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## LINEAR OPERATORS OF BANACH SPACES WITH RANGE IN LIPSCHITZ ALGEBRAS

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**ABSTRACT.** In this paper, a complete description concerning linear operators of Banach spaces with range in Lipschitz algebras  $\text{lip}_\alpha(X)$  is provided. Necessary and sufficient conditions are established to ensure boundedness and (weak) compactness of these operators. Finally, a lower bound for the essential norm of such operators is obtained.

**Keywords:** Lipschitz algebra, compact linear operator, weakly compact linear operator, essential norm.

**MSC(2010):** Primary: 47B38; Secondary: 46E15.

### 1. Introduction and preliminaries

Let  $(X, d)$  be a compact metric space,  $(E, \|\cdot\|)$  be a Banach space, and  $\alpha \in (0, 1]$ . The space of  $E$ -valued functions  $f$  on  $X$  for which

$$p_\alpha(f) = \sup \left\{ \frac{\|f(x) - f(y)\|}{d^\alpha(x, y)} : x, y \in X, x \neq y \right\} < \infty,$$

is denoted by  $\text{Lip}_\alpha(X, E)$ . The subspace of those functions  $f$  with

$$\lim_{d(x, y) \rightarrow 0} \frac{\|f(x) - f(y)\|}{d^\alpha(x, y)} = 0,$$

is denoted by  $\text{lip}_\alpha(X, E)$ . The spaces  $\text{Lip}_\alpha(X, E)$  and  $\text{lip}_\alpha(X, E)$  are Banach spaces with the norm  $\|f\|_\alpha = \|f\|_X + p_\alpha(f)$ , where  $\|f\|_X = \sup_{x \in X} \|f(x)\|$  is the uniform norm. If  $\mathbb{K}$  is the scalar field of the real or complex numbers, to simplify the notation, we put  $\text{Lip}_\alpha(X) = \text{Lip}_\alpha(X, \mathbb{K})$  and  $\text{lip}_\alpha(X) = \text{lip}_\alpha(X, \mathbb{K})$ . In this case,  $\text{Lip}_\alpha(X)$  for  $0 < \alpha \leq 1$  and  $\text{lip}_\alpha(X)$  for  $0 < \alpha < 1$  are Banach function algebras which are called Lipschitz algebras and their character spaces (maximal ideal spaces) coincide with  $X$ . We are concerned with the spaces  $\text{Lip}_\alpha(X, E)$  for  $0 < \alpha \leq 1$  and  $\text{lip}_\alpha(X, E)$  for  $0 < \alpha < 1$ . The study of these

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algebras started by de Leeuw [6] and Sherbert [7, 8]. The interested reader is referred to [1, 5, 9] for further details on these algebras.

It is interesting to characterize compact and weakly compact operators between various function spaces or algebras. Recall that  $C(X)$  is the Banach space of scalar-valued continuous functions on a compact Hausdorff space  $X$  with the uniform norm. In [2, Theorem VI, 7.1] a complete description of bounded, compact and weakly compact linear operators from a Banach space to  $C(X)$  was obtained as follows.

**Theorem 1.1.** [2, Theorem VI, 7.1] *Let  $X$  be a compact Hausdorff space and let  $T$  be a bounded linear operator from a Banach space  $E$  into  $C(X)$ . Then there exists a mapping  $\tau : X \rightarrow E^*$  which is continuous with the  $w^*$ -topology on  $E^*$  such that*

- (i)  $Te(x) = \tau(x)e, \quad e \in E, x \in X;$
- (ii)  $\|T\| = \sup_{x \in X} \|\tau(x)\|.$

*Conversely, if such a map  $\tau$  is given, then the operator  $T$  defined by (i) is a bounded linear operator from  $E$  into  $C(X)$  with the norm given by (ii).*

*The operator  $T$  is compact if and only if  $\tau$  is continuous with the norm topology on  $E^*$ .*

*The operator  $T$  is weakly compact if and only if  $\tau$  is continuous with the weak topology on  $E^*$ .*

In this paper, we consider these properties for linear operators from a Banach space  $E$  into Lipschitz algebras  $\text{lip}_\alpha(X)$  and give a complete description of these operators. We also obtain a lower bound for the essential norm of these operators. As a consequence of our results, if  $T$  is a map from  $\text{lip}_\alpha(X)$  into  $\text{lip}_\alpha(Y)$ , then Theorem 5.1 in [7], Theorem 1 in [5] and Theorem 3.1 in [4] will follow immediately. Also using the results of this paper, we give a short proof to the aforementioned theorems in [7] and [5] provided  $T$  maps  $\text{Lip}_\alpha(X)$  into  $\text{Lip}_\alpha(Y)$  and  $0 < \alpha < 1$ . In addition, one can conclude Theorem 2.10 (ii) in [3] in the scalar case, provided  $0 < \alpha < 1$ .

## 2. Results

We begin by introducing some notation. Let  $E$  be a Banach space. Then  $E^\times$ , the algebraic dual space of  $E$ , is the space of all linear functionals on  $E$ . The topological dual space of  $E$  is the Banach space  $E^*$  whose elements are the bounded linear functionals on  $E$ .

Let  $T$  be a linear operator (not necessarily bounded) from a Banach space  $E$  into  $\text{lip}_\alpha(X)$ . The restriction of the algebraic adjoint  $T^\times : \text{lip}_\alpha(X)^* \rightarrow E^\times$  of  $T$  to the space  $X$  is denoted by  $\psi$ . Then, by the definition of adjoint, the function  $\psi = T^\times|_X : X \rightarrow E^\times$  is defined by  $\psi(x) = T^\times(\delta_x) = \delta_x \circ T$ , where  $\delta_x \in \text{lip}_\alpha(X)^*$  is the evaluation functional at point  $x \in X$  defined by  $\delta_x(f) = f(x)$  for every  $f \in \text{lip}_\alpha(X)$ . One can say that the linear operator  $T$  is

induced by the function  $\psi$  or that  $\psi$  induces  $T$  by means of  $\psi(x) = \delta_x \circ T$  or equivalently,  $(Te)(x) = \psi(x)(e)$  for each  $e \in E$  and  $x \in X$ . In the case where  $T$  is bounded, the function  $\psi$  maps  $X$  into  $E^*$ . In fact,  $\psi$  is the restriction of the topological adjoint  $T^* : \text{lip}_\alpha(X)^* \rightarrow E^*$  of  $T$  to the space  $X$ , and it is continuous with the weak\*-topology on  $E^*$ .

In the following theorem, we shall explore the possibility of inducing a linear operator  $T : E \rightarrow \text{lip}_\alpha(X)$  by a function  $\psi : X \rightarrow E^\times$ .

**Theorem 2.1.** *Let  $E$  be a Banach space. If  $T$  is a linear operator from  $E$  into  $\text{lip}_\alpha(X)$ , then the function  $\psi = T^\times|_X$  satisfies*

$$(2.1) \quad \lim_{d(x,y) \rightarrow 0} \frac{\psi(x) - \psi(y)}{d^\alpha(x,y)} = 0,$$

in the pointwise convergence topology of  $E^\times$ . Conversely, if a function  $\psi : X \rightarrow E^\times$  satisfies (2.1) in the pointwise convergence topology of  $E^\times$ , then the linear operator  $T$  defined by  $Te(x) = \psi(x)e$  maps  $E$  into  $\text{lip}_\alpha(X)$ .

*Proof.* If  $E$  is a Banach space and  $T$  is a linear operator that maps  $E$  into  $\text{lip}_\alpha(X)$ , then  $Te \in \text{lip}_\alpha(X)$  for each  $e \in E$ . Thus,

$$\lim_{d(x,y) \rightarrow 0} \frac{\psi(x)e - \psi(y)e}{d^\alpha(x,y)} = \lim_{d(x,y) \rightarrow 0} \frac{Te(x) - Te(y)}{d^\alpha(x,y)} = 0 \quad (e \in E).$$

Conversely, suppose that a function  $\psi : X \rightarrow E^\times$  satisfies (2.1) in the pointwise convergence topology of  $E^\times$ . It follows that

$$\lim_{d(x,y) \rightarrow 0} \frac{Te(x) - Te(y)}{d^\alpha(x,y)} = \lim_{d(x,y) \rightarrow 0} \frac{\psi(x)e - \psi(y)e}{d^\alpha(x,y)} = 0,$$

from which we obtain  $Te \in \text{lip}_\alpha(X)$  for each  $e \in E$ .  $\square$

We are interested in the problem of using function theoretic properties of  $\psi$  to determine when the linear operator  $T$  is bounded, compact or weakly compact. If the linear operator  $T$  maps a Banach space  $E$  into  $\text{lip}_\alpha(X)$ , then we have the following result on the boundedness of  $T$ .

**Theorem 2.2.** *Suppose that  $E$  is a Banach space and  $T : E \rightarrow \text{lip}_\alpha(X)$  is a linear operator induced by  $\psi : X \rightarrow E^\times$ . Then  $T$  is bounded if and only if  $\psi \in \text{Lip}_\alpha(X, E^*)$ . Moreover,  $\|T\| \leq \|\psi\|_\alpha \leq 2\|T\|$ .*

*Proof.* If  $T$  is bounded, then for every  $x, y \in X$  with  $x \neq y$ ,

$$\begin{aligned} \frac{\|\psi(x) - \psi(y)\|}{d^\alpha(x,y)} &= \sup_{\|e\| \leq 1} \frac{|\psi(x)(e) - \psi(y)(e)|}{d^\alpha(x,y)} = \sup_{\|e\| \leq 1} \frac{|Te(x) - Te(y)|}{d^\alpha(x,y)} \\ &\leq \sup_{\|e\| \leq 1} p_\alpha(Te) \leq \sup_{\|e\| \leq 1} \|Te\|_\alpha = \|T\|. \end{aligned}$$

Thus  $\psi \in \text{Lip}_\alpha(X, E^*)$  and  $p_\alpha(\psi) \leq \|T\|$ . Moreover,

$$\|\psi(x)\| = \|T^*(\delta_x)\| \leq \|T^*\| \|\delta_x\| = \|T\|,$$

for every  $x \in X$ . Therefore,  $\|\psi\|_X \leq \|T\|$  and  $\|\psi\|_\alpha = \|\psi\|_X + p_\alpha(\psi) \leq 2\|T\|$ .  
 Conversely, let  $\psi \in \text{Lip}_\alpha(X, E^*)$ . Then for every  $x, y \in X$  with  $x \neq y$ ,

$$(2.2) \quad \begin{aligned} \frac{|(Te)(x) - (Te)(y)|}{d^\alpha(x, y)} &= \frac{|(\psi(x) - \psi(y))(e)|}{d^\alpha(x, y)} \\ &\leq \frac{\|\psi(x) - \psi(y)\|}{d^\alpha(x, y)} \|e\| \leq p_\alpha(\psi) \|e\|. \end{aligned}$$

Hence,  $p_\alpha(Te) \leq p_\alpha(\psi) \|e\|$ . Also, we have

$$|(Te)(x)| = |\psi(x)(e)| \leq \|\psi(x)\| \|e\| \leq \|\psi\|_X \|e\|,$$

for every  $x \in X$ . Thus  $\|Te\|_X \leq \|\psi\|_X \|e\|$ . The addition of these inequalities yields

$$\|Te\|_\alpha = p_\alpha(Te) + \|Te\|_X \leq (p_\alpha(\psi) + \|\psi\|_X) \|e\| = \|\psi\|_\alpha \|e\|,$$

which implies that  $T$  is bounded and  $\|T\| \leq \|\psi\|_\alpha$ .  $\square$

Note that every  $\psi \in \text{Lip}_\alpha(X, E^*)$  induces a bounded linear operator from  $E$  into  $\text{Lip}_\alpha(X)$ . Using the same argument as in the proof of Theorem 2.2, we can obtain the following result for  $\text{Lip}_\alpha(X)$ .

**Theorem 2.3.** *Let  $E$  be a Banach space. Then the operator  $T : E \rightarrow \text{Lip}_\alpha(X)$  is a bounded linear operator induced by  $\psi : X \rightarrow E^*$  if and only if  $\psi \in \text{Lip}_\alpha(X, E^*)$ . Moreover,  $\|T\| \leq \|\psi\|_\alpha \leq 2\|T\|$ .*

Considering Theorem 2.1, a function  $\psi : X \rightarrow E^*$  may not, in general, induce a linear operator  $T : E \rightarrow \text{lip}_\alpha(X)$ . Even if  $\psi \in \text{Lip}_\alpha(X, E^*)$ , the operator  $T$  defined by  $(Te)(x) = \psi(x)e$  does not, in general, map  $E$  into  $\text{lip}_\alpha(X)$ . For example, set  $E = \text{lip}_\alpha(X)$  and let  $\lambda_0 \in \text{lip}_\alpha(X)^*$ ,  $f_0 \in \text{Lip}_\alpha(X) \setminus \text{lip}_\alpha(X)$  and define  $\psi : X \rightarrow \text{lip}_\alpha(X)^*$  by  $\psi(x) = f_0(x)\lambda_0$ . Note that  $\psi \in \text{Lip}_\alpha(X, \text{lip}_\alpha(X)^*)$ . Let  $T$  be the induced operator by  $\psi$ . Then  $(Tf)(x) = \psi(x)f = f_0(x)\lambda_0(f)$  for each  $f \in \text{lip}_\alpha(X)$  and  $x \in X$ . Thus,  $Tf = \lambda_0(f)f_0$  is not in  $\text{lip}_\alpha(X)$  for any  $f \in \text{lip}_\alpha(X)$  with  $\lambda_0(f) \neq 0$ . Therefore,  $T$  does not map  $E = \text{lip}_\alpha(X)$  into  $\text{lip}_\alpha(X)$ .

We now address the compactness of these operators.

**Theorem 2.4.** *Let  $E$  be a Banach space. Then a linear operator  $T : E \rightarrow \text{lip}_\alpha(X)$  is a compact operator induced by  $\psi$  if and only if  $\psi \in \text{lip}_\alpha(X, E^*)$ .*

*Proof.* Let  $T : E \rightarrow \text{lip}_\alpha(X)$  be a compact linear operator induced by  $\psi$ . Then  $T(B_E) = \{Te : e \in B_E\}$  is totally bounded in  $\text{lip}_\alpha(X)$  where  $B_E$  is the open unit ball in  $E$ . Given  $\varepsilon > 0$ , there exist  $f_1, \dots, f_n \in \text{lip}_\alpha(X)$  such that  $T(B_E) \subseteq \cup_{i=1}^n \mathbb{B}(f_i, \varepsilon/2)$  where  $\mathbb{B}(f, r) = \{g \in \text{lip}_\alpha(X) : \|g - f\|_\alpha < r\}$  for  $f \in \text{lip}_\alpha(X)$  and  $r > 0$ . One can choose  $\delta > 0$  such that  $|f_i(x) - f_i(y)|/d^\alpha(x, y) < \varepsilon/2$  for every  $x, y \in X$  with  $0 < d(x, y) < \delta$  and for each  $i \in \{1, \dots, n\}$ . Let

$e \in B_E$ . Hence  $\|Te - f_i\|_\alpha < \varepsilon/2$  for some  $i \in \{1, \dots, n\}$ . Let  $x, y \in X$  with  $0 < d(x, y) < \delta$ . Then,

$$\begin{aligned} \frac{|(\psi(x) - \psi(y))(e)|}{d^\alpha(x, y)} &= \frac{|(Te)(x) - (Te)(y)|}{d^\alpha(x, y)} \\ &\leq \frac{|(Te - f_i)(x) - (Te - f_i)(y)|}{d^\alpha(x, y)} + \frac{|f_i(x) - f_i(y)|}{d^\alpha(x, y)} \\ &\leq p_\alpha(Te - f_i) + \frac{\varepsilon}{2} \leq \|Te - f_i\|_\alpha + \frac{\varepsilon}{2} < \varepsilon. \end{aligned}$$

Therefore,  $\|\psi(x) - \psi(y)\|/d^\alpha(x, y) < \varepsilon$  whenever  $0 < d(x, y) < \delta$ , that is  $\psi \in \text{lip}_\alpha(X, E^*)$ .

Conversely, suppose that  $\psi \in \text{lip}_\alpha(X, E^*)$ . It follows from (2.2) that  $Te \in \text{lip}_\alpha(X)$  for every  $e \in E$ . Hence,  $T$  maps the space  $E$  into  $\text{lip}_\alpha(X)$ . Therefore, by Theorem 2.2, the operator  $T : E \rightarrow \text{lip}_\alpha(X)$  is bounded. Furthermore, given  $\varepsilon > 0$ , there exists  $0 < \delta < 1$  such that  $\|\psi(x) - \psi(y)\|/d^\alpha(x, y) < \varepsilon/4$  for every  $x, y \in X$  with  $0 < d(x, y) < \delta$ . For the compactness of  $T$ , we assume that  $\{e_n\}$  is a sequence in  $B_E$ . Then the boundedness of  $T$  and the fact that  $Te_n \in \text{Lip}_\alpha(X)$  imply that  $\{Te_n\}$  is a bounded and equicontinuous sequence of functions on the compact metric space  $X$ . Hence by Arzela-Ascoli theorem, there exists a subsequence  $\{e_{n_i}\}$  of  $\{e_n\}$  such that  $\{Te_{n_i}\}$  is uniformly Cauchy on  $X$ . Hence,  $\|Te_{n_i} - Te_{n_j}\|_X < \varepsilon\delta^\alpha/4 < \varepsilon/2$ , for large enough  $i, j$ .

Let  $x, y \in X$  with  $x \neq y$ . If  $d(x, y) < \delta$ , then

$$\begin{aligned} \frac{|(Te_{n_i} - Te_{n_j})(x) - (Te_{n_i} - Te_{n_j})(y)|}{d^\alpha(x, y)} &= \frac{|(\psi(x) - \psi(y))(e_{n_i} - e_{n_j})|}{d^\alpha(x, y)} \\ &\leq \frac{\|\psi(x) - \psi(y)\|}{d^\alpha(x, y)} \|e_{n_i} - e_{n_j}\| \\ &< 2\frac{\varepsilon}{4} = \frac{\varepsilon}{2}. \end{aligned}$$

If  $d(x, y) \geq \delta$ , then

$$\frac{|(Te_{n_i} - Te_{n_j})(x) - (Te_{n_i} - Te_{n_j})(y)|}{d^\alpha(x, y)} \leq 2 \frac{\|Te_{n_i} - Te_{n_j}\|_X}{\delta^\alpha} < \frac{2}{\delta^\alpha} \frac{\varepsilon\delta^\alpha}{4} = \frac{\varepsilon}{2}.$$

Hence  $p_\alpha(Te_{n_i} - Te_{n_j}) < \varepsilon/2$ , for large enough  $i, j$ . Therefore,

$$\|Te_{n_i} - Te_{n_j}\|_\alpha = \|Te_{n_i} - Te_{n_j}\|_X + p_\alpha(Te_{n_i} - Te_{n_j}) < \varepsilon,$$

for large enough  $i, j$ , that is  $\{Te_{n_i}\}$  is a Cauchy sequence in  $\text{lip}_\alpha(X)$  and hence it is convergent in  $\text{lip}_\alpha(X)$ . Therefore,  $T$  is compact and this completes the proof of the theorem.  $\square$

Using Theorem 2.4, we get a lower bound for the essential norm of a bounded linear operator  $T : E \rightarrow \text{lip}_\alpha(X)$ . We recall that the essential norm  $\|T\|_e$  of a

bounded linear operator  $T$  is defined by

$$\|T\|_e = \inf_K \|T - K\|,$$

where the infimum is taken over all compact operators  $K : E \rightarrow \text{lip}_\alpha(X)$ . Note that  $\|T\|_e = 0$  if and only if  $T$  is compact.

**Theorem 2.5.** *If  $E$  is a Banach space and  $T : E \rightarrow \text{lip}_\alpha(X)$  is a bounded linear operator induced by a function  $\psi : X \rightarrow E^*$ , then*

$$\lim_{\delta \rightarrow 0} \sup_{0 < d(x,y) < \delta} \frac{\|\psi(x) - \psi(y)\|}{d^\alpha(x,y)} \leq \|T\|_e.$$

*Proof.* Suppose that  $K : E \rightarrow \text{lip}_\alpha(X)$  is a compact linear operator. Then by Theorem 2.4,  $\varphi = K^*|_X \in \text{lip}_\alpha(X, E^*)$  and  $Ke(x) = \varphi(x)e$  ( $e \in E, x \in X$ ). Given  $\varepsilon > 0$ , there exists  $\delta > 0$  such that

$$(2.3) \quad \frac{\|\varphi(x) - \varphi(y)\|}{d^\alpha(x,y)} < \varepsilon,$$

whenever  $0 < d(x,y) < \delta$  for  $x, y \in X$ .

Let  $x, y \in X$  with  $0 < d(x,y) < \delta$ , and  $e \in E$  with  $\|e\| \leq 1$ . Then using (2.3), we have

$$\begin{aligned} \|T - K\| &\geq \|Te - Ke\|_\alpha \geq p_\alpha(Te - Ke) \geq \frac{|(Te - Ke)(x) - (Te - Ke)(y)|}{d^\alpha(x,y)} \\ &\geq \frac{|Te(x) - Te(y)|}{d^\alpha(x,y)} - \frac{|Ke(x) - Ke(y)|}{d^\alpha(x,y)} \\ &= \frac{|\psi(x)(e) - \psi(y)(e)|}{d^\alpha(x,y)} - \frac{|\varphi(x)(e) - \varphi(y)(e)|}{d^\alpha(x,y)} \\ &\geq \frac{|\psi(x)(e) - \psi(y)(e)|}{d^\alpha(x,y)} - \frac{\|\varphi(x) - \varphi(y)\|}{d^\alpha(x,y)} \\ &\geq \frac{|\psi(x)(e) - \psi(y)(e)|}{d^\alpha(x,y)} - \varepsilon. \end{aligned}$$

By taking supremum over all  $e$  in the closed unit ball of  $E$  we obtain that

$$\|T - K\| \geq \frac{\|\psi(x) - \psi(y)\|}{d(x,y)^\alpha} - \varepsilon,$$

and then

$$\lim_{\delta \rightarrow 0} \sup_{0 < d(x,y) < \delta} \frac{\|\psi(x) - \psi(y)\|}{d^\alpha(x,y)} \leq \|T - K\|.$$

Now by taking infimum over all compact operators  $K : E \rightarrow \text{lip}_\alpha(X)$  we conclude the desired result.  $\square$

We now give a necessary and sufficient condition for a bounded linear operator  $T : E \rightarrow \text{lip}_\alpha(X)$  to be weakly compact.

**Theorem 2.6.** *Let  $E$  be a Banach space. Then a linear operator  $T : E \rightarrow \text{lip}_\alpha(X)$  induced by  $\psi : X \rightarrow E^*$  is a weakly compact operator if and only if*

$$\lim_{d(x,y) \rightarrow 0} \frac{\psi(x) - \psi(y)}{d^\alpha(x,y)} = 0,$$

*in the weak topology of  $E^*$ .*

*Proof.* Note first that  $T^{**} : E^{**} \rightarrow \text{lip}_\alpha(X)^{**}$ . By [2, Theorem VI.4.2],  $T$  is weakly compact if and only if  $T^{**}$  maps  $E^{**}$  into  $\text{lip}_\alpha(X)$ , that is for each  $\Lambda \in E^{**}$ ,  $T^{**}\Lambda = \delta_f$  for some  $f \in \text{lip}_\alpha(X)$ . By the definition of the adjoint of an operator and the mentioned fact,  $T^{**}E^{**} \subseteq \text{lip}_\alpha(X) \subseteq \text{lip}_\alpha(X)^{**}$  if and only if

$$\begin{aligned} \lim_{d(x,y) \rightarrow 0} \frac{\Lambda(\psi(x) - \psi(y))}{d^\alpha(x,y)} &= \lim_{d(x,y) \rightarrow 0} \frac{\Lambda(T^*(\delta_x) - T^*(\delta_y))}{d^\alpha(x,y)} \\ &= \lim_{d(x,y) \rightarrow 0} \frac{T^{**}\Lambda(\delta_x) - T^{**}\Lambda(\delta_y)}{d^\alpha(x,y)} \\ &= \lim_{d(x,y) \rightarrow 0} \frac{f(x) - f(y)}{d^\alpha(x,y)} = 0, \end{aligned}$$

for each  $\Lambda \in E^{**}$ , which is equivalent to

$$\lim_{d(x,y) \rightarrow 0} \frac{\psi(x) - \psi(y)}{d^\alpha(x,y)} = 0,$$

in the weak topology of  $E^*$ . This completes the proof.  $\square$

Using Theorems 2.3 and 2.4, one may immediately conclude Theorem 5.1 in [7], Theorem 1 in [5] and Theorem 3.1 in [4] when  $T$  is a map from  $\text{lip}_\alpha(X)$  into  $\text{lip}_\alpha(Y)$ . Finally, using Theorems 2.3, 2.4 and the following lemma, we give a short proof for the aforementioned theorems in [7], [5] and Theorem 2.10 (ii) in [3] if  $T$  maps  $\text{Lip}_\alpha(X)$  into  $\text{Lip}_\alpha(Y)$  provided  $0 < \alpha < 1$ .

**Lemma 2.7.** *Let  $(X, d)$  be a compact metric space. Then,*

$$\|\delta_x - \delta_y\| = \frac{2d^\alpha(x,y)}{2 + d^\alpha(x,y)}$$

*for each  $x, y \in X$  where  $\delta_x$  and  $\delta_y$  are regarded as elements of the dual space  $\text{lip}_\alpha(X)^*$ .*

*Proof.* Let  $x, y \in X$  with  $x \neq y$  and  $\alpha < \beta < 1$ , and define the function  $f$  on  $X$  by

$$f(t) = \frac{d^\beta(t,y) - d^\beta(t,x)}{2d^{\beta-\alpha}(x,y) + d^\beta(x,y)} \quad (t \in X).$$

It is clear that

$$|f(x)| = |f(y)| = \frac{d^\alpha(x,y)}{2 + d^\alpha(x,y)},$$



and

$$|f(t)| \leq \frac{d^\beta(x, y)}{2d^{\beta-\alpha}(x, y) + d^\beta(x, y)} = \frac{d^\alpha(x, y)}{2 + d^\alpha(x, y)},$$

for every  $t \in X$ . Hence,

$$(2.4) \quad \|f\|_X = \frac{d^\alpha(x, y)}{2 + d^\alpha(x, y)}.$$

On the other hand,

$$\frac{|f(x) - f(y)|}{d^\alpha(x, y)} = \frac{2d^{\beta-\alpha}(x, y)}{2d^{\beta-\alpha}(x, y) + d^\beta(x, y)} = \frac{2}{2 + d^\alpha(x, y)},$$

and for each  $t, s \in X$  with  $t \neq s$ , considering two cases either  $d(x, y) \leq d(t, s)$  or  $d(t, s) \leq d(x, y)$ , we get

$$\frac{|f(t) - f(s)|}{d^\alpha(t, s)} \leq \frac{2}{2 + d^\alpha(x, y)}.$$

Therefore,

$$(2.5) \quad p_\alpha(f) = \frac{2}{2 + d^\alpha(x, y)}.$$

The addition of (2.4) and (2.5) yields  $\|f\|_\alpha = \|f\|_X + p_\alpha(f) = 1$ .

Moreover, for each  $t, s \in X$  with  $t \neq s$ , we have

$$\frac{|f(t) - f(s)|}{d^\alpha(t, s)} \leq \frac{2d^{\beta-\alpha}(t, s)}{2d^{\beta-\alpha}(x, y) + d^\beta(x, y)},$$

which implies that  $f \in \text{lip}_\alpha(X)$ . Using the equality,

$$|(\delta_x - \delta_y)(f)| = \frac{2d^\beta(x, y)}{2d^{\beta-\alpha}(x, y) + d^\beta(x, y)} = \frac{2d^\alpha(x, y)}{2 + d^\alpha(x, y)},$$

we conclude that  $\|\delta_x - \delta_y\| \geq 2d^\alpha(x, y)/(2 + d^\alpha(x, y))$ .

Next, take any  $g \in \text{lip}_\alpha(X)$  with  $\|g\|_\alpha = 1$ . Without loss of generality suppose that  $|g(x)| \geq |g(y)|$ . Set  $c = |g(x) - g(y)|/d^\alpha(x, y) + |g(x)|$ . Then  $0 \leq c \leq 1$  and we have

$$c = \frac{|g(x) - g(y)|}{d^\alpha(x, y)} + |g(x)| \leq 2 \frac{|g(x)|}{d^\alpha(x, y)} + |g(x)| = |g(x)| \frac{2 + d^\alpha(x, y)}{d^\alpha(x, y)}.$$

Thus,  $|g(x)| \geq cd^\alpha(x, y)/(2 + d^\alpha(x, y))$ . It follows that

$$\begin{aligned} |(\delta_x - \delta_y)(g)| &= |g(x) - g(y)| = (c - |g(x)|)d^\alpha(x, y) \\ &\leq \left(c - c \frac{d^\alpha(x, y)}{2 + d^\alpha(x, y)}\right) d^\alpha(x, y) = \frac{2cd^\alpha(x, y)}{2 + d^\alpha(x, y)} \leq \frac{2d^\alpha(x, y)}{2 + d^\alpha(x, y)}. \end{aligned}$$

Therefore,  $\|\delta_x - \delta_y\| = 2d^\alpha(x, y)/(2 + d^\alpha(x, y))$ .  $\square$

**Corollary 2.8.** *Let  $0 < \alpha < 1$ .*

- (i) [7, Theorem 5.1]. The operator  $T : \text{Lip}_\alpha(X) \rightarrow \text{Lip}_\alpha(Y)$  is a unital homomorphism if and only if there exists a map  $\varphi : Y \rightarrow X$  such that  $Tf = f \circ \varphi$  for all  $f \in \text{Lip}_\alpha(X)$  and  $d(\varphi(x), \varphi(y)) \leq Cd(x, y)$  for all  $x, y \in Y$  where  $C > 0$  is a constant.
- (ii) [5, Theorem 1]. The unital homomorphism  $T : \text{Lip}_\alpha(X) \rightarrow \text{Lip}_\alpha(Y)$  is compact if and only if  $\varphi$  is a supercontraction, i.e.

$$\lim_{d(x,y) \rightarrow 0} \frac{d(\varphi(x), \varphi(y))}{d(x, y)} = 0.$$

- (iii) [3, Theorem 2.10 (ii)]. Suppose that  $T = uC_\varphi : \text{Lip}_\alpha(X) \rightarrow \text{Lip}_\alpha(Y)$  is a weighted composition operator. If  $u \in \text{lip}_\alpha(Y)$  and  $\varphi$  is a supercontraction on  $\text{coz}(u) = \{x : u(x) \neq 0\}$ , then  $T$  is compact.

*Proof.* i). It is known that if  $T : \text{Lip}_\alpha(X) \rightarrow \text{Lip}_\alpha(Y)$  is a unital homomorphism, then for each character  $m$  on  $\text{Lip}_\alpha(Y)$ ,  $m \circ T$  is a character on  $\text{Lip}_\alpha(X)$ . We recall that the character space of  $\text{Lip}_\alpha(X)$  coincide with  $X$ , that is every character on  $\text{Lip}_\alpha(X)$  is an evaluation homomorphism at some unique point of  $X$ . Thus  $\psi = T^*|_Y$  maps  $Y$  into  $X$ . In fact, there exists a map  $\varphi : Y \rightarrow X$  such that  $\psi(x) = \delta_{\varphi(x)}$  for each  $x \in Y$  and

$$Tf(x) = \psi(x)f = \delta_{\varphi(x)}f = f(\varphi(x)),$$

for every  $f \in \text{Lip}_\alpha(X)$  and  $x \in Y$ . Furthermore, by Theorem 2.3,  $\psi \in \text{Lip}_\alpha(Y, \text{Lip}_\alpha(X)^*)$  and by using Lemma 2.7, we have

$$\begin{aligned} d^\alpha(\varphi(x), \varphi(y)) &= \frac{1}{2} \|\delta_{\varphi(x)} - \delta_{\varphi(y)}\| (2 + d^\alpha(\varphi(x), \varphi(y))) \\ &\leq \frac{1}{2} \|\psi(x) - \psi(y)\| (2 + \text{diam}(X)^\alpha) \\ &\leq \frac{1}{2} (2 + \text{diam}(X)^\alpha) p_\alpha(\psi) d^\alpha(x, y) \leq Cd^\alpha(x, y), \end{aligned}$$

for each  $x, y \in Y$ , where  $C = \frac{1}{2}(2 + \text{diam}(X)^\alpha)p_\alpha(\psi)$ . The converse is clear.

ii). By [1, Theorem 3.5], we have  $\text{lip}_\alpha(X)^{**} = \text{Lip}_\alpha(X)$ . Let  $S$  be the restriction of  $T$  to  $\text{lip}_\alpha(X)$ . Then, by (i),  $S$  maps  $\text{lip}_\alpha(X)$  into  $\text{lip}_\alpha(Y)$  and  $T = S^{**}$ . By [2, Theorem VI.5.2],  $T$  is compact if and only if  $S$  is compact. Using Lemma 2.7, we have

$$\frac{d^\alpha(\varphi(x), \varphi(y))}{d^\alpha(x, y)} = \frac{2 + d^\alpha(\varphi(x), \varphi(y))}{2} \frac{\|\psi(x) - \psi(y)\|}{d^\alpha(x, y)}.$$

Hence, the result follows from Theorem 2.4.

iii). In this case  $\psi(x) = u(x)\delta_{\varphi(x)}$  for each  $x \in Y$ . Thus for every  $x, y \in Y$ ,

$$\begin{aligned} \frac{\|\psi(x) - \psi(y)\|}{d^\alpha(x, y)} &= \frac{\|u(x)\delta_{\varphi(x)} - u(y)\delta_{\varphi(y)}\|}{d^\alpha(x, y)} \\ &\leq \frac{|u(x) - u(y)|}{d^\alpha(x, y)} \|\delta_{\varphi(x)}\| + |u(y)| \frac{\|\delta_{\varphi(x)} - \delta_{\varphi(y)}\|}{d^\alpha(x, y)} \\ &= \frac{|u(x) - u(y)|}{d^\alpha(x, y)} + |u(y)| \frac{d^\alpha(\varphi(x), \varphi(y))}{d^\alpha(x, y)} \frac{2}{2 + d^\alpha(\varphi(x), \varphi(y))}. \end{aligned}$$

Considering two cases either both  $x$  and  $y$  belong to  $\text{coz}(u)$  or at least one of them, say  $y$ , does not belong to  $\text{coz}(u)$ , one can conclude that

$\lim_{d(x, y) \rightarrow 0} \frac{\|\psi(x) - \psi(y)\|}{d^\alpha(x, y)} = 0$ . As in the proof of part (ii), let  $S$  be the restriction of  $T$  to  $\text{lip}_\alpha(X)$ . Then, by Theorem 2.4,  $S$  and consequently  $T$  is compact.  $\square$

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