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**On constant products of elements in skew polynomial rings**

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## ON CONSTANT PRODUCTS OF ELEMENTS IN SKEW POLYNOMIAL RINGS

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**ABSTRACT.** Let  $R$  be a reversible ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$  and  $f(X) = a_0 + a_1X + \cdots + a_nX^n$  be a nonzero skew polynomial in  $R[X; \alpha]$ . It is proved that if there exists a nonzero skew polynomial  $g(X) = b_0 + b_1X + \cdots + b_mX^m$  in  $R[X; \alpha]$  such that  $g(X)f(X) = c$  is a constant in  $R$ , then  $b_0a_0 = c$  and there exist nonzero elements  $a$  and  $r$  in  $R$  such that  $rf(X) = ac$ . In particular,  $r = ab_p$  for some  $p$ ,  $0 \leq p \leq m$ , and  $a$  is either one or a product of at most  $m$  coefficients from  $f(X)$ . Furthermore, if  $b_0$  is a unit in  $R$ , then  $a_1, a_2, \dots, a_n$  are all nilpotent. As an application of the above result, it is proved that if  $R$  is a weakly 2-primal ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$ , then a skew polynomial  $f(X)$  in  $R[X; \alpha]$  is a unit if and only if its constant term is a unit in  $R$  and other coefficients are all nilpotent.

**Keywords:** Constant products, skew polynomial rings, reversible rings, weakly 2-primal rings.

**MSC(2010):** Primary: 16S36; Secondary: 16U60, 16N40.

### 1. Introduction

Throughout this note each ring  $R$  is associative with identity and a ring homomorphism preserves the identity. For a ring  $R$ , we use the symbol  $N(R)$  to denote the set of nilpotent elements in  $R$ ,  $U(R)$  its unit group,  $M_n(R)$  the ring of  $n \times n$  matrices over  $R$ , and  $E_n$  the  $n \times n$  identical matrix over  $R$ . The symbol  $N_*(R)$  denotes the prime radical of a ring  $R$ ,  $N^*(R)$  its upper nil-radical,  $L\text{-rad}(R)$  its Levitzki radical, and  $J(R)$  its Jacobson radical, respectively.

Recall that a ring  $R$  is reduced if it has no nonzero nilpotent elements. A ring  $R$  is reversible if  $ab = 0$  implies  $ba = 0$  for  $a, b \in R$ . A ring  $R$  is semicommutative if  $ab = 0$  implies  $aRb = 0$  for  $a, b \in R$ . Obviously, a ring  $R$  is semicommutative if and only if its opposite ring  $R^{op}$  is semicommutative. A

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ring  $R$  is 2-primal if  $N(R) = N_*(R)$ . A ring  $R$  is weakly 2-primal if  $N(R) = Lrad(R)$ . And a ring  $R$  is NI if  $N(R) = N^*(R)$ . It is known that reduced  $\Rightarrow$  reversible  $\Rightarrow$  semicommutative  $\Rightarrow$  2-primal  $\Rightarrow$  weakly 2-primal  $\Rightarrow$  NI, but the converse does not hold (see [3, 8]). Let  $R$  be a ring and  $\alpha$  be an endomorphism of  $R$ . The ring  $R$  is called  $\alpha$ -compatible provided  $ab = 0 \Leftrightarrow a\alpha(b) = 0$ , and  $R$  is called weak  $\alpha$ -compatible in case  $ab \in N(R) \Leftrightarrow a\alpha(b) \in N(R)$  where  $a, b \in R$ . A ring  $R$  to be  $\alpha$ -compatible is also said to satisfy  $\alpha$ -condition in some literatures (see [3]). According to Krempa [6], an endomorphism  $\alpha$  of a ring  $R$  is called rigid if  $a\alpha(a) = 0$  implies  $a = 0$  for  $a \in R$ . It is proved in [6, Lemma 3.2] that if  $R$  is a reduced ring and  $\alpha$  is an endomorphism of  $R$ , then  $\alpha$  is rigid if and only if  $\alpha$  is monomorphism persevering every minimal prime ideal of  $R$  if and only if  $\alpha^{-1}(P) \subseteq P$  for any minimal prime  $P$  of  $R$ .

It is well known that a polynomial over a commutative ring  $R$  is a unit if and only if its constant term is a unit in  $R$  and other coefficients are nilpotent. This result in [2] has been extended to a 2-primal ring. However the conclusion is not true for a noncommutative ring in general (see [2, Example 2.8]). The aim of this note is to extend the main results in [2] to more general cases. We generalize the constant-product theorem for a commutative polynomial ring [4] to a skew polynomial ring  $R[X; \alpha]$  where  $R$  is a reversible ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$ . It follows that if  $R$  is a weakly 2-primal ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$ , then a skew polynomial  $f(X)$  in  $R[X; \alpha]$  is a unit if and only if its constant term is a unit in  $R$  and other coefficients are nilpotent. For an NI-ring  $R$ , it is proved that if  $R$  is weak  $\alpha$ -compatible then  $f(X)$  in  $R[X; \alpha]$  is a unit only if its constant term is a unit in  $R$  and the other coefficients are nilpotent, and that the stable range of  $R[X; \alpha]$  is not equal to one. Moreover we define a ring  $R$  to be a UN-ring in case any  $f(X)$  in  $R[X]$  is a unit if and only if its constant term is a unit in  $R$  and other coefficients are nilpotent, and conclude that any NI-ring is a UN-ring if and only if Koethe's Conjecture has a positive solution.

## 2. Constant products in skew polynomial rings

We start this section with the following lemmas.

**Lemma 2.1.** (*[3, Lemma 3.1]*) *Let  $R$  be a ring and  $\alpha$  be an endomorphism of  $R$ . If  $R$  is  $\alpha$ -compatible, then for any  $n \geq 2$ ,  $a_1 a_2 \cdots a_n = 0 \Leftrightarrow \alpha^{k_1}(a_1) \alpha^{k_2}(a_2) \cdots \alpha^{k_n}(a_n) = 0$  where  $a_1, a_2, \dots, a_n \in R$  and  $k_1, k_2, \dots, k_n$  are any nonnegative integers. In particular,  $a_1 a_2 \in N(R) \Leftrightarrow a_1 \alpha^k(a_2) \in N(R)$  for any nonnegative integer  $k$ .*

Lemma 2.1 implies that if a ring  $R$  is  $\alpha$ -compatible then it is weak  $\alpha$ -compatible, and that if  $R$  is reversible and  $\alpha$ -compatible then  $rf(X) = 0$  if and only if  $f(X)r = 0$  for  $f(X)$  in  $R[X; \alpha]$  and  $r$  in  $R$ .

**Lemma 2.2.** (*[3, Theorem 3.1]*) *Let  $R$  be a weakly 2-primal ring and  $\alpha$  be an endomorphism of  $R$ . If  $R$  is  $\alpha$ -compatible, then the skew polynomial ring  $R[X; \alpha]$  is also a weakly 2-primal ring. More specifically,  $N(R)[X; \alpha] = N(R[X; \alpha]) = L\text{-rad}(R[X; \alpha])$ .*

**Lemma 2.3.** *Let  $R$  be 2-primal ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$ . If  $P$  is any minimal prime ideal of  $R$ , then both  $\alpha(P)$  and  $\alpha^{-1}(P)$  are contained in  $P$ .*

*Proof.* Since  $R$  is a 2-primal ring,  $N(R) = N_*(R)$ , and  $\bar{R} = R/N_*(R)$  is reduced. By Lemma 2.1,  $\alpha$  induces an endomorphism  $\bar{\alpha}$  of  $\bar{R}$  via  $\bar{\alpha}(\bar{a}) = \overline{\alpha(a)}$  where  $\bar{a} = a + N_*(R)$  for any  $a \in R$ . Now if  $\bar{a}\bar{\alpha}(\bar{a}) = \bar{0}$  for  $a \in R$ , then  $a\alpha(a) \in N_*(R)$ . This gives  $a^2 \in N_*(R)$  by Lemma 2.1, and so  $a \in N_*(R)$ . Hence  $\bar{a} = \bar{0}$  and  $\bar{\alpha}$  is a rigid endomorphism of  $\bar{R}$ . Note that  $P/N_*(R)$  is a minimal prime ideal of  $\bar{R}$ . Using [6, Lemma 3.2], one has  $\bar{\alpha}(P/N_*(R)) \subseteq P/N_*(R)$  and  $\bar{\alpha}^{-1}(P/N_*(R)) \subseteq P/N_*(R)$ . It yields that both  $\alpha(P)$  and  $\alpha^{-1}(P)$  are contained in  $P$ .  $\square$

By Lemma 2.3,  $\alpha$  may induce an endomorphism of  $R/P$  naturally.

Recall that a prime ideal of a ring  $R$  is completely prime if  $R/P$  is a domain. It is known by [9, Proposition 1.11] that a ring  $R$  is 2-primal if and only if each minimal prime ideal is completely prime. Also note that for any ring  $R$ , the prime radical  $N_*(R)$  is equal to the intersection of all minimal prime ideals of  $R$  (cf. [7, p. 180]).

The next two theorems are the counterparts of the main theorem of [4].

**Theorem 2.4.** *Let  $R$  be a reversible ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$  and  $f(X) = a_0 + a_1X + \cdots + a_nX^n$  be a nonzero skew polynomial in  $R[X; \alpha]$ . If there is nonzero skew polynomial  $g(X) = b_0 + b_1X + \cdots + b_mX^m$  in  $R[X; \alpha]$  such that  $g(X)f(X) = c$  is a constant, then  $b_0a_0 = c$  and there exist nonzero elements  $a$  and  $r$  in  $R$  such that  $rf(X) = ac$ . In particular,  $r = ab_p$  for some  $p$ ,  $0 \leq p \leq m$ , and  $a$  is either one or a product of at most  $m$  coefficients from  $f(X)$ . Furthermore, if  $b_0$  is a unit in  $R$ , then  $a_1, a_2, \dots, a_n$  are all nilpotent.*

*Proof.* First we prove that the conclusion is true for any skew polynomial  $f(X)$  of degree 0. By the assumption  $f(X) = a_0 \neq 0$ , and  $g(X)a_0 = c$ . This means  $b_0a_0 = c$ . If  $b_0 \neq 0$ , then  $r = b_0$  and  $a = 1$  are desired nonzero elements. If  $b_0 = 0$ , then  $c = b_0a_0 = 0$ . Assume that  $b_q$  is the least nonzero coefficient of  $g(X)$ . Then one has  $g(X)a_0 = (b_qX^q + \cdots + b_mX^m)a_0 = 0$ . This gives  $b_q\alpha^q(a_0) = 0$ , and so  $b_qa_0 = 0$  by Lemma 2.1. Thus  $r = b_q$  and  $a = 1$  are desired nonzero elements.

Next we may assume that  $f(X)$  is of degree  $n \geq 1$ . We proceed by induction on the degree of  $g(X)$ . If  $m = 0$ , then  $g(X) = b_0 \neq 0$ . From  $g(X)f(X) = b_0(a_0 + a_1X + \cdots + a_nX^n) = c$ , one has  $c = b_0a_0$  and so  $r = b_0, a = 1$  are

desired. Assume that the conclusion is true for all skew polynomials of degree less than  $m$ . Let  $g(X)f(X) = c$  for  $g(X)$  of degree  $m$ , we show that  $g(X)$  can be replaced by a skew polynomial of lower degree. If  $b_0 = 0$ , then  $c = b_0a_0 = 0$ , and  $g(X) = b_qX^q + \dots + b_mX^m$  where  $b_q$  is the least nonzero coefficient of  $g(X)$ . If  $a_kg(X) = 0$  for all  $1 \leq k \leq n$ , then  $a_kb_q = 0$ , and so  $b_qa_k = 0$  for such  $k$ . In this case,  $b_qf(X) = 0 = c$ , and one may take  $r = b_q, a = 1$  as desired. Thus we may assume that  $k$  is the largest positive integer such that  $a_kg(X) \neq 0$ . In the case of  $k = n$ , then from  $g(X)f(X) = 0 = c$  one has  $b_m\alpha^m(a_n) = 0$ , which implies  $b_ma_n = a_nb_m = 0$  and so  $a_ng(X)$  is of degree less than  $m$  satisfying  $a_ng(X)f(X) = a_nc = 0$ . In the case of  $k < n$ , then  $a_sg(X) = 0 = g(X)a_s$  for  $k + 1 \leq s \leq n$ . This means  $g(X)(a_0 + a_1X + \dots + a_kX^k) = g(X)f(X) = c = 0$ . It yields that  $b_m\alpha^m(a_k) = 0 = b_ma_k = a_kb_m$ . This implies that  $a_kg(X)$  is of degree less than  $m$ , and  $a_kg(X)f(X) = a_kc = 0$ . Thus induction hypothesis applied to  $a_kg(X)$  and  $a_kc$  yields the desired conclusion. If  $b_0 \neq 0$ , and  $a_kg(X) = 0$  for all  $1 \leq k \leq n$ , then  $a_kb_0 = 0 = b_0a_k$  for such  $k$ . It follows that  $b_0f(X) = c$ , and so  $b_0a_0 = c$ . Clearly,  $r = b_0$  and  $a = 1$  satisfy the desired condition. Thus we assume that  $k$  is the largest positive integer such that  $a_kg(X) \neq 0$ . In the case of  $k = n$ , from  $g(X)f(X) = c$  one has that  $b_m\alpha^m(a_n) = 0$ , which implies  $b_ma_n = a_nb_m = 0 =$  and so  $a_ng(X)$  is of degree less than  $m$  satisfying  $a_ng(X)f(X) = a_nc$ . In the case of  $k < n$ , then  $a_sg(X) = 0 = g(X)a_s$  for  $k + 1 \leq s \leq n$ . This means  $g(X)(a_0 + a_1X + \dots + a_kX^k) = g(X)f(X) = c$ . It yields that  $b_m\alpha^m(a_k) = 0 = b_ma_k = a_kb_m$ , and thus  $a_kg(X)$  is of degree less than  $m$ , and  $a_kg(X)f(X) = a_kc$ . Now induction hypothesis applied to  $a_kg(X)$  and  $a_kc$  yields the desired conclusion.

Now we prove the nilpotency of  $a_1, a_2, \dots, a_n$ . Assume that  $g(X)f(X) = c$  and  $b_0$  is a unit in  $R$ . Let  $P$  be any minimal prime ideal of  $R$ . By Lemma 2.3, one can define an endomorphism  $\bar{\alpha}$  of  $\bar{R} = R/P$  via  $\bar{\alpha}(\bar{a}) = \bar{\alpha}(a)$  where  $\bar{a} = a + P$  for any  $a \in R$ . Thus  $\bar{R}[X; \bar{\alpha}]$  is a skew polynomial ring. Since  $R$  is a 2-primal ring,  $P$  is a completely prime ideal of  $R$  and so  $\bar{R}$  is a domain. Clearly,  $\bar{R}$  is a reversible ring. We prove that  $\bar{R}$  is  $\bar{\alpha}$ -compatible. By Lemma 2.3,  $\alpha(P) \subseteq P$  and  $\alpha^{-1}(P) \subseteq P$  hold. If  $\bar{a}\bar{b} = \bar{0}$  for  $a, b \in R$ , then  $ab \in P$ . This implies  $a \in P$  or  $b \in P$  since  $P$  is a completely prime ideal of  $R$ . It follows that  $a\alpha(b) \in P$  by Lemma 2.3, that is,  $\bar{a}\bar{\alpha}(\bar{b}) = \bar{0}$ . Conversely, if  $\bar{a}\bar{\alpha}(\bar{b}) = \bar{0}$  for  $a, b \in R$ , then  $a\alpha(b) \in P$ . This means  $a \in P$  or  $\alpha(b) \in P$ . Again by Lemma 2.3,  $a \in P$  or  $b \in P$ , this gives  $ab \in P$  and so  $\bar{a}\bar{b} = \bar{0}$ . It is easy to check that there exists a natural ring epimorphism from  $R[X; \alpha]$  onto  $\bar{R}[X; \bar{\alpha}]$ . It follows that  $\bar{g}(X)\bar{f}(X) = \bar{c}$  in  $\bar{R}[X; \bar{\alpha}]$ . If  $\bar{f}(X) = \bar{0}$ , then clearly  $a_i \in P$  for all  $i \geq 1$ . Since  $b_0$  is a unit,  $\bar{g}(X) \neq \bar{0}$ . If  $\bar{f}(X) \neq \bar{0}$ , then  $\bar{g}(X)\bar{f}(X) = \bar{c}$  implies that there exist  $\bar{r}, \bar{a} \neq \bar{0}$  such that  $\bar{r}\bar{f}(X) = \bar{a}\bar{c}$ . This means  $\bar{r}\bar{a}_i = \bar{0}$  for each  $i \geq 1$ . Noticing that  $R/P$  is a domain, one has  $\bar{a}_i = \bar{0}$ , and so  $a_i \in P$ . Therefore  $a_i \in N_*(R)$  for all  $i \geq 1$ , since  $N_*(R)$  is the intersection of all minimal prime ideals of  $R$ . □

**Theorem 2.5.** *Let  $R$  be a reversible ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$  and  $f(X) = a_0 + a_1X + \cdots + a_nX^n$  in  $R[X; \alpha]$  be a nonzero skew polynomial. If there is nonzero skew polynomial  $g(X) = b_0 + b_1X + \cdots + b_mX^m$  in  $R[X; \alpha]$  such that  $f(X)g(X) = c$  is a constant, then  $a_0b_0 = c$  and there exist nonzero elements  $a$  and  $r$  in  $R$  such that  $f(X)r = ca$ . In particular,  $r = b_p a$  for some  $p$ ,  $0 \leq p \leq m$ , and  $a$  is either one or a product of at most  $m$  elements from  $\{\alpha^k(a_i) \mid 0 \leq k \leq m, 0 \leq i \leq n\}$ . Furthermore, if  $b_0$  is a unit in  $R$ , then  $a_1, a_2, \dots, a_n$  are all nilpotent.*

*Proof.* Similar to the proof of Theorem 2.4, it is easy for one to prove the conclusion for  $f(X)$  of degree 0. Next we may assume that  $f(X)$  is of degree  $n \geq 1$ . We proceed by induction on the degree of  $g(X)$ . If  $m = 0$ , then  $g(X) = b_0 \neq 0$  and  $f(X)b_0 = (a_0 + a_1X + \cdots + a_nX^n)b_0 = c$ . Clearly,  $a_0b_0 = c$  and one may take  $r = b_0$  and  $a = 1$  as desired. Assume that the conclusion is true for all skew polynomials of degree less than  $m$ . Let  $f(X)g(X) = c$  for  $g(X)$  of degree  $m$ , we show that  $g(X)$  can be replaced by a skew polynomial of lower degree. If  $b_0 = 0$ , then  $c = a_0b_0 = 0$ . From  $f(X)g(X) = f(X)g^*(X)X^q = c = 0$ , one has  $f(X)g^*(X) = 0 = c$  where  $g^*(X) = b_q + b_{q+1}X + \cdots + b_mX^{m-q}$  and  $b_q$  is the least nonzero coefficient of  $g(X)$ . One may get desired nonzero elements  $a$  and  $r$  by the inductive assumption. If  $b_0 \neq 0$ , and  $g(X)a_k = 0$  for all  $1 \leq k \leq n$ , then  $b_0a_k = 0$  for such  $k$ . This means that  $a_k b_0 = a_k \alpha^k(b_0) = 0$  for all  $1 \leq k \leq n$ , since  $R$  is reversible and  $\alpha$ -compatible. It follows that  $f(X)b_0 = c$  and  $c = a_0b_0$ , hence  $r = b_0$  and  $a = 1$  satisfy the desired condition. Thus we assume that  $k$  is the largest positive integer such that  $g(X)a_k \neq 0$ . In the case of  $k = n$ , from  $f(X)g(X) = c$  one has that  $a_n \alpha^n(b_m) = 0$ , which implies  $a_n b_m = 0 = b_m a_n$  and so  $b_m \alpha^m(a_n) = 0$ . That is,  $g(X)a_n$  is of degree less than  $m$  satisfying  $f(X)(g(X)a_n) = ca_n$ . In the case of  $k < n$ , then  $g(X)a_s = 0$  for  $k+1 \leq s \leq n$ . This means  $a_s g(X) = 0$  by Lemma 2.1, and so  $a_s b_0 = a_s b_1 = \cdots = a_s b_m = 0$ . It yields that  $a_s X^s g(X) = 0$  by Lemma 2.1. Thus one may get  $(a_0 + a_1X + \cdots + a_k X^k)g(X) = f(X)g(X) = c$ . It follows that  $a_k \alpha^k(b_m) = 0 = a_k b_m = b_m a_k$ , and thus  $b_m \alpha^m(a_k) = 0$ . This implies that  $g(X)a_k$  is of degree less than  $m$ , and  $f(X)(g(X)a_k) = ca_k$ . Now induction hypothesis applied to  $g(X)a_k$  and  $ca_k$  yields the desired conclusion.

The proof regarding the nilpotency of  $a_1, a_2, \dots, a_n$  is very similar to that of Theorem 2.4, so we omit the detail.  $\square$

The next corollary is a direct result of Theorem 2.4 or Theorem 2.5.

**Corollary 2.6.** *Let  $R$  be a reversible ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$ . A skew polynomial  $f(X)$  in  $R[X; \alpha]$  is a divisor of zero if and only if there exists a nonzero constant  $r \in R$  such that  $rf(X) = f(X)r = 0$ .*

A ring  $R$  is called right McCoy if for two nonzero polynomials  $f(X)$  and  $g(X)$  in  $R[X]$  whenever  $f(X)g(X) = 0$ , then there exists nonzero element  $r$  in

$R$  such that  $f(X)r = 0$ . A left McCoy ring can be defined similarly. If a ring  $R$  is left and right McCoy, then it is called McCoy (see [8]).

**Corollary 2.7.** (*[8, Theorem 2]*) *If  $R$  is a reversible ring, then  $R$  is a McCoy ring.*

**Remark 2.8.** *Nielsen [8] proved that there exists a semicommutative ring  $R$  which is not right McCoy. This means that one could not expect to extend Theorem 2.4 or 2.5 to a semicommutative ring since the opposite ring of a semicommutative ring is semicommutative. On the other hand, let  $S$  be a reduced ring, then it is easy to check that the ring*

$$R = \left\{ \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \mid a, b \in S \right\}$$

*is reversible. Define  $\alpha: \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \mapsto \begin{pmatrix} a & -b \\ 0 & a \end{pmatrix}$ , then  $\alpha$  is an endomorphism of  $R$ , and  $R$  is  $\alpha$ -compatible. In this way, one can get noncommutative reversible rings being  $\alpha$ -compatible.*

**Corollary 2.9.** *Let  $R$  be a reversible ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$ . A skew polynomial  $f(X)$  in  $R[X; \alpha]$  is a unit if and only if its constant term is a unit and other coefficients are nilpotent.*

*Proof.* If  $f(X) = a_0 + a_1X + \dots + a_nX^n$  in  $R[X; \alpha]$  is a unit, then there exists  $g(X) = b_0 + b_1X + \dots + b_mX^m$  in  $R[X; \alpha]$  such that  $f(X)g(X) = g(X)f(X) = 1$ . This means that  $b_0$  is a unit, and so all  $a_k$  are nilpotent for  $k \geq 1$  by Theorem 2.4. Conversely, if  $a_0$  is a unit and each  $a_k$  is nilpotent for  $k \geq 1$ , then  $a_1X + \dots + a_nX^n \in N(R)[X; \alpha] = L\text{-rad}(R[X; \alpha]) \subseteq J(R[X; \alpha])$  by Lemma 2.2, concluding that  $f(X)$  is a unit in  $R[X; \alpha]$ .  $\square$

The next two corollaries are the counterparts of the last corollary in [4].

**Corollary 2.10.** *Let  $R$  be a reversible ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$ ,  $f(X) = a_0 + a_1X + \dots + a_nX^n$  and  $g(X) = b_0 + b_1X + \dots + b_mX^m$  be nonzero skew polynomials in  $R[X; \alpha]$  such that  $a_1$  is a unit in  $R$ . If  $f(g(X)) = 0$  and either  $b_0$  or  $a_2, a_3, \dots, a_n$  are all nilpotent, then  $b_1, b_2, \dots, b_m$  are also nilpotent.*

*Proof.* Note that the condition  $f(g(X)) = 0$  implies that  $a_0 + a_1g + \dots + a_ng^n = 0$ . This means that  $(a_1 + a_2g + \dots + a_ng^{n-1})g = -a_0$ . However the constant term of  $a_1 + a_2g + \dots + a_ng^{n-1}$  is  $a_1 + a_2b_0 + a_3b_0^2 + \dots + a_nb_0^{n-1}$  which is a unit since it is a sum of a unit and a nilpotent element contained in  $N_*(R)$ . By Theorem 2.5,  $b_1, b_2, \dots, b_m$  are all nilpotent.  $\square$

**Corollary 2.11.** *Let  $R$  be a reversible ring which is  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$ ,  $f(X) = a_0 + a_1X + \dots + a_nX^n$  and  $g(X) = b_0 + b_1X + \dots + b_mX^m$  be nonzero skew polynomials in  $R[X; \alpha]$  such that  $b_1$  is a unit*

in  $R$ . If  $g(f(X)) = 0$  and either  $a_0$  or  $b_2, b_3, \dots, b_m$  are all nilpotent, then  $a_1, a_2, \dots, a_n$  are also nilpotent.

*Proof.* It is similar to the proof of Corollary 2.10.  $\square$

**Corollary 2.12.** *Let  $R$  be a reduced ring and  $\alpha$  be an endomorphism of  $R$ . If  $R$  is  $\alpha$ -compatible, then  $f(X) = a_0 + a_1X + \dots + a_nX^n$  in  $R[X; \alpha]$  is a unit if and only if  $a_0$  is a unit in  $R$  and  $a_i$  is zero for each  $i \geq 1$ .*

**Corollary 2.13.** *Let  $R$  be an NI ring and  $\alpha$  be an endomorphism of  $R$ . If  $R$  is weak  $\alpha$ -compatible, then  $f(X) = a_0 + a_1X + \dots + a_nX^n$  in  $R[X; \alpha]$  is a unit only if  $a_0$  is a unit and  $a_i$  is nilpotent for each  $i \geq 1$ .*

*Proof.* Since  $R$  is NI,  $N(R)$  is an ideal of  $R$  and  $\bar{R} = R/N(R)$  is reduced. It is easy to check that  $\alpha$  induces an endomorphism  $\bar{\alpha}$  of  $\bar{R}$  via  $\bar{\alpha}(\bar{a}) = \alpha(a) + N(R)$  since  $\alpha(N(R)) \subseteq N(R)$  where  $\bar{a} = a + N(R)$  for  $a \in R$ . Noticing that  $R$  is weak  $\alpha$ -compatible, one has  $\bar{a}\bar{\alpha}(\bar{b}) = \bar{0} \Leftrightarrow a\alpha(b) \in N(R) \Leftrightarrow ab \in N(R) \Leftrightarrow \bar{a}\bar{b} = \bar{0}$  for  $a, b \in R$ , that is,  $\bar{R}$  is  $\bar{\alpha}$ -compatible. Since there exists a ring epimorphism from  $R[X; \alpha]$  onto  $\bar{R}[X; \bar{\alpha}]$ , which sends  $f(X)$  to  $\bar{f}(X) = \bar{a}_0 + \bar{a}_1X + \dots + \bar{a}_nX^n$ ,  $\bar{f}(X)$  is a unit in  $\bar{R}[X; \bar{\alpha}]$ . Hence  $\bar{a}_0$  is a unit in  $R/N(R)$  and  $\bar{a}_i$  is zero for each  $i \geq 1$  by Corollary 2.12. Now it is easy to see that  $a_0$  is a unit in  $R$  and  $a_i$  is nilpotent for each  $i \geq 1$ .  $\square$

**Corollary 2.14.** *Let  $R$  be a weakly 2-primal ring and  $\alpha$  be an endomorphism of  $R$ . If  $R$  is  $\alpha$ -compatible, then  $f(X) = a_0 + a_1X + \dots + a_nX^n$  in  $R[X; \alpha]$  is a unit if and only if  $a_0$  is a unit in  $R$  and  $a_i$  is nilpotent for each  $i \geq 1$ .*

*Proof.* Since a weakly 2-primal ring is NI and  $R$  being  $\alpha$ -compatible implies being weak  $\alpha$ -compatible, the only if part follows from Corollary 2.13. Conversely, let  $f(X) = a_0 + a_1X + \dots + a_nX^n$  in  $R[X; \alpha]$  be such that  $a_0$  is a unit in  $R$  and  $a_i$  is nilpotent for each  $i \geq 1$ . Then one has  $a_1X + \dots + a_nX^n \in L\text{-rad}(R[x; \alpha]) \subseteq J(R[X; \alpha])$  by Lemma 2.2, this implies that  $f(X)$  is a unit in  $R[X; \alpha]$ .  $\square$

**Corollary 2.15.** (*[2, Theorem 2.5]*) *Let  $R$  be a 2-primal. Then  $f(X) = a_0 + a_1X + \dots + a_nX^n$  in  $R[x]$  is a unit if and only if  $a_0$  is a unit in  $R$  and  $a_i$  is nilpotent for each  $i \geq 1$ .*

According to [5], a ring  $R$  is called unit-central if the units of  $R$  lies in its center. As an application of the above result, we show that a ring  $R$  is unit-central if and only if  $R[X]$  is unit-central.

**Proposition 2.16.** *A ring  $R$  is unit-central if and only if  $R[X]$  is unit-central.*

*Proof.* First we show that a unit-central ring  $R$  is 2-primal. Since the prime radical of  $R$  is the set of all strongly nilpotent elements in  $R$ , it is sufficient to show that every nilpotent element of  $R$  is strongly nilpotent. Assume that  $a \in N(R)$  satisfies  $a^n = 0$  for some positive integer  $n$ . We consider the  $m$ -sequence



beginning with  $a$ , that is,  $a_0 = a$ ,  $a_{i+1} = a_i r_i a_i$  where  $r_i \in R$  ( $i = 0, 1, \dots$ ). Note that  $R$  is unit-central implies that  $a$  is central. One has  $a_1 = a^2 r_0$ ,  $a_2 = a^2 r_0 r_1 r_0$ , inductively,  $a_n = a^{2^n} s$  for some  $s \in R$ . This means  $a_n = 0$  and so  $a$  is strongly nilpotent. Now assume that  $R[X]$  is unit-central. Then  $R$  is unit-central as a subring of  $R[X]$ . Conversely, assume that  $R$  is unit-central. Then  $R$  is 2-primal by the above argument. For any  $f(X) = a_0 + a_1 X + \dots + a_n X^n$  in  $U(R[X])$ , then  $a_0$  is a unit in  $R$  and  $a_i \in N(R)$  for each  $i \geq 1$  by Corollary 2.15. Since  $R$  is unit-central,  $a_i$  is central for all  $i \geq 0$ . It follows that  $f(X)$  is also central in  $R[X]$ .  $\square$

Recall that a ring  $R$  is said to have stable range one, denoted by  $S_r(R) = 1$ , if for  $a, b \in R$  satisfying  $aR + bR = R$ , there exists  $y \in R$  such that  $a + by$  is a unit in  $R$ . This notion is very important in the study of algebraic K-theory. It is well known that for a commutative ring  $R$ ,  $S_r(R[X]) > 1$ . Now we prove the conclusion is true for the skew polynomial ring  $R[X; \alpha]$  over an NI-ring  $R$  which is weak  $\alpha$ -compatible.

**Proposition 2.17.** *If  $R$  is an NI ring which is weak  $\alpha$ -compatible for an endomorphism  $\alpha$  of  $R$ , then  $S_r(R[X; \alpha]) > 1$ .*

*Proof.* Assume the contrary, then  $X(-X) + 1 + X^2 = 1$  implies that there exists  $f(X) \in R[X; \alpha]$  such that  $X + (1 + X^2)f(X)$  is a unit in  $R[X; \alpha]$ . Write  $f(X) = a_0 + a_1 X + \dots + a_n X^n$ . In the case of  $n = 0$ , then  $a_0 + X + \alpha^2(a_0)X^2$  is a unit in  $R[X; \alpha]$ . This implies that 1 is nilpotent in  $R$  by Corollary 2.13, a contradiction. When  $n = 1$ , then  $X + (1 + X^2)f(X) = a_0 + (1 + a_1)X + \alpha^2(a_0)X^2 + \alpha^2(a_1)X^3$  is a unit. Hence  $\alpha^2(a_1) \in N(R)$ , and so does  $a_1$  by Lemma 2.1. It follows that  $1 + a_1$  is nilpotent, this is impossible since  $1 + a_1$  is a unit. When  $n = 2k, k \geq 1$ , then  $X + (1 + X^2)f(X) = a_0 + (1 + a_1)X + (a_2 + \alpha^2(a_0))X^2 + (a_3 + \alpha^2(a_1))X^3 + \dots + \alpha^2(a_{2k-1})X^{2k+1} + \alpha^2(a_{2k})X^{2k+2}$  is a unit. This implies  $\alpha^2(a_{2k-1}), \alpha^2(a_{2k}) \in N(R)$ , and so  $a_{2k-1}, a_{2k} \in N(R)$ . Inductively, we have  $a_{2k}, a_{2k-1}, \dots, a_4, a_3 \in N(R)$ . Hence  $a_3 + \alpha^2(a_1) \in N(R)$ , and so does  $\alpha^2(a_1)$ . It follows that  $a_1 \in N(R)$  and  $1 + a_1 \in N(R)$ , a contradiction. In the case of  $n = 2k + 1, k \geq 1$ , similar to the case of  $n = 2k$ , we can get a desired contradiction. The proof is complete.  $\square$

**Corollary 2.18.** *([2, Proposition 2.7]) If  $R$  is a 2-primal ring, then  $S_r(R[X]) > 1$ .*

In view of Corollary 2.13, it is natural to study the sufficient and necessary condition under which  $f(X)$  in  $R[X]$  is a unit. This problem is closely related to the famous Koethe's Conjecture whether a nil one sided ideal of any ring  $R$  is contained in its upper nil radical. It is known that Koethe's Conjecture has a positive solution if and only if for each nil algebra  $S$  over any countable field, the polynomial algebra  $S[X]$  is Jacobson radical (see [10]). This is equivalent to saying that for any ring  $R$ ,  $J(R[X]) = Nil^*(R)[X]$  holds (cf. [7, p.181]).

Let  $R$  be a ring. Consider the following condition:  $f(X) = \sum_{i=0}^n a_i X^i \in U(R[X]) \Leftrightarrow a_0 \in U(R)$  and  $a_i \in N(R)$  for each  $i \geq 1$ .

Call a ring  $R$  to be a UN ring if  $R$  satisfies the above condition.

**Theorem 2.19.** *Koethe's Conjecture has a positive solution if and only if any NI ring  $R$  is a UN ring.*

*Proof.* Assume that Koethe's Conjecture has a positive solution. Then we have  $J(R[X]) = N^*(R)[X]$  for any ring  $R$  (see [7, p.181]). We prove that any NI ring  $R$  is a UN ring. Let  $f(X) = a_0 + a_1X + \cdots + a_nX^n \in R[X]$ . If  $f(X) \in U(R[X])$ , then  $a_0 \in U(R)$  and  $a_i \in N(R)$  for each  $i \geq 1$  by Corollary 2.13. Conversely, let  $f(X) = a_0 + a_1X + \cdots + a_nX^n \in R[X]$  be such that  $a_0 \in U(R)$  and  $a_i \in N(R)$  for each  $i \geq 1$ . Write  $g(X) = a_1X + \cdots + a_nX^n$ . Then  $g(X) \in N(R)[X] = N^*(R)[X] = J(R[X])$  since  $R$  is an NI ring. This means  $f(X) = a_0 + g(X)$  is a unit in  $R[X]$ . It follows that  $R$  is a UN ring. Now assume that any NI-ring  $R$  is a UN-ring. We prove that for each nil algebra  $S$  over any countable  $F$ ,  $J(S[X]) = S[X]$ . Let  $R = F + S$  (the sum of algebras). Then  $R$  is an NI ring with  $N(R) = S$ . Since  $S$  is an ideal of  $R$ ,  $S[X]$  is an ideal of  $R[X]$ . Hence  $J(S[X]) = J(R[X]) \cap S[X] \subseteq J(R[X])$ . On the other hand,  $J(R[X]) = I[X]$  for some nil ideal of  $R$  by [1, Theorem 1]. This means that  $J(R[X]) \subseteq S[X] \cap J(R[X]) = J(S[X])$  and so  $J(R[X]) = J(S[X])$ . Now for any  $h(X) \in S[X] = N(R)[X]$ ,  $1 + h(X)$  is a unit since  $R$  is a UN ring. Hence  $S[X]$  is a quasi-regular ideal of  $R[X]$ . It follows that  $S[X] \subseteq J(R[X]) = J(S[X])$ , and so  $S[X] = J(S[X])$ .  $\square$

We conclude this note with the following proposition.

**Proposition 2.20.** *For any ring  $R$  and  $n \geq 2$ ,  $M_n(R)$  is not a UN-ring.*

*Proof.* We may canonically identify  $M_n(R)[X]$  with  $M_n(R[X])$ . When  $n = 2$ , let  $A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , and  $B = \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}$ . Clearly,  $A$  is a unit and  $B$  is a nonzero idempotent. However  $f(X) = A + BX = \begin{pmatrix} 1 & 1 \\ X & 1+X \end{pmatrix}$  is a unit in  $M_2(R)[X]$  with the inverse  $\begin{pmatrix} 1+X & -1 \\ -X & 1 \end{pmatrix}$ . When  $n > 2$ , then  $f_1(X) = \begin{pmatrix} E_{n-2} & O \\ O & A \end{pmatrix} + \begin{pmatrix} O_{n-2} & O \\ O & B \end{pmatrix} X = \begin{pmatrix} E_{n-2} & O \\ O & A + BX \end{pmatrix}$  is a unit in  $M_n(R)[X]$  by the above argument, but the coefficient of  $X$  is a nonzero idempotent. Moreover, let  $g(X) = E_2 + E_{12}X + E_{21}X^2 = \begin{pmatrix} 1 & X \\ X^2 & 1 \end{pmatrix}$  where  $E_{12}, E_{21}$  are  $2 \times 2$  matrix units. Then  $g(X)$  is not a unit, since  $\begin{pmatrix} 1 & 0 \\ -X^2 & 1 \end{pmatrix} \begin{pmatrix} 1 & X \\ X^2 & 1 \end{pmatrix} \begin{pmatrix} 1 & -X \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 - X^3 \end{pmatrix}$  is not a unit in

$M_2(R)[X]$ . But the constant term of  $g(X)$  is a unit and the other coefficients are nilpotent. In the case of  $n > 2$ , then  $g_1(X) = \begin{pmatrix} E_2 & O \\ O & E_{n-2} \end{pmatrix} + \begin{pmatrix} E_{12} & O \\ O & O \end{pmatrix} X + \begin{pmatrix} E_{21} & O \\ O & O \end{pmatrix} X^2 = \begin{pmatrix} g(X) & O \\ O & E_{n-2} \end{pmatrix}$  is not a unit in  $M_n(R)[X]$ , however its constant term is a unit and other coefficients are nilpotent.  $\square$

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