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**Title:**

**Lexicographical ordering by spectral moments of trees with a given bipartition**

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## LEXICOGRAPHICAL ORDERING BY SPECTRAL MOMENTS OF TREES WITH A GIVEN BIPARTITION

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**ABSTRACT.** Lexicographic ordering by spectral moments ( $S$ -order) among all trees is discussed in this paper. For two given positive integers  $p$  and  $q$  with  $p \leq q$ , we denote  $\mathcal{T}_n^{p,q} = \{T : T \text{ is a tree of order } n \text{ with a } (p, q)\text{-bipartition}\}$ . Furthermore, the last four trees, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  ( $4 \leq p \leq q$ ) are characterized.

**Keywords:** Spectral moment;  $S$ -order, tree, bipartition.

**MSC(2010):** Primary: 05C50; Secondary: 15A18.

### 1. Introduction

Up to isomorphism, all graphs considered here are finite, simple and connected. Undefined terminology and notation may be referred to [1]. Let  $G = (V_G, E_G)$  be a simple undirected graph with  $n$  vertices. By  $G - v$  and  $G - uv$  we denote the graph obtained from  $G$  by deleting vertex  $v \in V_G$ , or edge  $uv \in E_G$ , respectively (this notation is naturally extended if more than one vertex or edge is deleted). Similarly,  $G + uv$  is obtained from  $G$  by adding edge  $uv \notin E_G$ . For  $v \in V_G$ , let  $N_G(v)$  (or  $N(v)$  for short) denote the set of all the adjacent vertices of  $v$  in  $G$  and  $d(v) = |N_G(v)|$ . A *leaf* of  $G$  is a vertex of degree one.

Let  $A(G)$  be the adjacency matrix of a graph  $G$ , and let  $\lambda_1(G), \lambda_2(G), \dots, \lambda_n(G)$  be the eigenvalues of  $G$  in non-increasing order. The number  $\sum_{i=1}^n \lambda_i^k(G)$  ( $k = 0, 1, \dots, n - 1$ ) is called the  $k$ th *spectral moment* of  $G$ , denoted by  $S_k(G)$ . We know from [2] that  $S_0 = n, S_1 = l, S_2 =$

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$2m$ ,  $S_3 = 6t$ , where  $n$ ,  $l$ ,  $m$ ,  $t$  denote the number of vertices, the number of loops, the number of edges and the number of triangles, respectively. Let  $S(G) = (S_0(G), S_1(G), \dots, S_{n-1}(G))$  be the sequence of spectral moments of  $G$ . For two graphs  $G_1$  and  $G_2$ , we shall write  $G_1 =_s G_2$  if  $S_i(G_1) = S_i(G_2)$  for  $i = 0, 1, \dots, n-1$ . Similarly, we have  $G_1 \prec_s G_2$  ( $G_1$  comes before  $G_2$  in the  $S$ -order) if for some  $k$  ( $1 \leq k \leq n-1$ ), we have  $S_i(G_1) = S_i(G_2)$  ( $i = 0, 1, \dots, k-1$ ) and  $S_k(G_1) < S_k(G_2)$ . We shall also write  $G_1 \preceq_s G_2$  if  $G_1 \prec_s G_2$  or  $G_1 =_s G_2$ .  $S$ -order was used in producing graph catalogs (see [6]). For a more general setting of spectral moments one may be referred to [5].

Investigation on  $S$ -order of graphs attracts more and more researchers' attention. Cvetković and Rowlinson [7] studied the  $S$ -order of trees and unicyclic graphs and characterized the first and the last graphs, in the  $S$ -order, of all trees and all unicyclic graphs with given girth, respectively. Wu and Liu [14, 16] determined the last  $\lfloor \frac{d}{2} + 1 \rfloor$  and the last  $\lfloor \frac{g}{2} + 2 \rfloor$  graphs, in the  $S$ -order, of all  $n$ -vertex trees with diameter  $d$  ( $4 \leq d \leq n-3$ ) and all  $n$ -vertex unicyclic graphs of girth  $g$  ( $3 \leq g \leq n-3$ ), respectively. Wu and Fan [15] determined the first and the last graphs in the  $S$ -order, of all unicyclic graphs and bicyclic graphs, respectively. Pan et al. [12] gave the first  $\sum_{k=1}^{\lfloor \frac{n-1}{3} \rfloor} (\lfloor \frac{n-k-1}{2} \rfloor - k + 1)$  graphs apart from a path, in the  $S$ -order, of all trees on  $n$  vertices, whereas Pan et al. [13] determined the last and the second last quasi-tree, in the  $S$ -order, among the set  $\mathcal{L}(n, d_0) = \{G : G \text{ is a quasi-tree of order } n \text{ with } G - u_0 \text{ being a tree and } d_G(u_0) = d_0\}$ , respectively.

Given a connected bipartite graph  $G$  with  $n$  vertices, its vertex set can be partitioned into two subsets  $V_1$  and  $V_2$ , such that each edge joins a vertex in  $V_1$  with a vertex in  $V_2$ . Suppose that  $V_1$  has  $p$  vertices and  $V_2$  has  $q$  vertices, where  $p + q = n$  with  $p \leq q$ . Then we say that  $G$  has a  $(p, q)$ -bipartition. For convenience, let  $\mathcal{T}_n^{p,q}$  be the set of all  $n$ -vertex trees, each of which has a  $(p, q)$ -bipartition.

In light of the information available on the spectral moments of graphs, it is natural to consider some other class of graphs. Trees with a  $(p, q)$ -bipartition are a reasonable starting point for such an investigation. The  $n$ -vertex trees with a  $(p, q)$ -bipartition have been considered in [8, 9, 10, 11, 16], whereas to the best of our knowledge, the spectral moments of trees in  $\mathcal{T}_n^{p,q}$  ( $4 \leq p \leq q$ ) were, so far, not considered. In this paper we characterize the last four trees, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  ( $4 \leq p \leq q$ ). For more recent results on the spectral moments of graphs, one may be referred to [3, 4].

Throughout the text we denote by  $P_n$ ,  $K_{1,n-1}$  and  $C_n$  the path, star and cycle on  $n$  vertices, respectively. Let  $U_n$  be a graph obtained from  $C_{n-1}$  by attaching a leaf to one vertex of  $C_{n-1}$ , and let  $E_4$  be a graph obtained by deleting an edge from a complete graph  $K_4$ . Also let  $E_5$  be a graph obtained from two cycles  $C_3$  and  $C'_3$  of length 3 by identifying one vertex of  $C_3$  with one vertex of  $C'_3$ . The graphs  $U_4$ ,  $U_5$ ,  $E_4$  and  $E_5$  are depicted in Fig. 1. Let  $F$  be a graph. An  $F$ -subgraph of  $G$  is a subgraph



FIGURE 1. Four graphs  $U_4, U_5, E_4$  and  $E_5$ .

of  $G$  which is isomorphic to the graph  $F$ . Let  $\phi_G(F)$  (or  $\phi(F)$ ) be the number of all  $F$ -subgraphs of  $G$ . For a tree  $T$  and two vertices  $v, u$  of  $T$ , the *distance*  $\text{dist}_T(u, v)$  between  $u$  and  $v$  is the number of edges on the unique path connecting them. Denote by  $PV(T)$  the set of all pendant vertices of  $T$ .

Further on we need the following lemmas.

**Lemma 1.1** ([7]). *The  $k$ th spectral moment of  $G$  is equal to the number of closed walks of length  $k$ .*

**Lemma 1.2.** *For every graph  $G$ , we have*

- (i)  $S_4(G) = 2\phi(P_2) + 4\phi(P_3) + 8\phi(C_4)$  (see [7]).
- (ii)  $S_5(G) = 30\phi(C_3) + 10\phi(U_4) + 10\phi(C_5)$  (see [14]).
- (iii)  $S_6(G) = 2\phi(P_2) + 12\phi(P_3) + 6\phi(P_4) + 12\phi(K_{1,3}) + 12\phi(U_5) + 36\phi(E_4) + 24\phi(E_5) + 24\phi(C_3) + 48\phi(C_4) + 12\phi(C_6)$  (see [14]).

Given a connected graph  $G$ , its line graph is denoted by  $L(G)$ . It is easy to see that the size of  $L(G)$  is equal to the number of  $P_3$  of  $G$ . By [Exercise 1.5.10(a), 1], we have

**Lemma 1.3.** *If  $G$  is a simple connected graph, then  $\phi_G(P_3) = \sum_{v \in V_G} \binom{d(v)}{2}$ .*

**Definition 1.** *Assume that  $u, v, w$  are three distinct vertices of a tree  $T$  satisfying  $uv \in E_T$ ,  $d(u) = 1$ ,  $d(w) \geq d(v)$  and  $\text{dist}_T(v, w) = 2$ . Let  $T[v \rightarrow w; 1]$  be the graph obtained from  $T$  by deleting the edge  $uv$  and adding the edge  $uw$ . In notation,*

$$T[v \rightarrow w; 1] = T - uv + uw,$$

and we say  $T[v \rightarrow w; 1]$  is obtained from  $T$  by Operation I.

**Remark 1.** If  $T$  is in  $\mathcal{T}_n^{p,q}$ , by Definition 1, it is easy to see that  $T[v \rightarrow w; 1]$  is also in  $\mathcal{T}_n^{p,q}$ .

**Lemma 1.4.** Let  $T$  and  $T[v \rightarrow w; 1]$  be the trees defined as above. Then

$$T \prec_s T[v \rightarrow w; 1].$$

*Proof.* By Lemma 1.1,  $S_i(T) = S_i(T[v \rightarrow w; 1])$  holds for  $i = 0, 1, 2, 3$ . In view of Lemma 1.2(i),  $\phi_T(P_2) = \phi_{T[v \rightarrow w; 1]}(P_2) = n - 1$ ,  $\phi_T(C_4) = \phi_{T[v \rightarrow w; 1]}(C_4) = 0$ . By Lemma 1.3, we have

$$\begin{aligned} \phi_{T[v \rightarrow w; 1]}(P_3) - \phi_T(P_3) &= \binom{d(w) + 1}{2} + \binom{d(v) - 1}{2} - \binom{d(w)}{2} - \binom{d(v)}{2} \\ &= d(w) - d(v) + 1 > 0. \end{aligned}$$

Hence,  $S_4(T[v \rightarrow w; 1]) - S_4(T) = 4(\phi_{T[v \rightarrow w; 1]}(P_3) - \phi_T(P_3)) > 0$ , i.e.,  $T \prec_s T[v \rightarrow w; 1]$ .  $\square$

**Definition 2.** Let  $uw$  be an edge of a tree  $U$  with  $d(w) \geq 2$ . Let  $T$  be obtained from  $U$  and the star  $K_{1,k+1}$  ( $k \geq 2$ ) by identifying  $u$  with a pendant vertex of  $K_{1,k+1}$  whose center is  $v$ . Let  $T[v \rightarrow w; 2]$  be the graph obtained from  $T$  by deleting all edges  $vz$  and adding all edges  $wz$ , where  $z \in W = N_T(v) \setminus \{u\}$ . In notation,

$$T[v \rightarrow w; 2] = T - \{vz : z \in W\} + \{wz : z \in W\}$$

and we say  $T[v \rightarrow w; 2]$  is obtained from  $T$  by Operation II. Trees  $T$  and  $T[v \rightarrow w; 2]$  are depicted in Fig. 2.

**Remark 2.** If  $T$  is in  $\mathcal{T}_n^{p,q}$ , by Definition 2, it is easy to see that  $T[v \rightarrow w; 2]$  is also in  $\mathcal{T}_n^{p,q}$ .

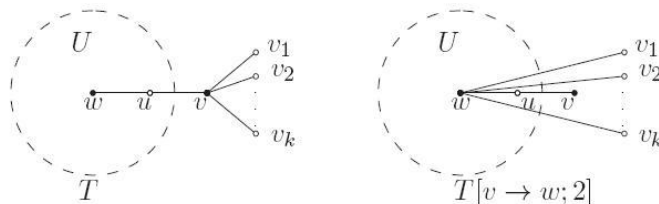


FIGURE 2.  $T \Rightarrow T[v \rightarrow w; 2]$  by Operation II.

**Lemma 1.5.** *Let  $T$  and  $T[v \rightarrow w; 2]$  be the trees described as above, then one has  $T \prec_s T[v \rightarrow w; 2]$ .*

*Proof.* By Lemma 1.1,  $S_i(T) = S_i(T[v \rightarrow w; 2])$  holds for  $i = 0, 1, 2, 3$ . In view of Lemma 1.2(i),  $\phi_T(P_2) = \phi_{T[v \rightarrow w; 2]}(P_2) = n - 1$  and  $\phi_T(C_4) = \phi_{T[v \rightarrow w; 2]}(C_4) = 0$ . By Lemma 1.3,

$$\begin{aligned} \phi_{T[v \rightarrow w; 2]}(P_3) - \phi_T(P_3) &= \binom{d(w) + k}{2} - \binom{d(w)}{2} - \binom{k + 1}{2} \\ &= k(d(w) - 1) > 0. \end{aligned}$$

Hence, we have  $S_4(T[v \rightarrow w; 2]) - S_4(T) = 4(\phi_{T[v \rightarrow w; 2]}(P_3) - \phi_T(P_3)) > 0$ , i.e.,  $T \prec_s T[v \rightarrow w; 2]$ .  $\square$

**Lemma 1.6.** *Let  $T$  be the tree as depicted in Fig. 2, and let  $T'$  be the tree obtained from  $T$  by deleting all edges  $vv_i$  ( $i = 1, 2, \dots, k - 1$ ) and adding all edges  $wv_i$  ( $i = 1, 2, \dots, k - 1$ ). Assume that  $w_1$  is in  $N_T(w) \setminus \{u\}$ .*

- (i) *If  $d_T(w) \geq 2$  and  $d_T(w_1) \geq 2$ , one has  $T \prec_s T'$ .*
- (ii) *If  $d_T(w) = 2$  and  $d_T(w_1) = 1$ , one has  $T =_s T'$ .*

*Proof.* By Lemma 1.1,  $S_i(T) = S_i(T')$  holds for  $i = 0, 1, 2, 3$ . In view of Lemma 1.2(i),  $\phi_T(P_2) = \phi_{T'}(P_2) = n - 1$  and  $\phi_T(C_4) = \phi_{T'}(C_4) = 0$ . By Lemma 1.3, we obtain that

$$\phi_T(P_3) = \phi_{T'}(P_3) = (k - 1)(d_T(w) - 2).$$

If  $d_T(w) > 2$ , then it follows that  $\phi_T(P_3) < \phi_{T'}(P_3)$ . Hence, we have  $S_4(T) < S_4(T')$ , i.e.,  $T \prec_s T'$ .

If  $d_T(w) = 2$ , then we get  $\phi_T(P_3) = \phi_{T'}(P_3)$ . In view of Lemma 1.2(iii), we see that

$$S_6(T') - S_6(T) = 6(k - 1)(d_T(w_1) - 1).$$

If  $d_T(w_1) \geq 2$ , then we get  $S_6(T) < S_6(T')$ , i.e.,  $T \prec_s T'$ . This completes the proof of (i).

If  $d_T(w_1) = 1$ , then we have  $T \cong T'$ , i.e.,  $T =_s T'$ . This completes the proof of (ii).  $\square$

## 2. The last four trees in the $S$ -order among $\mathcal{T}_n^{p,q}$

In this section, we determine the last four trees, in the  $S$ -order, among the set  $\mathcal{T}_n^{p,q}$  ( $4 \leq p \leq q$ ).

For convenience, let  $B_{p,q}^{k,l}, D_{p,q}^{k,l}$  ( $k, l \geq 0$ ) be the trees as depicted in Fig. 3, where the degree of  $u$  is no less than that of  $v$ . In particular,  $B_{p,q}^{0,0} \cong D_{p,q}^{0,0}$ .

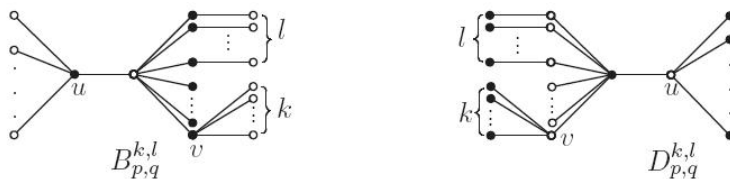


FIGURE 3. Trees  $B_{p,q}^{k,l}$  and  $D_{p,q}^{k,l}$  each of which contains  $p$  white points and  $q$  black points.

**Theorem 2.1.** *Let  $T$  be in  $\mathcal{T}_n^{p,q}$ , then one has  $T \preceq_s B_{p,q}^{0,0}$  with equality if and only if  $T \cong B_{p,q}^{0,0}$ .*

*Proof.* Choose a tree  $T$  with a  $(p, q)$ -bipartition such that it is as large as possible with respect to the  $S$ -order. Let  $V_1, V_2$  be the bipartition of the vertices of  $T$  with  $V_1 = \{v_0, v_1, \dots, v_{p-1}\}, V_2 = \{u_0, u_1, \dots, u_{q-1}\}$ . For convenience, let  $v_0$  (respectively,  $u_0$ ) be the vertex of maximal degree among  $V_1$  (respectively,  $V_2$ ) in  $T$  and let  $A = N_T(v_0) \cap PV(T)$ .

Hence, in order to complete the proof, it suffices to show the following claims.

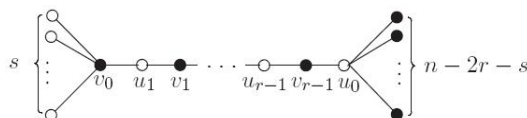


FIGURE 4. Tree  $T(n, 2r, s)$  with some labelled vertices.

For convenience, let  $T(n, k, a)$  be an  $n$ -vertex tree obtained by attaching  $a$  and  $n - k - a$  pendant vertices to the two end-vertices of  $P_k$ , respectively. In particular,  $D_{p,q}^{0,0} = T(n, 2, p - 1)$ .

**Claim 1.**  $T \cong T(n, 2r, s)$  (see Fig. 4) with  $r \geq 1$  and  $s \geq 0$ .

*Proof of Claim 1.* Assume otherwise. Then  $T$  must contain a pendant vertex  $w \notin N_T(u_0) \cup N_T(v_0)$ . Using Operations I and II, repeatedly, we

can construct  $T_0$  from  $T$  such that  $T_0 \cong T(n, 2r, s)$  for some  $r$  and  $s$ . So by Lemmas 1.4 and 1.5  $T \prec_s T_0$ , a contradiction to the choice of  $T$ .

This completes the proof of Claim 1. □

**Claim 2.** *In the tree described as above,  $u_0$  is adjacent to  $v_0$ .*

*Proof of Claim 2.* If not, then  $d(u_0, v_0) \geq 3$ . Note that  $v_0$  is the maximal degree vertex among  $V_1$ , hence  $d_T(v_0) \neq 1$ , which implies  $A \neq \emptyset$ . Using Operation II, let

$$T_1 = T - \{v_0z : z \in A\} + \{v_1z : z \in A\}$$

then  $T \prec_s T_1$  by Lemma 1.5, which contradicts the choice of  $T$ . This completes the proof of Claim 2. □

By Claims 1 and 2, we get that  $T \cong B_{p,q}^{0,0}$ . This completes the proof. □

**Theorem 2.2.** *For any  $T \in \mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}\}$  with  $4 \leq p \leq q$ , one has  $T \preceq_s B_{p,q}^{0,1}$  with equality if and only if  $T \cong B_{p,q}^{0,1}$ .*

*Proof.* For any  $T \in \mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}\}$ , from the proof of Theorem 2.1, it is easy to see that  $T$  can be transformed into  $B_{p,q}^{0,0}$  by carrying the Operations I and II repeatedly. Let  $\mathcal{A}_1$  denote the set of all trees in  $\mathcal{T}_n^{p,q}$  which can be transformed into  $B_{p,q}^{0,0}$  by carrying Operation I once, and let  $\mathcal{A}_2$  denote the set of all trees in  $\mathcal{T}_n^{p,q}$  which can be transformed into  $B_{p,q}^{0,0}$  by carrying Operation II once. It follows from Lemmas 1.4 and 1.5 that the second last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  must be in  $\mathcal{A}_1 \cup \mathcal{A}_2$ .

By definitions of  $\mathcal{A}_1$  and  $\mathcal{A}_2$ , it is routine to check that  $\mathcal{A}_1 = \{B_{p,q}^{0,1}, D_{p,q}^{0,1}\}$  (in particular, if  $p = q$  then  $B_{p,q}^{0,1} \cong D_{p,q}^{0,1}$ ; hence  $\mathcal{A}_1 = \{B_{p,q}^{0,1}\}$  for  $p = q$ ),  $\mathcal{A}_2 = \{B_{p,q}^{k,0} : 2 \leq k \leq \lfloor \frac{p-1}{2} \rfloor\} \cup \{D_{p,q}^{k,0} : 2 \leq k \leq \lfloor \frac{q-1}{2} \rfloor\}$ . Note that  $B_{p,q}^{0,1}$  can be obtained from  $B_{p,q}^{k,0}$  by using Operation I ( $k - 1$ ) times. By Lemma 1.4, we have  $B_{p,q}^{k,0} \prec_s B_{p,q}^{0,1}$  for  $2 \leq k \leq \lfloor \frac{p-1}{2} \rfloor$ . Similarly, we have  $D_{p,q}^{k,0} \prec_s D_{p,q}^{0,1}$  with  $2 \leq k \leq \lfloor \frac{q-1}{2} \rfloor$ .

Hence, if  $p = q$  then  $B_{p,q}^{0,1}$  is just the second last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  for  $p \geq 4$ . So in what follows we consider  $p < q$ .

In order to complete the proof, it suffices to compare  $B_{p,q}^{0,1}$  with  $D_{p,q}^{0,1}$ . By Lemma 1.1, we have  $S_i(B_{p,q}^{0,1}) = S_i(D_{p,q}^{0,1})$  for  $i = 0, 1, 2, 3$ . In view of Lemma 1.2(i),  $\phi_{B_{p,q}^{0,1}}(P_2) = \phi_{D_{p,q}^{0,1}}(P_2) = n - 1$  and  $\phi_{B_{p,q}^{0,1}}(C_4) =$



$\phi_{D_{p,q}^{0,1}}(C_4) = 0$ . In view of Lemma 1.3, we have

$$\phi_{B_{p,q}^{0,1}}(P_3) - \phi_{D_{p,q}^{0,1}}(P_3) = \binom{p-1}{2} + \binom{q}{2} + 1 - \binom{p}{2} - \binom{q-1}{2} - 1 = q - p > 0.$$

Hence,  $S_4(B_{p,q}^{0,1}) - S_4(D_{p,q}^{0,1}) = 4(\phi_{B_1}(P_3) - \phi_{D_{p,q}^{0,1}}(P_3)) > 0$ , i.e.,  $D_{p,q}^{0,1} \prec_s B_{p,q}^{0,1}$ .

This completes the proof. □

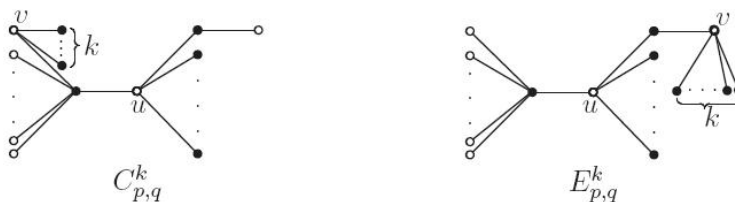


FIGURE 5. Trees  $C_{p,q}^k$  and  $E_{p,q}^k$  each of which contains  $p$  white points and  $q$  black points.

For convenience, let  $C_{p,q}^k, E_{p,q}^k$  ( $1 \leq k \leq q-2$ ) be the trees as depicted in Fig. 5. It is easy to see that  $C_{p,q}^k, E_{p,q}^k \in \mathcal{T}_n^{p,q}$ .

**Theorem 2.3.** *Let  $p$  and  $q$  be positive integers with  $4 \leq p \leq q$ .*

- (i) *For any  $T \in \mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}\}$  with  $p = q$ , we have  $T \preceq_s B_{p,q}^{2,0}$  with equality if and only if  $T \cong B_{p,q}^{2,0}$ .*
- (ii) *For any  $T \in \mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}\}$  with  $p < q$ , if  $p > \frac{q+4}{2}$ , then we have  $T \preceq_s D_{p,q}^{0,1}$  with equality if and only if  $T \cong D_{p,q}^{0,1}$ ; if  $p \leq \frac{q+4}{2}$ , then we have  $T \preceq_s B_{p,q}^{2,0}$  with equality if and only if  $T \cong B_{p,q}^{2,0}$ .*

*Proof.* For any  $T \in \mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}\}$ , by a similar discussion as in the proof of Theorem 2.2,  $T$  can be transformed into  $B_{p,q}^{0,0}$  (respectively,  $B_{p,q}^{0,1}$ ) by carrying Operations I and II repeatedly. Let  $\mathcal{B}_1$  denote the set of all trees in  $\mathcal{T}_n^{p,q}$  which can be transformed into  $B_{p,q}^{0,1}$  by carrying Operation I once, and let  $\mathcal{B}_2$  denote the set of all trees in  $\mathcal{T}_n^{p,q}$  which can be transformed into  $B_{p,q}^{0,1}$  by carrying Operation II once. It follows from Lemmas 1.4 and 1.5 that, if  $p < q$  then the third last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  must be in  $\{D_{p,q}^{0,1}\} \cup \mathcal{A}_2 \cup \mathcal{B}_1 \cup \mathcal{B}_2$ , where  $\mathcal{A}_2$  is defined in the proof of Theorem 2.2. Note that if  $p = q$ , then  $B_{p,q}^{0,1} \cong D_{p,q}^{0,1}$ . Hence,

the third last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  with  $p = q$  must be in  $\mathcal{A}_2 \cup \mathcal{B}_1 \cup \mathcal{B}_2$ .

By the definition of  $\mathcal{B}_1$  and  $\mathcal{B}_2$ , it is routine to check that  $\mathcal{B}_1 = \{B_{p,q}^{2,0}, B_{p,q}^{0,2}, C_{p,q}^1, E_{p,q}^1\}$  and  $\mathcal{B}_2 = \{C_{p,q}^k : 2 \leq k \leq q - 2\} \cup \{E_{p,q}^k : 2 \leq k \leq q - 2\} \cup \{B_{p,q}^{k,1} : 2 \leq k \leq \lfloor \frac{p-2}{2} \rfloor\}$ . We obtain (based on Lemma 1.4) that

$$B_{p,q}^{k,1} \prec_s B_{p,q}^{k-1,1} \prec_s \dots \prec_s B_{p,q}^{1,1} \cong B_{p,q}^{0,2}.$$

We first show the following two facts.

**Fact 1.** *The last tree, in the  $S$ -order, among  $\mathcal{A}_2$  is  $B_{p,q}^{2,0}$ .*

*Proof of Fact 1.* In graph  $B_{p,q}^{k,0}$ , we obtain (based on Lemma 1.4) that

$$(2.1) \quad B_{p,q}^{\lfloor \frac{p-1}{2} \rfloor, 0} \prec_s B_{p,q}^{\lfloor \frac{p-1}{2} \rfloor - 1, 0} \prec_s \dots \prec_s B_{p,q}^{k,0} \prec_s \dots \prec_s B_{p,q}^{3,0} \prec_s B_{p,q}^{2,0}.$$

Similarly, we obtain

$$(2.2) \quad D_{p,q}^{\lfloor \frac{q-1}{2} \rfloor, 0} \prec_s D_{p,q}^{\lfloor \frac{q-1}{2} \rfloor - 1, 0} \prec_s \dots \prec_s D_{p,q}^{k,0} \prec_s \dots \prec_s D_{p,q}^{3,0} \prec_s D_{p,q}^{2,0}.$$

Note that if  $p = q$ , it is easy to see that  $B_{p,q}^{2,0} \cong D_{p,q}^{2,0}$ , hence Fact 1 holds immediately. In what follows, we consider  $p < q$ .

In view of (2.1) and (2.2), it suffices to compare  $B_{p,q}^{2,0}$  with that of  $D_{p,q}^{2,0}$ . In fact, by Lemma 1.1 one has  $S_i(B_{p,q}^{2,0}) = S_i(D_{p,q}^{2,0})$  for  $i = 0, 1, 2, 3$ . In view of Lemma 1.2(i),  $\phi_{B_{p,q}^{2,0}}(P_2) = \phi_{D_{p,q}^{2,0}}(P_2) = n - 1$ ,  $\phi_{B_{p,q}^{2,0}}(C_4) = \phi_{D_{p,q}^{2,0}}(C_4) = 0$  and by Lemma 1.3,

$$\phi_{B_{p,q}^{2,0}}(P_3) - \phi_{D_{p,q}^{2,0}}(P_3) = \binom{p-2}{2} + \binom{q}{2} - \binom{p}{2} - \binom{q-2}{2} = 2(q-p) > 0.$$

Hence, we have  $S_4(B_{p,q}^{2,0}) - S_4(D_{p,q}^{2,0}) > 0$ , i.e.,  $D_{p,q}^{2,0} \prec_s B_{p,q}^{2,0}$ .

This completes the proof. □

**Fact 2.** *The last tree, in the  $S$ -order, among  $\mathcal{B}_1 \cup \mathcal{B}_2$  is  $B_{p,q}^{2,0}$ .*

*Proof of Fact 2.* Note that by Lemma 1.6(i) we have  $C_{p,q}^k \prec_s C_{p,q}^1$  for  $k \geq 2$ . Similarly,  $E_{p,q}^k \prec_s E_{p,q}^1$  also holds for  $k \geq 2$ . So the last tree, in the  $S$ -order, among  $\mathcal{B}_1 \cup \mathcal{B}_2$  must be in  $\mathcal{B}_1$ .

Note that  $C_{p,q}^1$  and  $E_{p,q}^1$  have the same degree sequence, hence by Lemma 1.3 we have

$$(2.3) \quad \phi_{E_{p,q}^1}(P_3) = \phi_{C_{p,q}^1}(P_3).$$

By Lemma 1.1,  $S_i(B_{p,q}^{0,2}) = S_i(C_{p,q}^1) = S_i(E_{p,q}^1)$  holds for  $i = 0, 1, 2, 3$ . In view of Lemma 1.2(i), it is routine to check that  $\phi_{C_{p,q}^1}(P_2) = \phi_{E_{p,q}^1}(P_2) =$

$\phi_{B_{p,q}^{0,2}}(P_2) = n - 1$ ,  $\phi_{C_{p,q}^1}(C_4) = \phi_{E_{p,q}^1}(C_4) = \phi_{B_{p,q}^{0,2}}(C_4) = 0$ . By Lemma 1.3, one has

$$(2.4) \quad \begin{aligned} \phi_{C_{p,q}^1}(P_3) - \phi_{B_{p,q}^{0,2}}(P_3) &= \left( \binom{p-1}{2} + \binom{q-1}{2} + 2 \right) \\ &\quad - \left( \binom{p-2}{2} + \binom{q}{2} + 2 \right) \\ &= -(q - p + 1) < 0. \end{aligned}$$

In view of (2.4),  $\phi_{C_{p,q}^1}(P_3) - \phi_{B_{p,q}^{0,2}}(P_3) < 0$ . Hence, by Lemma 1.2(i), we have  $S_4(C_{p,q}^1) < S_4(B_{p,q}^{0,2})$  and by (2.3) and (2.4),  $S_4(E_{p,q}^1) < S_4(B_{p,q}^{0,2})$ , i.e.,  $C_{p,q}^1 \prec_s B_{p,q}^{0,2}$  and  $E_{p,q}^1 \prec_s B_{p,q}^{0,2}$ .

On the other hand,  $B_{p,q}^{0,2}$  can be transformed into  $B_{p,q}^{2,0}$  by carrying Operation I once, and by Lemma 1.4 we have  $B_{p,q}^{0,2} \prec_s B_{p,q}^{2,0}$ . That is to say,  $B_{p,q}^{2,0}$  is the last tree, in the  $S$ -order, among  $\mathcal{B}_1 \cup \mathcal{B}_2$ .  $\square$

If  $p = q$ , by Facts 1 and 2, we obtain that  $B_{p,q}^{2,0}$  is just the last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}\}$ . This completes the proof of (i).

Now in what follows we consider  $p < q$ . According to Facts 1 and 2, it suffices to compare  $B_{p,q}^{2,0}$  with  $D_{p,q}^{0,1}$  in this case.

By Lemma 1.1,  $S_i(D_{p,q}^{0,1}) = S_i(B_{p,q}^{2,0})$  holds for  $i = 0, 1, 2, 3$ . In view of Lemma 1.2(i), it is routine to check that  $\phi_{D_{p,q}^{0,1}}(P_2) = \phi_{B_{p,q}^{2,0}}(P_2) = n - 1$ ,  $\phi_{D_{p,q}^{0,1}}(C_4) = \phi_{B_{p,q}^{2,0}}(C_4) = 0$ . Furthermore, by Lemma 1.3, we have

$$(2.5) \quad \begin{aligned} \phi_{D_{p,q}^{0,1}}(P_3) - \phi_{B_{p,q}^{2,0}}(P_3) &= \left( \binom{p}{2} + \binom{q-1}{2} + 1 \right) \\ &\quad - \left( \binom{p-2}{2} + \binom{q}{2} + 3 \right) \\ &= 2p - 4 - q. \end{aligned}$$

If  $p > \frac{q+4}{2}$ , then in view of (2.5) we have  $\phi_{D_{p,q}^{0,1}}(P_3) > \phi_{B_{p,q}^{2,0}}(P_3)$ . By Lemma 1.2(i),  $S_4(D_{p,q}^{0,1}) > S_4(B_{p,q}^{2,0})$  holds. So we have  $B_{p,q}^{2,0} \prec_s D_{p,q}^{0,1}$ . So in this case  $D_{p,q}^{0,1}$  is the third last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$ .

If  $p = \frac{q+4}{2}$ , then in view of (2.5) we have  $\phi_{D_{p,q}^{0,1}}(P_3) = \phi_{B_{p,q}^{2,0}}(P_3)$ . Hence,  $S_4(D_{p,q}^{0,1}) = S_4(B_{p,q}^{2,0})$  holds by Lemma 1.2(i). In view of Lemma

1.2(ii),  $S_5(D_{p,q}^{0,1}) = S_5(B_{p,q}^{2,0})$  holds. By direct computing, we have

$$\begin{aligned} \phi_{D_{p,q}^{0,1}}(P_4) - \phi_{B_{p,q}^{2,0}}(P_4) &= [(p-1) \times 1 + (q-2)(p-1)] \\ &\quad - [(p-3)(q-1) + 2 \times (q-1)] = 0, \\ \phi_{D_{p,q}^{0,1}}(K_{1,3}) - \phi_{B_{p,q}^{2,0}}(K_{1,3}) &= \left( \binom{p}{3} + \binom{q-1}{3} \right) \\ &\quad - \left( \binom{p-2}{3} + \binom{q}{3} + 1 \right) \\ &= \frac{-(q-3)^2 + 1}{4} < 0. \end{aligned}$$

The last inequality follows by  $q > p \geq 4$ . In view of Lemma 1.2(iii), we have  $S_6(D_{p,q}^{0,1}) - S_6(B_{p,q}^{2,0}) = 3[-(q-3)^2 + 1] < 0$ , i.e.,  $D_{p,q}^{0,1} \prec_s B_{p,q}^{2,0}$ . That is to say,  $B_{p,q}^{2,0}$  is the third last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  for  $p = \frac{q+4}{2}$ .

If  $p < \frac{q+4}{2}$ , then in view of (2.5) we have  $\phi_{D_{p,q}^{0,1}}(P_3) < \phi_{B_{p,q}^{2,0}}(P_3)$ . By Lemma 1.2(i),  $S_4(D_{p,q}^{0,1}) < S_4(B_{p,q}^{2,0})$  holds. So we have  $D_{p,q}^{0,1} \prec_s B_{p,q}^{2,0}$ . Hence,  $B_{p,q}^{2,0}$  is the third last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  for  $p < \frac{q+4}{2}$ . This completes the proof of (ii).  $\square$

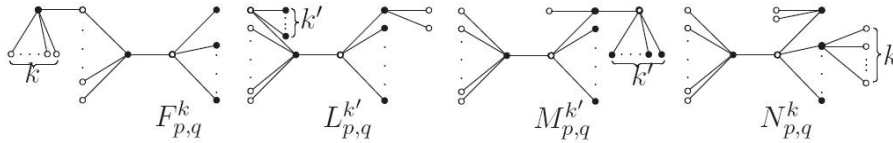


FIGURE 6. Trees  $F_{p,q}^k, L_{p,q}^{k'}, M_{p,q}^{k'}$  and  $N_{p,q}^k$  each of which contains  $p$  white points and  $q$  black points.

For convenience, let  $F_{p,q}^k, L_{p,q}^{k'}, M_{p,q}^{k'}$  and  $N_{p,q}^k$  ( $1 \leq k \leq p-2, 1 \leq k' \leq q-2$ ) be the trees as depicted in Fig. 6, it is easy to see that  $F_{p,q}^k, L_{p,q}^{k'}, M_{p,q}^{k'}, N_{p,q}^k$  are in  $\mathcal{T}_n^{p,q}$ .

**Theorem 2.4.** *Given positive integers  $p$  and  $q$  with  $4 \leq p < q$  and  $p+q = n$ .*

- (i) *If  $p > \frac{q+4}{2}$ , then for any  $T \in \mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}, D_{p,q}^{0,1}\}$ , we have  $T \preceq_s B_{p,q}^{2,0}$  with equality if and only if  $T \cong B_{p,q}^{2,0}$ .*
- (ii) *If  $p = \frac{q+4}{2}$ , then for any  $T \in \mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$ , we have  $T \preceq_s D_{p,q}^{0,1}$  with equality if and only if  $T \cong D_{p,q}^{0,1}$ .*

(iii) If  $p < \frac{q+4}{2}$ , then for any  $T \in \mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$ , we have  $T \preceq_s B_{p,q}^{0,2}$  with equality if and only if  $T \cong B_{p,q}^{0,2}$ .

*Proof.* For any  $T \in \mathcal{T}_n^{p,q}$  such that  $T \not\cong B_{p,q}^{0,0}, B_{p,q}^{0,1}, D_{p,q}^{0,1}, B_{p,q}^{2,0}$ , by a similar discussion as in the proof of Theorem 2.2,  $T$  can be transformed into  $B_{p,q}^{0,0}$  (respectively,  $B_{p,q}^{0,1}, D_{p,q}^{0,1}, B_{p,q}^{2,0}$ ) by carrying Operations I and II repeatedly. Let  $\mathcal{C}_1$  (respectively,  $\mathcal{D}_1$ ) denote the set of all trees in  $\mathcal{T}_n^{p,q}$  which can be transformed into  $D_{p,q}^{0,1}$  (respectively,  $B_{p,q}^{2,0}$ ) by carrying Operation I once, and let  $\mathcal{C}_2$  (respectively,  $\mathcal{D}_2$ ) denote the set of all trees in  $\mathcal{T}_n^{p,q}$  which can be transformed into  $D_{p,q}^{0,1}$  (respectively,  $B_{p,q}^{2,0}$ ) by carrying Operation II once.

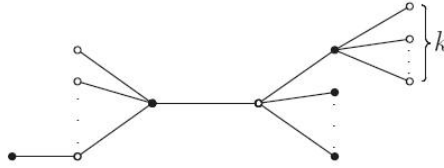


FIGURE 7.  $Q_{p,q}^k$  which contains  $p$  white and  $q$  black points.

(i)  $p > \frac{q+4}{2}$ . The last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}, D_{p,q}^{0,1}\}$  must be in  $\mathcal{A}_2 \cup \mathcal{B}_1 \cup \mathcal{B}_2 \cup \mathcal{C}_1 \cup \mathcal{C}_2$ , where  $\mathcal{A}_2$  is defined in the proof of Theorem 2.2,  $\mathcal{B}_1, \mathcal{B}_2$  are defined in the proof of Theorem 2.3, while  $\mathcal{C}_1 = \{D_{p,q}^{2,0}, D_{p,q}^{0,2}, C_{p,q}^1, F_{p,q}^1\}$ ,  $\mathcal{C}_2 = \{F_{p,q}^k : 2 \leq k \leq q-2\} \cup \{Q_{p,q}^k : 2 \leq k \leq p-2\} \cup \{D_{p,q}^{k,1} : 2 \leq k \leq \lfloor \frac{q-2}{2} \rfloor\}$ , where  $Q_{p,q}^k$  is depicted in Fig. 7. We obtain (based on Lemmas 1.6(i)) that, for  $k = 2, 3, \dots, p-2$ ,

$$Q_{p,q}^k \prec_s Q_{p,q}^1.$$

Furthermore, we have

$$Q_{p,q}^1 \prec_s D_{p,q}^{2,0}.$$

In fact, by Lemma 1.1,  $S_i(Q_{p,q}^1) = S_i(D_{p,q}^{2,0})$  holds for  $i = 0, 1, 2, 3$ . Note that  $\phi_{Q_{p,q}^1}(P_2) = \phi_{D_{p,q}^{2,0}}(P_2) = n-1$ ,  $\phi_{Q_{p,q}^1}(C_4) = \phi_{D_{p,q}^{2,0}}(C_4) = 0$ . By Lemma 1.3, we have  $\phi_{Q_{p,q}^1}(P_3) = \phi_{D_{p,q}^{2,0}}(P_3)$ . Hence, we get  $S_4(Q_{p,q}^1) = S_4(D_{p,q}^{2,0})$ . In view of Lemma 1.2(iii), we obtain that

$$\begin{aligned} S_6(D_{p,q}^{2,0}) - S_6(Q_{p,q}^1) &= 6[(q-1)(q-2) - (p-2)(p-3)] + 6 \\ &> 6[(p-1)(p-2) - (p-2)(p-3)] + 6 \\ &= 12(p-2) + 6 > 0. \end{aligned}$$

Hence, we get  $S_6(Q_{p,q}^1) < S_6(D_{p,q}^{2,0})$ , i.e.,  $Q_{p,q}^1 \prec_s D_{p,q}^{2,0}$ .

In view of the proof of Facts 1 and 2 in the proof of Theorem 2.3, we know that the last tree, in the  $S$ -order, among  $\mathcal{A}_2 \cup \mathcal{B}_1 \cup \mathcal{C}_1$  is  $B_{p,q}^{2,0}$ . In what follows we show that for any  $T$  in  $\mathcal{C}_1 \cup \mathcal{B}_2$ , we have  $T \prec_s B_{p,q}^{2,0}$ .

In fact, by Lemma 1.6(i), we have  $C_{p,q}^k \prec_s C_{p,q}^1$  and  $F_{p,q}^k \prec_s F_{p,q}^1$  for  $k \geq 2$ . By the proof of Theorem 2.3, we know that  $C_{p,q}^1 \prec_s B_{p,q}^{2,0}$  and  $D_{p,q}^{0,2} \prec_s D_{p,q}^{2,0} \prec_s B_{p,q}^{2,0}$ . By Lemma 1.1, we have  $S_i(B_{p,q}^{2,0}) = S_i(F_{p,q}^1)$  for  $i = 0, 1, 2, 3$ . In view of Lemma 1.2(i), it is routine to check that  $\phi_{B_{p,q}^{2,0}}(P_2) = \phi_{F_{p,q}^1}(P_2) = n - 1$ ,  $\phi_{B_{p,q}^{2,0}}(C_4) = \phi_{F_{p,q}^1}(C_4) = 0$ . By Lemma 1.3,

$$\begin{aligned} \phi_{B_{p,q}^{2,0}}(P_3) - \phi_{F_{p,q}^1}(P_3) &= \binom{p-2}{2} + \binom{q}{2} + \binom{3}{2} \\ &\quad - \left( \binom{p-1}{2} + \binom{q-1}{2} + 2 \right) \\ &= q - p + 2 > 0. \end{aligned}$$

Hence,  $S_4(B_{p,q}^{2,0}) - S_4(F_{p,q}^1) = 4(q - p + 2) > 0$ , i.e.,  $F_{p,q}^1 \prec_s B_{p,q}^{2,0}$ . This completes the proof of (i).

In what follows, we consider  $p \leq \frac{q+4}{2}$ . By Lemmas 1.4, 1.5 and Theorem 2.3(ii), the last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$  must be in  $\mathcal{A}_1 \cup \mathcal{A}_2 \cup \mathcal{B}_1 \cup \mathcal{B}_2 \cup \mathcal{D}_1 \cup \mathcal{D}_2 \setminus \{B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$ , where  $\mathcal{A}_1, \mathcal{A}_2$  are defined in the proof of Theorem 2.2,  $\mathcal{B}_1, \mathcal{B}_2$  are defined in the proof of Theorem 2.3, while  $\mathcal{D}_2 = \{L_{p,q}^k : 2 \leq k \leq q - 2\} \cup \{M_{p,q}^k : 2 \leq k \leq q - 2\} \cup \{N_{p,q}^k : 2 \leq k \leq p - 4\}$ ,  $\mathcal{D}_1 = \{B_{p,q}^{2,1}, B_{p,q}^{0,2}, L_{p,q}^1, M_{p,q}^1\}$  if  $4 \leq p < 7$  and  $\mathcal{D}_1 = \{B_{p,q}^{3,0}, B_{p,q}^{2,1}, B_{p,q}^{0,2}, L_{p,q}^1, M_{p,q}^1\}$  if  $p \geq 7$ .

(ii)  $p = \frac{q+4}{2}$ . In this case, we consider the following two cases according to  $\mathcal{D}_1$ .

**Case 1.**  $\mathcal{D}_1 = \{B_{p,q}^{2,1}, B_{p,q}^{0,2}, L_{p,q}^1, M_{p,q}^1\}$  with  $4 \leq p < 7$ .

First we determine the last tree, in the  $S$ -order, among  $\mathcal{D}_1 \cup \mathcal{D}_2$ . It is easy to see (based on Lemma 1.4), we have  $B_{p,q}^{2,1} \prec_s B_{p,q}^{0,2}$ . Note that, for  $k \geq 2$ , by Lemma 1.6 we have  $L_{p,q}^k \prec_s L_{p,q}^1, M_{p,q}^k \prec_s M_{p,q}^1$  and  $N_{p,q}^k \preceq_s B_{p,q}^{2,1}$ .

By Lemma 1.1,  $S_i(L_{p,q}^1) = S_i(M_{p,q}^1) = S_i(B_{p,q}^{0,2})$  holds for  $i = 0, 1, 2, 3$ . By Lemma 1.2(i), we have  $\phi_{L_{p,q}^1}(P_2) = \phi_{M_{p,q}^1}(P_2) = \phi_{B_{p,q}^{0,2}}(P_2) = n - 1$ ,  $\phi_{L_{p,q}^1}(C_4) = \phi_{M_{p,q}^1}(C_4) = \phi_{B_{p,q}^{0,2}}(C_4) = 0$ . Note that  $L_{p,q}^1$  and  $M_{p,q}^1$  have

the same degree sequence, thus by Lemma 1.3  $\phi_{L_{p,q}^1}(P_3) = \phi_{M_{p,q}^1}(P_3)$ . Hence,

$$\begin{aligned} \phi_{L_{p,q}^1}(P_3) - \phi_{B_{p,q}^{0,2}}(P_3) &= \phi_{M_{p,q}^1}(P_3) - \phi_{B_{p,q}^{0,2}}(P_3) \\ &= \left( \binom{p-2}{2} + \binom{q-1}{2} + 3 + 1 \right) \\ &\quad - \left( \binom{p-2}{2} + \binom{q}{2} + 2 \right) \\ &= 3 - q < 0. \end{aligned}$$

The last inequality follows by  $q > p \geq 4$ . By Lemma 1.2(i), we have  $S_4(L_{p,q}^1) - S_4(B_{p,q}^{0,2}) = S_4(M_{p,q}^1) - S_4(B_{p,q}^{0,2}) = 4(\phi_{M_{p,q}^1}(P_3) - \phi_{B_{p,q}^{0,2}}(P_3)) < 0$ , i.e.,  $L_{p,q}^1 \prec_s B_{p,q}^{0,2}$  and  $M_{p,q}^1 \prec_s B_{p,q}^{0,2}$ . Hence,  $B_{p,q}^{0,2}$  is the last tree, in the  $S$ -order, among  $\mathcal{D}_1 \cup \mathcal{D}_2$ .

By the proof of Fact 2 in Theorem 2.3, we obtain that  $B_{p,q}^{0,2}$  is the last graph, in the  $S$ -order, among  $(\mathcal{B}_1 \cup \mathcal{B}_2) \setminus \{B_{p,q}^{2,0}\}$ .

Note that for  $p < 7$ , it is routine to check that  $(\mathcal{A}_1 \cup \mathcal{A}_2) \setminus \{B_{p,q}^{0,1}, B_{p,q}^{2,0}\} = \{D_{p,q}^{2,0}, D_{p,q}^{0,1}\}$ . By Lemma 1.4, we have  $D_{p,q}^{2,0} \prec_s D_{p,q}^{0,1}$ . In order to complete the proof, it suffices to compare  $B_{p,q}^{0,2}$  with  $D_{p,q}^{0,1}$ .

By Lemma 1.1,  $S_i(D_{p,q}^{0,1}) = S_i(B_{p,q}^{0,2})$  holds for  $i = 0, 1, 2, 3$ . It is routine to check that  $\phi_{D_{p,q}^{0,1}}(P_2) = \phi_{B_{p,q}^{0,2}}(P_2) = n - 1$  and  $\phi_{D_{p,q}^{0,1}}(C_4) = \phi_{B_{p,q}^{0,2}}(C_4) = 0$ . By Lemma 1.3,

$$\begin{aligned} \phi_{D_{p,q}^{0,1}}(P_3) - \phi_{B_{p,q}^{0,2}}(P_3) &= \left( \binom{p}{2} + \binom{q-1}{2} + 1 \right) - \left( \binom{q}{2} + \binom{p-2}{2} + 2 \right) \\ &= 2p - q - 3 = 1. \end{aligned}$$

In view of Lemma 1.2(i), we have  $S_4(B_{p,q}^{0,2}) < S_4(D_{p,q}^{0,1})$ , i.e.,

$$(2.6) \quad B_{p,q}^{0,2} \prec_s D_{p,q}^{0,1}.$$

That is to say, our result holds in this case.

**Case 2.**  $\mathcal{D}_1 = \{B_{p,q}^{3,0}, B_{p,q}^{2,1}, B_{p,q}^{0,2}, L_{p,q}^1, M_{p,q}^1\}$  with  $p \geq 7$ .

First we determine the last tree, in the  $S$ -order, among  $\mathcal{D}_1 \cup \mathcal{D}_2$ . In fact, by a similar discussion as in Case 1 of determining the last graph, in the  $S$ -order, among  $\mathcal{D}_1 \cup \mathcal{D}_2$ , we can obtain that in this case, the last graph, in the  $S$ -order, among  $(\mathcal{D}_1 \setminus \{B_{p,q}^{3,0}\}) \cup \mathcal{D}_2$  is just  $B_{p,q}^{0,2}$ . Hence, it suffices to compare  $B_{p,q}^{3,0}$  with  $B_{p,q}^{0,2}$ .

In fact, by Lemma 1.1  $S_i(B_{p,q}^{0,2}) = S_i(B_{p,q}^{3,0})$  holds for  $i = 0, 1, 2, 3$ . It is routine to check that  $\phi_{B_{p,q}^{3,0}}(P_2) = \phi_{B_{p,q}^{0,2}}(P_2) = n - 1$  and  $\phi_{B_{p,q}^{3,0}}(C_4) = \phi_{B_{p,q}^{0,2}}(C_4) = 0$ . By Lemma 1.3 we have

$$\begin{aligned} \phi_{B_{p,q}^{0,2}}(P_3) - \phi_{B_{p,q}^{3,0}}(P_3) &= \left( \binom{p-2}{2} + \binom{q}{2} + 2 \right) \\ &\quad - \left( \binom{p-3}{2} + \binom{q}{2} + \binom{4}{2} \right) \\ &= p - 7 \geq 0. \end{aligned}$$

If  $p > 7$ , by Lemma 1.2(i)  $S_4(B_{p,q}^{0,2}) > S_4(B_{p,q}^{3,0})$ , i.e.,  $B_{p,q}^{3,0} \prec_s B_{p,q}^{0,2}$ .

If  $p = 7$ , we have  $\phi_{B_{p,q}^{0,2}}(P_3) = \phi_{B_{p,q}^{3,0}}(P_3)$ . By direct computing, we have  $\phi_{B_{p,q}^{0,2}}(P_4) = \phi_{B_{p,q}^{3,0}}(P_4) = (p - 1)(q - 1)$  and

$$\begin{aligned} \phi_{B_{p,q}^{0,2}}(K_{1,3}) - \phi_{B_{p,q}^{3,0}}(K_{1,3}) &= \binom{p-2}{3} + \binom{q}{3} - \binom{p-3}{3} - \binom{q}{3} \\ &= \frac{1}{2}(p-3)(p-4) > 0. \end{aligned}$$

By Lemma 1.2(iii), we have  $S_6(B_{p,q}^{0,2}) - S_6(B_{p,q}^{3,0}) = 6(p-3)(p-4) > 0$ , i.e.,

$$(2.7) \quad B_{p,q}^{3,0} \prec_s B_{p,q}^{0,2}.$$

Hence,  $B_{p,q}^{0,2}$  is the last graph, in the  $S$ -order, among  $\mathcal{D}_1 \cup \mathcal{D}_2$  in this case.

By the proof of Fact 2 in Theorem 2.3, we obtain that  $B_{p,q}^{0,2}$  is the last graph, in the  $S$ -order, among  $(\mathcal{B}_1 \cup \mathcal{B}_2) \setminus \{B_{p,q}^{2,0}\}$ .

Note that if  $p \geq 7$ , it is routine to check that

$$(2.8) \quad (\mathcal{A}_1 \cup \mathcal{A}_2) \setminus \{B_{p,q}^{0,1}, B_{p,q}^{2,0}\} = \{D_{p,q}^{0,1}\} \cup \left\{ B_{p,q}^{k,0} : 3 \leq k \leq \left\lfloor \frac{p-1}{2} \right\rfloor \right\} \\ \cup \left\{ D_{p,q}^{k,0} : 2 \leq k \leq \left\lfloor \frac{q-1}{2} \right\rfloor \right\}.$$

By Lemma 1.4, we have  $D_{p,q}^{2,0} \prec_s D_{p,q}^{0,1}$ . In view of (2.1), (2.2) and (2.8), it suffices to compare  $B_{p,q}^{3,0}$  with  $D_{p,q}^{0,1}$ .

In view of (2.7), we obtain that  $B_{p,q}^{3,0} \prec_s B_{p,q}^{0,2}$ . If  $p \geq 7$ , by a similar discussion as in the proof of (2.6), we can also show that  $B_{p,q}^{0,2} \prec_s D_{p,q}^{0,1}$ . Hence,  $B_{p,q}^{3,0} \prec_s D_{p,q}^{0,1}$ .

Combining with the proof as above, we obtain that  $D_{p,q}^{0,1}$  is the fourth last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$ . This completes the proof of (ii).



(iii) Let  $p < \frac{q+4}{2}$ . We proceed by considering the following two possible cases with respect to  $\mathcal{D}_1$ .

**Case 1.**  $\mathcal{D}_1 = \{B_{p,q}^{2,1}, B_{p,q}^{0,2}, L_{p,q}^1, M_{p,q}^1\}$  with  $4 \leq p < 7$ .

By a similar discussion as in the proof of Case 1 in (ii), we know that  $B_{p,q}^{0,2}$  is the last tree, in the  $S$ -order, among  $(\mathcal{A}_2 \cup \mathcal{B}_1 \cup \mathcal{B}_2 \cup \mathcal{D}_1 \cup \mathcal{D}_2) \setminus \{B_{p,q}^{2,0}\}$ . Note that  $p < 7$ , it is routine to check that  $\mathcal{A}_1 \setminus \{B_{p,q}^{0,1}\} = \{D_{p,q}^{0,1}\}$ . In order to complete the proof, it suffices to compare  $B_{p,q}^{0,2}$  with  $D_{p,q}^{0,1}$ .

By Lemma 1.1,  $S_i(D_{p,q}^{0,1}) = S_i(B_{p,q}^{0,2})$  holds for  $i = 0, 1, 2, 3$ . It is routine to check that  $\phi_{D_{p,q}^{0,1}}(P_2) = \phi_{B_{p,q}^{0,2}}(P_2) = n - 1$  and  $\phi_{D_{p,q}^{0,1}}(C_4) = \phi_{B_{p,q}^{0,2}}(C_4) = 0$ . By Lemma 1.3,

$$\begin{aligned} \phi_{D_{p,q}^{0,1}}(P_3) - \phi_{B_{p,q}^{0,2}}(P_3) &= \left( \binom{p}{2} + \binom{q-1}{2} + 1 \right) - \left( \binom{q}{2} + \binom{p-2}{2} + 2 \right) \\ &= 2p - q - 3. \end{aligned}$$

If  $p < \frac{q+3}{2}$ , by Lemma 1.2(i), we have  $S_4(D_{p,q}^{0,1}) < S_4(B_{p,q}^{0,2})$ , i.e.,  $D_{p,q}^{0,1} \prec_s B_{p,q}^{0,2}$ .

If  $p = \frac{q+3}{2}$ , we have  $S_4(D_{p,q}^{0,1}) = S_4(B_{p,q}^{0,2})$ . By Lemma 1.2(ii),  $S_5(D_{p,q}^{0,1}) = S_5(B_{p,q}^{0,2})$ . By direct computing, we have  $\phi_{D_{p,q}^{0,1}}(P_4) = \phi_{B_{p,q}^{0,2}}(P_4) = (p - 1)(q - 1)$  and

$$\begin{aligned} \phi_{D_{p,q}^{0,1}}(K_{1,3}) - \phi_{B_{p,q}^{0,2}}(K_{1,3}) &= \binom{p}{3} + \binom{q-1}{3} - \binom{p-2}{3} - \binom{q}{3} \\ &= \frac{-(q-2)^2 + 1}{4} < 0. \end{aligned}$$

Hence, by Lemma 1.2(iii), we have  $S_6(D_{p,q}^{0,1}) - S_6(B_{p,q}^{0,2}) = 3[-(q-2)^2 + 1] < 0$ , i.e.,  $D_{p,q}^{0,1} \prec_s B_{p,q}^{0,2}$ . So in this case,  $B_{p,q}^{0,2}$  is the fourth last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$ .

**Case 2.**  $\mathcal{D}_1 = \{B_{p,q}^{3,0}, B_{p,q}^{2,1}, B_{p,q}^{0,2}, L_{p,q}^1, M_{p,q}^1\}$  with  $p \geq 7$ .

By a similar discussion as in the proof of Case 2 in (ii), we know that  $B_{p,q}^{0,2}$  is the last tree, in the  $S$ -order, among  $(\mathcal{A}_2 \cup \mathcal{B}_1 \cup \mathcal{B}_2 \cup \mathcal{D}_1 \cup \mathcal{D}_2) \setminus \{B_{p,q}^{2,0}\}$ . It is routine to check that  $\mathcal{A}_1 \setminus \{B_{p,q}^{0,1}\} = \{D_{p,q}^{0,1}\}$ . In order to complete the proof, it suffices to compare  $B_{p,q}^{0,2}$  with  $D_{p,q}^{0,1}$ . By a similar discussion as in the proof of Case 1 in (iii), we have  $D_{p,q}^{0,1} \prec_s B_{p,q}^{0,2}$ . Hence, in this case  $B_{p,q}^{0,2}$  is the fourth last tree in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$ . This completes the proof of (iii).  $\square$

**Theorem 2.5.** *If  $4 \leq p = q$ , then for any  $T \in \mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$ , we have  $T \preceq_s B_{p,q}^{0,2}$  with equality if and only if  $T \cong B_{p,q}^{0,2}$ .*

*Proof.* Up to isomorphism, for any  $T \in \mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$ , by a similar discussion as above,  $T$  can be transformed into  $B_{p,q}^{0,0}$  (respectively,  $B_{p,q}^{0,1}, B_{p,q}^{2,0}$ ) by carrying the Operations I and II repeatedly. By Lemmas 1.4 and 1.5, the last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$  must be in  $\mathcal{A}_1 \cup \mathcal{A}_2 \cup \mathcal{B}_1 \cup \mathcal{B}_2 \cup \mathcal{D}_1 \cup \mathcal{D}_2 \setminus \{B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$ , where  $\mathcal{A}_1, \mathcal{A}_2$  (respectively,  $\mathcal{B}_1, \mathcal{B}_2$ ) are defined in the proof of Theorem 2.2 (respectively, Theorem 2.3), and  $\mathcal{D}_1, \mathcal{D}_2$  are defined in the proof of Theorem 2.4. We proceed by considering the following two possible cases.

**Case 1.**  $\mathcal{D}_1 = \{B_{p,q}^{2,1}, B_{p,q}^{0,2}, L_{p,q}^1, M_{p,q}^1\}$  with  $4 \leq p < 7$ .

By a similar discussion as the proof of Case 1 in Theorem 2.4(ii), we obtain that  $B_{p,q}^{0,2}$  is the last tree, in the  $S$ -order, among  $\mathcal{D}_1 \cup \mathcal{D}_2$ . By the proof of Fact 2 in Theorem 2.3, we obtain that  $B_{p,q}^{0,2}$  is the last graph, in the  $S$ -order, among  $(\mathcal{B}_1 \cup \mathcal{B}_2) \setminus \{B_{p,q}^{2,0}\}$ . It is routine to check that in this case  $(\mathcal{A}_1 \cup \mathcal{A}_2) \setminus \{B_{p,q}^{0,1}, B_{p,q}^{2,0}\} = \emptyset$ . Hence,  $B_{p,q}^{0,2}$  is the last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$ .

**Case 2.**  $\mathcal{D}_1 = \{B_{p,q}^{3,0}, B_{p,q}^{2,1}, B_{p,q}^{0,2}, L_{p,q}^1, M_{p,q}^1\}$  with  $p \geq 7$ .

By a similar discussion as the proof of Case 2 in Theorem 2.4(ii), we obtain that  $B_{p,q}^{0,2}$  is the last tree, in the  $S$ -order, among  $(\mathcal{D}_1 \cup \mathcal{D}_2 \cup \mathcal{A}_1 \cup \mathcal{A}_2) \setminus \{B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$ . By the proof of Fact 2 in Theorem 2.3, we obtain that  $B_{p,q}^{0,2}$  is the last graph, in the  $S$ -order, among  $(\mathcal{B}_1 \cup \mathcal{B}_2) \setminus \{B_{p,q}^{2,0}\}$ . Hence,  $B_{p,q}^{0,2}$  is the last tree, in the  $S$ -order, among  $\mathcal{T}_n^{p,q} \setminus \{B_{p,q}^{0,0}, B_{p,q}^{0,1}, B_{p,q}^{2,0}\}$ .

This completes the proof.  $\square$

### 3. Conclusion and remarks

Summarizing the results in Section 2, we can obtain the last four graphs in the  $S$ -order of the set of  $n$ -vertex trees with a  $(p, q)$ -bipartition.

Combining with Theorems 2.1, 2.2, 2.3(ii) and 2.4, we have

**Theorem 3.1.** *Given positive integers  $p, q$  with  $4 \leq p < q$  and  $p + q = n$ .*

- (i) *If  $p > \frac{q+4}{2}$ , the last four trees, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  are as follows:  $B_{p,q}^{2,0}, D_{p,q}^{0,1}, B_{p,q}^{0,1}, B_{p,q}^{0,0}$ .*
- (ii) *If  $p = \frac{q+4}{2}$ , the last four trees, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  are as follows:  $D_{p,q}^{0,1}, B_{p,q}^{2,0}, B_{p,q}^{0,1}, B_{p,q}^{0,0}$ .*

- (iii) If  $p < \frac{q+4}{2}$ , the last four trees, in the  $S$ -order, among  $\mathcal{T}_n^{p,q}$  are as follows:  $B_{p,q}^{0,2}, B_{p,q}^{2,0}, B_{p,q}^{0,1}, B_{p,q}^{0,0}$ .

Combining with Theorems 2.1, 2.2, 2.3(i) and 2.5, we have

**Theorem 3.2.** *If  $4 \leq p = q$ , the last four trees, in the  $S$ -order, among the set  $\mathcal{T}_n^{p,q}$  are as follows:  $B_{p,q}^{0,2}, B_{p,q}^{2,0}, B_{p,q}^{0,1}, B_{p,q}^{0,0}$ .*

In this paper, we determine the last four graphs, in the  $S$ -order, of the set of  $n$ -vertex trees with a  $(p, q)$ -bipartition. It is natural to consider the following research problem: How can we determine the first  $k$  graphs, in the  $S$ -order, of the set of  $n$ -vertex trees with a  $(p, q)$ -bipartition? It seems difficult but interesting.

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