

## SOME HOMOLOGICAL PROPERTIES OF AMALGAMATED DUPLICATION OF A RING ALONG AN IDEAL

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**ABSTRACT.** We investigate the transfer of some homological properties from a ring  $R$  to its amalgamated duplication along some ideal  $I$  of  $R$   $R \bowtie I$ , and then generate new and original families of rings with these properties.

### 1. Introduction

Let  $R$  be a commutative ring with unit element 1 and let  $I$  be a proper ideal of  $R$ . The amalgamated duplication of a ring  $R$  along an ideal  $I$  is a ring that is defined as the following subring with unit element  $(1, 1)$  of  $R \times R$ :

$$R \bowtie I := \{(r, r + i) / r \in R, i \in I\}.$$

This construction has been studied, in the general case, and from different points of view of pullbacks, by D'Anna and Fontana [7]. Also, D'Anna and Fontana, [5] have considered the case of the amalgamated duplication of a ring, not necessarily in a Noetherian setting, along a multiplicative-canonical ideal in the sense of Heinzer-Huckaba-Papick

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[10]. In [6], D'Anna has studied some properties of  $R \bowtie I$ , in order to construct reduced Gorenstein rings associated to Cohen-Macaulay rings and has applied this construction to curve singularities. On the other hand, Maimani and Yassemi [15] have studied the diameter and girth of the zero-divisor graph of the ring  $R \bowtie I$ . For instance, see [5, 6, 7, 15].

Let  $M$  be an  $R$ -module, the idealization  $R \ltimes M$  (also called the trivial extension), introduced by Nagata in 1956 (cf. [16]) is defined as the  $R$ -module  $R \oplus M$  with multiplication defined by  $(r, m)(s, n) := (rs, rn + sm)$ . For instance, see [8, 9, 11, 12].

When  $I^2 = 0$ , the new construction  $R \bowtie I$  coincides with the idealization  $R \ltimes I$ . One main difference of amalgamated-duplication, with respect to idealization, is that the ring  $R \bowtie I$  can be a reduced ring (and in fact, it is always reduced if  $R$  is a domain).

For two rings  $A \subset B$ , we say that  $A$  is a module retract (or a subring retract) of  $B$  if there exists an  $A$ -module homomorphism  $\varphi : B \rightarrow A$  such that  $\varphi|_A = id|_A$ . In this case,  $\varphi$  is called a module retraction map. If such a map  $\varphi$  exists, then  $B$  contains  $A$  as an  $A$ -module direct summand. We can easily show that  $R$  is a module retract of  $R \bowtie I$ , where the module retraction map  $\varphi$  is defined by  $\varphi(r, r + i) := r$ .

Here, we study the transfer of some homological properties from a ring  $R$  to the ring  $R \bowtie I$ . Specially, we prove that  $R \bowtie I$  is a von Neumann regular ring (resp., a perfect ring) if and only if so is  $R$ . Also, we prove that  $\text{gldim}(R \bowtie I) = \infty$  if  $R$  is a domain and  $I$  is a principal ideal of  $R$ .

Recall that if  $R$  is a ring and  $M$  is an  $R$ -module, as usual we use  $\text{pd}_R(M)$  and  $\text{fd}_R(M)$  to denote the usual projective and flat dimensions of  $M$ , respectively. The classical global and weak dimensions of  $R$  are respectively denoted by  $\text{gldim}(R)$  and  $\text{wdim}(R)$ . Also, the Krull dimension of  $R$  is denoted by  $\text{dim}(R)$ .

## 2. Main results

Let  $R$  be a commutative ring with identity element 1 and let  $I$  be an ideal of  $R$ . Recall that  $R \bowtie I := \{(r, s)/r, s \in R, s - r \in I\}$ . It is easy

to check that  $R \bowtie I$  is a subring with unit element  $(1, 1)$  of  $R \times R$  (with the usual componentwise operations) and that  $R \bowtie I = \{(r, r + i)/r \in R, i \in I\}$ .

It is easy to see that if  $\pi_i (i = 1, 2)$  are the projections of  $R \times R$  on  $R$ , then  $\pi_i(R \bowtie I) = R$ , and hence if  $O_i := \ker(\pi_i \setminus R \bowtie I)$ , then  $R \bowtie I/O_i \cong R$ . Moreover,  $O_1 = \{(0, i), i \in I\}$ ,  $O_2 = \{(i, 0), i \in I\}$  and  $O_1 \cap O_2 = (0)$ .

We begin by studying the transfer of von Neumann regular property.

**Theorem 2.1.** *Let  $R$  be a commutative ring and let  $I$  be a proper ideal of  $R$ . Then,  $R$  is a von Neumann regular ring if and only if  $R \bowtie I$  is a von Neumann regular ring.*

The proof will use the following Lemma.

**Lemma 2.2.** [7, Theorem 3.5]

- (1) *Let  $R$  be a commutative ring and let  $I$  be an ideal of  $R$ . Let  $P$  be a prime ideal of  $R$  and set*

$$\begin{aligned} P_0 &= \{(p, p + i)/p \in P, i \in I \cap P\}, \\ P_1 &= \{(p, p + i)/p \in P, i \in I\}, \end{aligned}$$

and  $P_2 = \{(p + i, p)/p \in P, i \in I\}$

- *If  $I \subseteq P$ , then  $P_0 = P_1 = P_2$  is a prime ideal of  $R \bowtie I$  and it is the unique prime ideal of  $R \bowtie I$  lying over  $P$ .*
  - *If  $I \not\subseteq P$ , then  $P_1 \neq P_2$ ,  $P_1 \cap P_2 = P_0$  and  $P_1$  and  $P_2$  are the only prime ideals of  $R \bowtie I$  lying over  $P$ .*
- (2) *Let  $Q$  be a prime ideal of  $R \bowtie I$  and let  $O_1 = \{(0, i)/i \in I\}$ . Two cases are possible: either  $Q \not\supseteq O_1$  or  $Q \supseteq O_1$ .*

**a:** *If  $Q \not\supseteq O_1$ , then there exists a unique prime ideal  $P$  of  $R$  ( $I \not\subseteq P$ ) such that*

$$Q = P_2 = \{(p + i, p)/p \in P, i \in I\}.$$

**b:** *If  $Q \supseteq O_1$ , then there exists a unique prime ideal  $P$  of  $R$  such that*

$$Q = P_1 = \{(p, p + i)/p \in P, i \in I\}.$$

*Proof of Theorem 2.1.* Assume that  $R$  is a von Neumann regular ring. Then,  $R$  is reduced and so  $R \bowtie I$  is reduced by [7, Theorem 3.5 (a)(vi)].

It remains to show that  $\dim(R \bowtie I) = 0$  by [9, Remark, p. 5]. Let  $Q$  be a prime ideal of  $R \bowtie I$ . If  $P = Q \cap R$ , then  $Q \in \{P_1, P_2\}$  (by Lemma 2.2(2)). But,  $P$  is a maximal ideal of  $R$ , since  $R$  is a von Neumann regular ring. Then,  $P_1$  and  $P_2$  are maximal ideals of  $R \bowtie I$  (by [7, Theorem 3.5 (a)(vi)]). Hence,  $Q$  is a maximal ideal of  $R \bowtie I$ , as desired.

Conversely, assume that  $R \bowtie I$  is a von Neumann regular ring. By [7, Theorem 3.5 (a)(vi)],  $R$  is reduced. Let  $P$  be a prime ideal of  $R$ . By Lemma 2.2(1),  $P \bowtie I = \{(p, p+i)/p \in P, i \in I\}$  is a prime ideal of  $R \bowtie I$ . From [9, p. 7], we get  $P \bowtie I$  to be a maximal ideal of  $R \bowtie I$ , and hence  $P$  is a maximal ideal of  $R$ . Therefore,  $\dim(R) = 0$ , and so  $R$  is a von Neumann regular ring.  $\square$

A ring  $R$  is called semisimple if every  $R$ -module is projective, that is,  $\text{gldim}(R) = 0$  (see [8, P. 26]). Recall that a ring is semisimple if and only if it is Noetherian von Neumann regular by [8, Theorems (1.4.2, 1.4.6, and 1.3.10(2))].

**Corollary 2.3.** *Let  $R$  be a commutative ring and let  $I$  be a proper ideal of  $R$ . Then,  $R$  is a semisimple ring if and only if  $R \bowtie I$  is a semisimple ring.*

*Proof.* Assume that  $R$  be a semisimple ring. Then,  $R$  is a Noetherian von Neumann regular ring. By Theorem 2.1,  $R \bowtie I$  is a von Neumann regular ring and by [7, Corollary 3.3],  $R \bowtie I$  is Noetherian. Therefore,  $R \bowtie I$  is semisimple.

Conversely, assume that  $R \bowtie I$  is semisimple. Then,  $R \bowtie I$  is a Noetherian von Neumann regular ring, and so  $R$  is a von Neumann regular ring (by Theorem 2.1) and Noetherian (by [7, Corollary 3.3]). Hence,  $R$  is semisimple.  $\square$

A ring  $R$  is called a stably coherent ring if for every positive integer  $n$ , the polynomial ring in  $n$  variables over  $R$  is a coherent ring. Recall that a ring  $R$  is called a coherent ring if every finitely generated ideal of  $R$  is finitely presented.

**Corollary 2.4.** *Let  $R$  be a commutative ring and let  $I$  be a proper ideal of  $R$ . If  $R$  is a von Neumann regular ring, then  $R \bowtie I$  is a stably coherent ring.*

*Proof.* Use Theorem 2.1 and [8, Theorem 7.3.1].  $\square$

Now, we are able to construct a new class of non-Noetherian von Neumann regular rings.

**Example 2.5.** *Let  $R$  be a non-Noetherian von Neumann regular ring and  $I$  be a proper ideal of  $R$ . Then,  $R \bowtie I$  is a non-Noetherian von Neumann regular ring by [7, Corollary 3.3] and Theorem 2.1.*

We recall that a ring  $R$  is called a perfect ring if every flat  $R$ -module is a projective  $R$ -module (see [1]). Secondly, we study the transfer of perfect property.

**Theorem 2.6.** *Let  $R$  be a commutative ring and let  $I$  be a proper ideal of  $R$ . Then,  $R$  is a perfect ring if and only if  $R \bowtie I$  is a perfect ring.*

To prove Theorem 2.6, we need the following lemmas.

**Lemma 2.7.** ([13, Lemma 2.5.(2)]) *Let  $(R_i)_{i=1,2}$  be a family of rings and  $E_i$  be an  $R_i$ -module, for  $i = 1, 2$ .*

*Then,  $pd_{R_1 \times R_2}(E_1 \times E_2) = \sup\{pd_{R_1}(E_1), pd_{R_2}(E_2)\}$ .*

**Lemma 2.8.** *Let  $(R_i)_{i=1,2}$  be a family of rings and  $E_i$  be an  $R_i$ -module for  $i = 1, 2$ . Then,  $fd_{R_1 \times R_2}(E_1 \times E_2) = \sup\{fd_{R_1}(E_1), fd_{R_2}(E_2)\}$ .*

*Proof.* The proof is analogous to the proof of Lemma 2.7. □

**Lemma 2.9.** *Let  $(R_i)_{i=1, \dots, m}$  be a family of rings. Then,  $\prod_{i=1}^m R_i$  is a perfect ring, if and only if  $R_i$  is a perfect ring, for each  $i = 1, \dots, m$ .*

*Proof.* The proof is done by induction on  $m$  and it suffices to check it for  $m = 2$ . Let  $R_1$  and  $R_2$  be two rings such that  $R_1 \times R_2$  is perfect. Let  $E_1$  be a flat  $R_1$ -module and let  $E_2$  be a flat  $R_2$ -module. By Lemma 2.8,  $E_1 \times E_2$  is a flat  $(R_1 \times R_2)$ -module, and so it is a projective  $(R_1 \times R_2)$ -module, since  $R_1 \times R_2$  is a perfect ring. Hence,  $E_1$  is a projective  $R_1$ -module, and  $E_2$  is a projective  $R_2$ -module by Lemma 2.7; this means that  $R_1$  and  $R_2$  are perfect rings.

Conversely, assume that  $R_1$  and  $R_2$  are two perfect rings. Let  $E_1 \times E_2$  be a flat  $(R_1 \times R_2)$ -module, where  $E_i$  is an  $R_i$ -module, for  $i = 1, 2$ . By Lemma 2.8,  $E_1$  is a flat  $R_1$ -module and let  $E_2$  be a flat  $R_2$ -module; so,  $E_1$  is a projective  $R_1$ -module and  $E_2$  is a projective  $R_2$ -module. Therefore,  $E_1 \times E_2$  be a projective  $(R_1 \times R_2)$ -module by Lemma 2.7; this means that  $R_1 \times R_2$  is a perfect rings. □

**Lemma 2.10.** *Let  $R$  be a commutative ring and let  $I$  be a proper ideal of  $R$ . Then,*

- (1) an  $(R \bowtie I)$ -module  $M$  is projective if and only if  $M \otimes_{R \bowtie I} (R \times R)$  is a projective  $(R \times R)$ -module and  $M/O_1M$  is a projective  $R$ -module,
- (2) an  $(R \bowtie I)$ -module  $M$  is flat if and only if  $M \otimes_{R \bowtie I} (R \times R)$  is a flat  $(R \times R)$ -module and  $M/O_1M$  is a flat  $R$ -module.

*Proof.* Note that  $R \bowtie I$  is a subring of  $R \times R$  and  $O_1$  is a common ideal of  $R \bowtie I$  and  $R \times R$  by [7, Proposition 3.1]. The result follows from [8, Theorem 5.1.1].  $\square$

*Proof of Theorem 2.6.* Assume that  $R$  is a perfect ring and let  $M$  be a flat  $(R \bowtie I)$ -module. By Lemma 2.10(2),  $M \otimes_{R \bowtie I} (R \times R)$  is a flat  $(R \times R)$ -module and  $M/O_1M$  is a flat  $R$ -module. Then,  $M \otimes_{R \bowtie I} (R \times R)$  is a projective  $(R \times R)$ -module (since  $R \times R$  is perfect, by Lemma 2.9), and  $M/O_1M$  is a projective  $R$ -module, since  $R$  is perfect. By Lemma 2.10(1),  $M$  is a projective  $(R \bowtie I)$ -module, and so  $R \bowtie I$  is a perfect ring.

Conversely, assume that  $R \bowtie I$  is a perfect ring and let  $E$  be a flat  $R$ -module. Then,  $E \otimes_R (R \bowtie I)$  is a flat  $(R \bowtie I)$ -module, and so it is a projective  $(R \bowtie I)$ -module, since  $R \bowtie I$  is a perfect ring. In addition, for any  $R$ -module  $M$  and any  $n \geq 1$ , we have

$$\text{Ext}_R^n(E, M \otimes_R (R \bowtie I)) \cong \text{Ext}_R^n(E \otimes_R (R \bowtie I), M \otimes_R (R \bowtie I))$$

(see [3, P. 118]), and then  $\text{Ext}_R^n(E, M \otimes_R (R \bowtie I)) = 0$ . Since  $M$  is a direct summand of  $M \otimes_R (R \bowtie I)$  because  $R$  is a module retract of  $R \bowtie I$ ,  $\text{Ext}_R^n(E, M) = 0$ , for all  $n \geq 1$  and all  $R$ -modules  $M$ . This means that  $E$  is a projective  $R$ -module, and so  $R$  is a perfect ring.  $\square$

We say that a ring  $R$  is Steinitz if any linearly independent subset of a free  $R$ -module  $F$  can be extended to a basis of  $F$  by adjoining elements of a given basis. In [4, Proposition 5.4], Cox and Pendleton showed that Steinitz rings are precisely the perfect local rings.

By Theorem 2.6 and since  $R \bowtie I$  is local if and only if  $R$  is local, we obtain the following result.

**Corollary 2.11.** *Let  $R$  be a commutative ring and  $I$  be a proper ideal of  $R$ . Then,  $R$  is a Steinitz ring if and only if  $R \bowtie I$  is a Steinitz ring.*

**Example 2.12.** *Let  $R = K[X]/(X^2)$ , where  $K$  is a field and  $X$  is indeterminate. Then,  $(K[X]/(X^2)) \bowtie I$  is a Steinitz ring, where  $I := X(K[X]/(X^2))$ .*

For a nonnegative integer  $n$ , an  $R$ -module  $E$  is  $n$ -presented if there is an exact sequence  $F_n \rightarrow F_{n-1} \rightarrow \dots \rightarrow F_0 \rightarrow E \rightarrow 0$ , in which each  $F_i$  is a finitely generated free  $R$ -module. In particular, “0-presented” means finitely generated and “1-presented” means finitely presented.

Given nonnegative integers  $n$  and  $d$ , a ring  $R$  is called an  $(n, d)$ -ring if every  $n$ -presented  $R$ -module has projective dimension  $\leq d$ ; and  $R$  is called a weak  $(n, d)$ -ring if every  $n$ -presented cyclic  $R$ -module has projective dimension  $\leq d$  (equivalently, if every  $(n - 1)$ -presented ideal of  $R$  has projective dimension  $\leq d - 1$ ). For instance, the  $(0, 1)$ -domains are the Dedekind domains, the  $(1, 1)$ -domains are the Prüfer domains, and the  $(1, 0)$ -rings are the von Neumann regular rings; see, for instance, [2, 11, 12, 13, 14].

Now, we give a wide class of rings which are not weak  $(n, d)$ -rings (and so not  $(n, d)$ -rings) for positive integers  $n$  and  $d$ .

**Theorem 2.13.** *Let  $R$  be an integral domain and let  $I(\neq 0)$  be a principal ideal of  $R$ . Then,  $R \bowtie I$  is not a weak  $(n, d)$ -rings (and so is not  $(n, d)$ -rings) for each positive integers  $n$  and  $d$ . In particular,  $\text{wdim}(R \bowtie I) = \text{gldim}(R \bowtie I) = \infty$ .*

To prove theorem 2.13, we need the following lemma.

**Lemma 2.14.** *Let  $R$  be a commutative ring and let  $I(\neq 0)$  be a principal ideal of  $R$ . Then,  $O_1 = \{(0, i), i \in I\}$  and  $O_2 = \{(i, 0), i \in I\}$  are principal ideals of  $R \bowtie I$ .*

*Proof.* Let  $(0, i)$  be an element of  $O_1$ . Since  $I$  is a principal ideal of  $R$ , there exists  $a \in I$  such that  $I = Ra$ , and so  $(0, i) = (0, ra) = (r + j, r)(0, a)$ , for some  $r \in R$  and for all  $j \in I$ . Hence,  $O_1$  is a principal ideal of  $R \bowtie I$ , generated by  $(0, a)$ . Also,  $O_2$  is a principal ideal, generated by  $(a, 0)$ , by the same argument, as desired.  $\square$

*Proof of Theorem 2.13.* Let  $a \in I$  such that  $I = Ra$ . By Lemma 2.14,  $O_1$  and  $O_2$  are principal ideals of  $R \bowtie I$ . Consider the short exact sequence of  $R \bowtie I$ -modules:

$$(1) \quad 0 \rightarrow \ker(u) \rightarrow R \bowtie I \xrightarrow{u} O_1 \rightarrow 0,$$

where  $u(r, r + i) = (r, r + i)(0, a) = (0, (r + i)a)$ . Then,  $\ker(u) = \{(r, 0) \in R \bowtie I / r \in I\} = O_2$ . Consider the short exact sequence of  $R \bowtie I$ -modules:

$$(2) \quad 0 \rightarrow \ker(v) \rightarrow R \bowtie I \xrightarrow{v} O_2 \rightarrow 0,$$

where  $v(r, r + i) = (r, r + i)(a, 0) = (ra, 0)$ . Then,  $\ker(v) = \{(0, i) \in R \bowtie I / i \in I\} = O_1$ . Therefore,  $O_1$  (resp.,  $O_2$ ) is  $m$ -presented for each positive integer  $m$  by the above two exact sequences. It remains to show that  $pd_{R \bowtie I}(O_1) = \infty$  (or  $pd_{R \bowtie I}(O_2) = \infty$ ).

We claim that  $O_1$  and  $O_2$  are not projective. Deny. Then,  $O_1$  is projective and so the short exact sequence (1) splits. Then,  $O_2$  is generated by an idempotent element  $(x, 0)$  such that  $x(\neq 0) \in I$ . Hence,  $(x, 0)^2 = (x, 0)(x, 0) = (x^2, 0) = (x, 0)$ . Then,  $x^2 = x$ , and so  $x = 1$  or  $x = 0$ , a contradiction (since  $x \in I$  and  $x \neq 0$ ). Therefore,  $O_1$  is not projective. Similar arguments show that  $O_2$  is not projective. A combination of (1) and (2) yields  $pd_{R \bowtie I}(O_1) = pd_{R \bowtie I}(O_2) + 1$  and  $pd_{R \bowtie I}(O_2) = pd_{R \bowtie I}(O_1) + 1$ . Then,  $pd_{R \bowtie I}(O_1) = pd_{R \bowtie I}(O_2) + 1 + 1 = pd_{R \bowtie I}(O_1) + 2$ . Consequently, the projective dimension of  $O_1$  (resp.,  $O_2$ ) has to be infinite, as desired.  $\square$

If  $R$  is a principal domain, then we obtain the following result.

**Corollary 2.15.** *Let  $R$  be a principal domain and let  $I$  be a proper ideal of  $R$ . Then,  $R \bowtie I$  is not a weak  $(n, d)$ -ring (and so is not an  $(n, d)$ -ring) for each positive integers  $n$  and  $d$ . In particular,  $\text{wdim}(R \bowtie I) = \text{gldim}(R \bowtie I) = \infty$ .*

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