold with  $\xi \in TM$  is called a semi-invariant submanifold or a contact CR submanifold [8] if there exists two differentiable distributions  $\mathcal{D}$  and  $\mathcal{D}^\perp$  on  $M$  such that (i)  $TM = \mathcal{D} \oplus \mathcal{D}^\perp \oplus \mathcal{E}$ , (ii) the distribution  $\mathcal{D}$  is invariant by  $\varphi$ , i.e.,  $\varphi(\mathcal{D}) = \mathcal{D}$ , and (iii) the distribution  $\mathcal{D}^\perp$  is anti-invariant by  $\varphi$ , i.e.,  $\varphi(\mathcal{D}^\perp) \subseteq T^\perp M$ .

The submanifold  $M$  tangent to  $\xi$  is said to be invariant or anti-invariant [8] according to  $F = 0$  or  $P = 0$ . Thus, a contact CR-submanifold is invariant or anti-invariant according to  $\mathcal{D}^\perp = \{0\}$  or  $\mathcal{D} = \{0\}$ . A proper contact CR-submanifold is neither invariant nor anti-invariant.

For each non zero vector  $X \in T_p M$ , such that  $X$  is not proportional to  $\xi_p$ , we denote the angle between  $\varphi X$  and  $T_p M$  by  $\theta(X)$ . Then  $M$  is said to be slant [2] and [6] if the angle  $\theta(X)$  is constant, that is, it is independent of the choice of  $p \in M$  and  $X \in T_p M - \{\xi\}$ . The angle  $\theta$  of a slant immersion is called the slant angle of the immersion. Invariant and anti-invariant immersions are slant immersions with slant angle  $\theta = 0$  and  $\theta = \pi/2$  respectively. A proper slant immersion is neither invariant nor anti-invariant.

### 3. Sectional curvature and shape operator

B.Y. Chen establishes a sharp relationship between the shape operator and the sectional curvature for submanifolds in real space forms [5]. In this section, we establish a similar inequality between

the shape operator and the sectional curvature for slant submanifolds in a Kenmotsu space form.

Let  $M$  be an  $(n+1)$ -dimensional  $\theta$ -slant submanifold in a  $(2m+1)$ -dimensional Kenmotsu space form  $\tilde{M}(c)$  such that  $\xi \in TM$ . Let  $p \in M$  and a number

$$b > \frac{c-3}{4} + \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)}$$

such that the sectional curvature  $K \geq b$  at  $p$ .

Now we choose an orthonormal basis

$$\{e_1, \dots, e_{n+1} = \xi, e_{n+2}, \dots, e_{2m+1}\}$$

at  $p$  such that  $e_{n+2}$  is parallel to the mean curvature vector  $H$ , and  $e_1, \dots, e_{n+1}$  diagonalize the shape operator  $A_{n+2}$ . Then we have

$$A_{n+2} = \begin{pmatrix} a_1 & 0 & \cdots & 0 \\ 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_{n+1} \end{pmatrix}, \quad (3.1)$$

$$A_r = (\sigma_{ij}^r), \quad \text{trace } A_r = \sum_{i=1}^{n+1} \sigma_{ii}^r = 0, \\ i, j = 1, \dots, n+1; r = n+3, \dots, 2m+1. \quad (3.2)$$

For  $i \neq j$ , we put

$$u_{ij} = a_i a_j = u_{ji}. \quad (3.3)$$

By Gauss equation, for  $X = Z = e_i$ ,  $Y = W = e_j$ , we have

$$u_{ij} \geq b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} - \sum_{r=n+3}^{2m+1} \left( \sigma_{ii}^r \sigma_{jj}^r - (\sigma_{ij}^r)^2 \right). \quad (3.4)$$

**Lemma 3.1.** For  $u_{ij}$  we have

(a) For any fixed  $i \in \{1, \dots, n+1\}$ , we have

$$\sum_{i \neq j} u_{ij} \geq n \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right).$$

- (b) For distinct  $i, j, k \in \{1, \dots, n+1\}$ , we have  $a_i^2 = u_{ij}u_{ik}/u_{jk}$ .
- (c) For a fixed  $k$ ,  $1 \leq k \leq \left\lfloor \frac{n+1}{2} \right\rfloor$  and for each  $B \in S_k \equiv \{B \subset \{1, \dots, n+1\} : |B| = k\}$ , we have

$$\sum_{j \in B} \sum_{t \in \bar{B}} u_{jt} \geq k(n-k+1) \left( b - \frac{c+3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right),$$

where  $\bar{B}$  is the complement of  $B$  in  $\{1, \dots, n+1\}$ .

- (d) For distinct  $i, j \in \{1, \dots, n+1\}$ , it follows that  $u_{ij} > 0$ .

**Proof.** (a) From (3.2), (3.3) and (3.4), we have

$$\begin{aligned} \sum_{i \neq j} u_{ij} &\geq n \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right) \\ &\quad - \sum_{r=n+3}^{2m+1} \left( \sigma_{ii}^r \left( \sum_{j \neq i} \sigma_{jj}^r \right) - \sum_{j \neq i} (\sigma_{ij}^r)^2 \right) \\ &= n \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right) \\ &\quad - \sum_{r=n+3}^{2m+1} \left( \sigma_{ii}^r (-\sigma_{ii}^r) - \sum_{j \neq i} (\sigma_{ij}^r)^2 \right) \\ &= n \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right) \\ &\quad + \sum_{r=n+3}^{2m+1} \sum_{j=1}^{n+1} (\sigma_{ij}^r)^2 \\ &\geq n \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right) > 0. \end{aligned}$$

- (b) We have  $u_{ij}u_{ik}/u_{jk} = a_i a_j a_i a_k / a_j a_k = a_i^2$ .

(c) Let  $B = \{1, \dots, k\}$  and  $\bar{B} = \{k+1, \dots, n+1\}$ . Then

$$\begin{aligned}
\sum_{j \in B} \sum_{t \in \bar{B}} u_{jt} &\geq k(n-k+1) \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right) \\
&\quad - \sum_{r=n+3}^{2m+1} \left( \sum_{j=1}^k \sum_{t=k+1}^{n+1} [\sigma_{jj}^r \sigma_{tt}^r - (\sigma_{jt}^r)^2] \right) \\
&= k(n-k+1) \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right) \\
&\quad + \sum_{r=n+3}^{2m+1} \left( \sum_{j=1}^k \sum_{t=k+1}^{n+1} (\sigma_{jt}^r)^2 + \sum_{j=1}^k (\sigma_{jj}^r)^2 \right) \\
&\geq k(n-k+1) \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right).
\end{aligned}$$

(d) For  $i \neq j$ , if  $u_{ij} = 0$  then  $a_i = 0$  or  $a_j = 0$ . The statement  $a_i = 0$  implies that  $u_{il} = a_i a_l = 0$  for all  $l \in \{1, \dots, n+1\}$ ,  $l \neq i$ . Then, we get

$$\sum_{j \neq i} u_{ij} = 0,$$

which is a contradiction with (a). Thus, for  $i \neq j$ , it follows that  $u_{ij} \neq 0$ . We assume that  $u_{1 \ n+1} < 0$ . From (b), for  $1 < i < n+1$ , we get  $u_{1i} u_{i \ n+1} < 0$ . Without loss of generality, we may assume

$$\begin{aligned}
u_{12}, \dots, u_{1l}, u_{l+1 \ n+1}, \dots, u_{n \ n+1} &> 0, \\
u_{1 \ l+1}, \dots, u_{1 \ n+1}, u_{2 \ n+1}, \dots, u_{l \ n+1} &< 0,
\end{aligned} \tag{3.5}$$

for some  $\lfloor \frac{n}{2} + 1 \rfloor \leq l \leq n$ . If  $l = n$ , then  $u_{1 \ n+1} + u_{2 \ n+1} + \dots + u_{n \ n+1} < 0$ , which contradicts to (a). Thus,  $l < n$ . From (b), we get

$$a_{n+1}^2 = \frac{u_{i \ n+1} u_{t \ n+1}}{u_{i \ t}} > 0, \tag{3.6}$$

where  $2 \leq i \leq l$ ,  $l+1 \leq t \leq n$ . By (3.5) and (3.6), we obtain  $u_{it} < 0$ , which implies that

$$\sum_{i=1}^l \sum_{t=l+1}^{n+1} u_{it} = \sum_{i=2}^l \sum_{t=l+1}^n u_{it} + \sum_{i=1}^l u_{i \ n+1} + \sum_{t=l+1}^{n+1} u_{1t} < 0,$$



which is a contradiction to (c). Thus (d) is proved.  $\square$

**Theorem 3.2.** *Let  $M$  be an  $(n + 1)$ -dimensional  $\theta$ -slant submanifold in a  $(2m + 1)$ -dimensional Kenmotsu space form  $\tilde{M}(c)$ . If at a point  $p \in M$  there exists a number  $b > \frac{(c-3)}{4} + \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)}$  such that the sectional curvature  $K \geq b$  at  $p$ , then the shape operator  $A_H$  at the mean curvature vector satisfies*

$$A_H > \frac{n}{n+1} \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right) I_n \quad \text{at } p, \quad (3.7)$$

where  $I_n$  denotes the identity map identified with  $\begin{pmatrix} I_n & 0 \\ 0 & 0 \end{pmatrix}$ .

**Proof.** Let  $p \in M$  and a number  $b > \frac{(c-3)}{4} + \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)}$  such that the sectional curvature  $K \geq b$  at  $p$ . We choose an orthonormal basis  $\{e_1, \dots, e_{n+1}, e_{n+2}, \dots, e_{2m+1}\}$  at  $p$  such that  $e_{n+2}$  is parallel to the mean curvature vector  $H$ , and  $e_1, \dots, e_{n+1}$  diagonalize the shape operator  $A_{n+2}$ . Now, from Lemma 3.1 it follows that  $a_1, \dots, a_{n+1}$  have the same sign. We assume that  $a_j > 0$  for all  $j \in \{1, \dots, n+1\}$ . Then

$$\begin{aligned} \sum_{j \neq i} u_{ij} &= a_i (a_1 + \dots + a_{n+1}) - a_i^2 \\ &\geq n \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right). \end{aligned} \quad (3.8)$$

From (3.8) and (3.1), we obtain

$$\begin{aligned} a_i (n+1) \|H\| &\geq n \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right) + a_i^2 \\ &> n \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right), \end{aligned}$$

which implies that

$$a_i \|H\| > \frac{n}{n+1} \left( b - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right).$$

Hence, we get (3.7).  $\square$

In particular, from the above theorem we have the following results.

**Corollary 3.3.** *Let  $M$  be an  $(n+1)$ -dimensional invariant submanifold in a  $(2m+1)$ -dimensional Kenmotsu space form  $\tilde{M}(c)$  such that  $\xi \in TM$ . If at a point  $p \in M$  there exists a number  $b > \frac{c-3}{4} + \frac{c+1}{4(n+1)}$  such that the sectional curvature  $K \geq b$  at  $p$ , then the shape operator  $A_H$  at the mean curvature vector satisfies*

$$A_H > \frac{n}{n+1} \left( b - \frac{c-3}{4} - \frac{c+1}{4(n+1)} \right) I_n \quad \text{at } p. \quad (3.9)$$

**Corollary 3.4.** *Let  $M$  be an  $(n+1)$ -dimensional anti-invariant submanifold isometrically immersed in a  $(2m+1)$ -dimensional Kenmotsu space form  $\tilde{M}(c)$  such that  $\xi \in TM$ . If at a point  $p \in M$  there exists a number  $b > \frac{(c-3)}{4} - \frac{c+1}{2(n+1)}$  such that the sectional curvature  $K \geq b$  at  $p$ , then the shape operator  $A_H$  at the mean curvature vector satisfies*

$$A_H > \frac{n}{n+1} \left( b - \frac{c-3}{4} + \frac{c+1}{2(n+1)} \right) I_n \quad \text{at } p. \quad (3.10)$$

#### 4. $k$ -Ricci curvature and shape operator

In this section, we establish a relation between the shape operator and the  $k$ -Ricci curvature for an  $(n+1)$ -dimensional slant submanifold in a  $(2m+1)$ -dimensional Kenmotsu space form.

**Theorem 4.1.** *Let  $M$  be an  $(n + 1)$ -dimensional  $\theta$ -slant submanifold in a  $(2m + 1)$ -dimensional Kenmotsu space form  $\tilde{M}(c)$  such that  $\xi \in TM$ . Then, for any integer  $k, 2 \leq k \leq n + 1$ , and any point  $p \in M$ , we have*

(1) *If  $\Theta_k(p) \neq \frac{c-3}{4} + \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)}$ , then the shape operator at the mean curvature vector satisfies*

$$A_H > \frac{n}{n+1} \left[ \Theta_k(p) - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right] I_n \quad \text{at } p, \quad (4.1)$$

where  $I_n$  denotes the identity map identified with  $\begin{pmatrix} I_n & 0 \\ 0 & 0 \end{pmatrix}$ .

(2) *If  $\Theta_k(p) = \frac{c-3}{4} + \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)}$ , then  $A_H \geq 0$  at  $p$ .*

(3) *A unit vector  $X \in T_p M$  satisfies*

$$A_H(X) = \frac{n}{n+1} \left[ \Theta_k(p) - \frac{c-3}{4} - \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)} \right] X \quad (4.2)$$

if and only if  $\Theta_k(p) = \frac{c-3}{4} + \frac{(3n \cos^2 \theta - 2n)(c+1)}{4n(n+1)}$  and  $X \in \mathcal{N}_p$ .

**Proof.** Let  $\{e_1, \dots, e_{n+1} = \xi\}$  be an orthonormal basis of  $T_p M$ . Denote by  $L_{i_1 \dots i_k}$  the  $k$ -plane section spanned by  $e_{i_1}, \dots, e_{i_k}$ . It follows from (2.10) and (2.11) that

$$\tau(L_{i_1 \dots i_k}) = \frac{1}{2} \sum_{i \in \{i_1, \dots, i_k\}} \text{Ric}_{L_{i_1 \dots i_k}}(e_i), \quad (4.3)$$

$$\tau(p) = \frac{1}{C_{n-2}^{k-2}} \sum_{1 \leq i_1 < \dots < i_k \leq n} \tau(L_{i_1 \dots i_k}). \quad (4.4)$$

Combining (2.12), (4.3) and (4.4), we find

$$\tau(p) \geq \frac{n(n+1)}{2} \Theta_k(p). \quad (4.5)$$

From the equation of Gauss, for  $X = Z = e_i$ ,  $Y = W = e_j$ , by summing over  $\{1, 2, \dots, n+1\}$  with respect to  $i$  and  $j$  ( $i \neq j$ ), we obtain

$$(n+1)^2 \|H\|^2 = \|\sigma\|^2 + 2\tau - \frac{n(n+1)}{4}(c-3) - \frac{(3n \cos^2\theta - 2n)(c+1)}{4}. \quad (4.6)$$

Now we choose an orthonormal basis

$$\{e_1, \dots, e_{n+1} = \xi, e_{n+2}, \dots, e_{2m+1}\}$$

at  $p$  such that  $e_{n+2}$  is parallel to the mean curvature vector  $H(p)$ , and  $e_1, \dots, e_{n+1}$  diagonalize the shape operator  $A_{n+2}$ . Then we have the relations (3.1), (3.2) and (3.4). From (4.6) we get

$$(n+1)^2 \|H\|^2 = 2\tau + \sum_{i=1}^{n+1} a_i^2 + \sum_{r=n+3}^{2m+1} \sum_{i,j=1}^{n+1} (\sigma_{ij}^r)^2 - \frac{n(n+1)}{4}(c-3) - \frac{(3n \cos^2\theta - 2n)(c+1)}{4}. \quad (4.7)$$

On the other hand, since

$$0 \leq \sum_{i<j} (a_i - a_j)^2 = n \sum_i a_i^2 - 2 \sum_{i<j} a_i a_j,$$

we obtain

$$(n+1)^2 \|H\|^2 = \left(\sum_{i=1}^{n+1} a_i\right)^2 = \sum_{i=1}^{n+1} a_i^2 + 2 \sum_{i<j} a_i a_j \leq (n+1) \sum_{i=1}^{n+1} a_i^2,$$

which implies

$$\sum_{i=1}^{n+1} a_i^2 \geq (n+1) \|H\|^2. \quad (4.8)$$

From (4.7) and (4.8), we have

$$(n+1)^2 \|H\|^2 \geq 2\tau + (n+1) \|H\|^2 - \frac{n(n+1)}{4}(c-3) - \frac{(3n \cos^2\theta - 2n)(c+1)}{4}, \quad (4.9)$$

or equivalently

$$\|H\|^2 \geq \frac{2\tau}{n(n+1)} - \frac{c-3}{4} - \frac{(3n \cos^2\theta - 2n)(c+1)}{4n(n+1)}. \quad (4.10)$$

From (29) and (34), we have

$$\|H\|^2(p) \geq \Theta_k(p) - \frac{c-3}{4} - \frac{(3n \cos^2\theta - 2n)(c+1)}{4n(n+1)}. \quad (4.11)$$

This shows that  $H(p) = 0$  may occur only when  $\Theta_k(p) \leq \frac{c-3}{4} + \frac{(3n \cos^2\theta - 2n)(c+1)}{4n(n+1)}$ . Consequently, if  $H(p) = 0$ , statements (1) and (2) hold automatically. Therefore, without loss of generality, we assume  $H(p) \neq 0$ . From the Gauss equation we get

$$\begin{aligned} a_i a_j = K_{ij} - \frac{c-3}{4} - \frac{(3n \cos^2\theta - 2n)(c+1)}{4n(n+1)} \\ - \sum_{r=n+3}^{2m+1} \{\sigma_{ii}^r \sigma_{jj}^r - (\sigma_{ij}^r)^2\}. \end{aligned} \quad (4.12)$$

From (4.12) we have

$$\begin{aligned} a_1(a_{i_2} + \cdots + a_{i_k}) = & \operatorname{Ric}_{L_{i_2 \cdots i_k}} - \frac{(k-1)(c-3)}{4} \\ & - \frac{(3n \cos^2\theta - 2n)(k-1)(c+1)}{4n(n+1)} \\ & + \sum_{r=n+3}^{2m+1} \sum_{j=2}^k \{(\sigma_{1i_j}^r)^2 - \sigma_{11}^r \sigma_{i_j i_j}^r\}, \end{aligned} \quad (4.13)$$

which yields

$$\begin{aligned} a_1(a_2 + \cdots + a_{n+1}) = & \frac{1}{\binom{n}{k-1}} \sum_{2 \leq i_2 < \cdots < i_k \leq n+1} \operatorname{Ric}_{L_{i_2 \cdots i_k}}(e_1) - \frac{n(c-3)}{4} \\ & - \frac{(3n \cos^2\theta - 2n)(c+1)}{4(n+1)} + \sum_{r=n+3}^{2m+1} \sum_{j=1}^{n+1} (\sigma_{1j}^r)^2. \end{aligned} \quad (4.14)$$

From (2.12) and (4.14) we have

$$a_1(a_2 + \cdots + a_{n+1}) \geq n[\Theta_k(p) - \frac{(3n \cos^2\theta - 2n)(c+1)}{4n(n+1)}]. \quad (4.15)$$

Then

$$\begin{aligned} a_1(a_1 + \cdots + a_{n+1}) &= a_1^2 + a_1(a_2 + \cdots + a_{n+1}) \\ &\geq a_1^2 + n[\Theta_k(p) - \frac{c-3}{4} - \frac{(3n \cos^2\theta - 2n)(c+1)}{4n(n+1)}] \\ &\geq n[\Theta_k(p) - \frac{c-3}{4} - \frac{(3n \cos^2\theta - 2n)(c+1)}{4n(n+1)}]. \end{aligned} \quad (4.16)$$

Similar inequalities hold when 1 is changed by  $j \in \{2, \dots, n+1\}$ . So we have

$$a_j(a_1 + \cdots + a_{n+1}) \geq n[\Theta_k(p) - \frac{c-3}{4} - \frac{(3n \cos^2\theta - 2n)(c+1)}{4n(n+1)}] \quad (4.17)$$

for  $i \in \{2, \dots, n+1\}$ . Then we can get

$$A_H > \frac{n}{n+1} [\Theta_k(p) - \frac{c-3}{4} - \frac{(3n \cos^2\theta - 2n)(c+1)}{4n(n+1)}] I_n \quad \text{at } p. \quad (4.18)$$

The equality does not hold because  $H(p) \neq 0$ . So (4.1) is valid. The statement (2) is obvious.

(3) Let  $X \in T_p M$  be a unit vector satisfying (4.2). By (4.14) and (4.16) we have  $a_1 = 0$  and  $\sigma_{1j}^r = 0$  for any  $j \in \{2, \dots, n+1\}$ ,  $r \in \{n+3, \dots, 2m+1\}$ . It follows that  $\Theta_k(p) = \frac{c-3}{4} + \frac{(3n \cos^2\theta - 2n)(c+1)}{4n(n+1)}$  and  $X \in \mathcal{N}_p$ . The converse is clear. This completes the proof of the Theorem 4.1.  $\square$

**Corollary 4.2.** *Let  $M$  be an  $(n+1)$ -dimensional invariant submanifold in a  $(2m+1)$ -dimensional Kenmotsu space form  $\tilde{M}(c)$ . Then, for any integer  $k, 2 \leq k \leq n+1$ , and any point  $p \in M$ , we have*

(1) If  $\Theta_k(p) \neq \frac{c-3}{4} + \frac{(c+1)}{4(n+1)}$ , then the shape operator at the mean curvature vector satisfies

$$A_H > \frac{n}{n+1} \left[ \Theta_k(p) - \frac{c-3}{4} - \frac{(c+1)}{4(n+1)} \right] I_n \quad \text{at } p. \quad (4.19)$$

(2) If  $\Theta_k(p) = \frac{c-3}{4} + \frac{(c+1)}{4(n+1)}$ , then  $A_H \geq 0$  at  $p$ .

(3) A unit vector  $X \in T_p M$  satisfies

$$A_H(X) = \frac{n}{n+1} \left[ \Theta_k(p) - \frac{c-3}{4} - \frac{(c+1)}{4(n+1)} \right] X \quad (4.20)$$

if and only if  $\Theta_k(p) = \frac{c-3}{4} + \frac{(c+1)}{4(n+1)}$  and  $X \in \mathcal{N}_p$ .

(4)  $A_H = \frac{n}{n+1} \left[ \Theta_k(p) - \frac{c-3}{4} - \frac{(c+1)}{4(n+1)} \right] I_n$  at  $p$  if and only if  $p$  is a totally geodesic point.

**Corollary 4.3.** Let  $M$  be an  $(n+1)$ -dimensional anti-invariant submanifold in a  $(2m+1)$ -dimensional Kenmotsu space form  $\tilde{M}(c)$ . Then, for any integer  $k, 2 \leq k \leq n+1$ , and any point  $p \in M$ , we have

(1) If  $\Theta_k(p) \neq \frac{c-3}{4} - \frac{c+1}{2(n+1)}$ , then the shape operator at the mean curvature vector satisfies

$$A_H > \frac{n}{n+1} \left[ \Theta_k(p) - \frac{c-3}{4} + \frac{c+1}{2(n+1)} \right] I_n \quad \text{at } p. \quad (4.21)$$

(2) If  $\Theta_k(p) = \frac{c-3}{4} - \frac{c+1}{2(n+1)}$ , then  $A_H \geq 0$  at  $p$ .

(3) A unit vector  $X \in T_p M$  satisfies

$$A_H(X) = \frac{n}{n+1} \left[ \Theta_k(p) - \frac{c-3}{4} + \frac{c+1}{2(n+1)} \right] X \quad (4.22)$$

if and only if  $\Theta_k(p) = \frac{c-3}{4} - \frac{c+1}{2(n+1)}$  and  $X \in \mathcal{N}_p$ .

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