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Author(s):

R. Rahmati-Asghar

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# COHEN-MACAULAY-NESS IN CODIMENSION FOR SIMPLICIAL COMPLEXES AND EXPANSION FUNCTOR

#### R. RAHMATI-ASGHAR

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ABSTRACT. In this paper we show that expansion of a Buchsbaum simplicial complex is  $CM_t$ , for an optimal integer  $t \ge 1$ . Also, by imposing extra assumptions on a  $CM_t$  simplicial complex, we prove that it can be obtained from a Buchsbaum complex.

Keywords:  $CM_t$  simplicial complex, expansion functor, simple graph MSC(2010): Primary: 13H10; Secondary: 05C75.

## 1. Introduction

Set  $[n] := \{x_1, \ldots, x_n\}$ . Let K be a field and  $S = K[x_1, \ldots, x_n]$ , a polynomial ring over K. Let  $\Delta$  be a simplicial complex over [n]. For an integer  $t \geq 0$ , Haghighi, Yassemi and Zaare-Nahandi introduced the concept of  $CM_t$ -ness which is the pure version of simplicial complexes Cohen-Macaulay in codimension t studied in [7]. A reason for the importance of  $CM_t$  simplicial complexes is that they generalize two notions for simplicial complexes: being Cohen-Macaulay and Buchsbaum. In particular, by the results from [9,11],  $CM_0$  is the same as Cohen-Macaulayness and  $CM_1$  is identical with Buchsbaum property.

In [3], the authors described some combinatorial properties of  $CM_t$  simplicial complexes and gave some characterizations of them and generalized some results of [6, 8]. Then, in [4], they generalized a characterization of Cohen-Macaulay bipartite graphs from [5] and [2] on unmixed Buchsbaum graphs.

Bayati and Herzog defined the expansion functor in the category of finitely generated multigraded S-modules and studied some homological behaviors of this functor (see [1]). The expansion functor helps us to present other multigraded S-modules from a given finitely generated multigraded S-module which may have some of algebraic properties of the primary module. This allows to introduce new structures of a given multigraded S-module with the same

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properties and especially to extend some homological or algebraic results for larger classes (see for example [1, Theorem 4.2]. There are some combinatorial versions of expansion functor which we will recall in this paper.

The purpose of this paper is the study of behaviors of expansion functor on  $\operatorname{CM}_t$  complexes. We first recall some notations and definitions of  $\operatorname{CM}_t$  simplicial complexes in Section 1. In the next section we describe the expansion functor in three contexts, the expansion of a simplicial complex, the expansion of a simple graph and the expansion of a monomial ideal. We show that there is a close relationship between these three contexts. In Section 3 we prove that the expansion of a  $\operatorname{CM}_t$  complex  $\Delta$  with respect to  $\alpha$  is  $\operatorname{CM}_{t+e-k+1}$  but it is not  $\operatorname{CM}_{t+e-k}$  where  $e = \dim(\Delta^{\alpha}) + 1$  and k is the minimum of the components of  $\alpha$  (see Theorem 4.3). In Section 4, we introduce a new functor, called contraction, which acts in contrast to expansion functor. As a main result of this section we show that if the contraction of a  $\operatorname{CM}_t$  complex is pure and all components of the vector obtained from contraction are greater than or equal to t then it is Buchsbaum (see Theorem 5.6). The section is finished with a view towards the contraction of simple graphs.

#### 2. Preliminaries

Let t be a non-negative integer. We recall from [3] that a simplicial complex  $\Delta$  is called  $CM_t$  or *Cohen-Macaulay in codimension* t if it is pure and for every face  $F \in \Delta$  with  $\#(F) \geq t$ ,  $link_{\Delta}(F)$  is Cohen-Macaulay. Every  $CM_t$  complex is also  $CM_r$  for all  $r \geq t$ . For t < 0,  $CM_t$  means  $CM_0$ . The properties  $CM_0$  and  $CM_1$  are the same as Cohen-Macaulay-ness and Buchsbaum-ness, respectively.

The link of a face F in a simplicial complex  $\Delta$  is denoted by  $link_{\Delta}(F)$  and is

$$link_{\Delta}(F) = \{ G \in \Delta : G \cap F = \emptyset, G \cup F \in \Delta \}.$$

The following lemma is useful for checking the  $CM_t$  property of simplicial complexes:

**Lemma 2.1.** ([3, Lemma 2.3]) Let  $t \ge 1$  and let  $\Delta$  be a nonempty complex. Then  $\Delta$  is  $CM_t$  if and only if  $\Delta$  is pure and  $link_{\Delta}(v)$  is  $CM_{t-1}$  for every vertex  $v \in \Delta$ .

Let  $\mathcal{G} = (V(\mathcal{G}), E(\mathcal{G}))$  be a simple graph with vertex set V and edge set E. The *independence complex* of  $\mathcal{G}$  is the complex  $\Delta_{\mathcal{G}}$  with vertex set V and with faces consisting of independent sets of vertices of  $\mathcal{G}$ . Thus F is a face of  $\Delta_{\mathcal{G}}$  if and only if there is no edge of  $\mathcal{G}$  joining any two vertices of F.

The *edge ideal* of a simple graph  $\mathcal{G}$ , denoted by  $I(\mathcal{G})$ , is an ideal of S generated by all squarefree monomials  $x_i x_j$  with  $x_i x_j \in E(\mathcal{G})$ .

A simple graph  $\mathcal{G}$  is called  $CM_t$  if  $\Delta_{\mathcal{G}}$  is  $CM_t$  and it is called *unmixed* if  $\Delta_{\mathcal{G}}$  is pure.

For a monomial ideal  $I \subset S$ , We denote by G(I) the unique minimal set of monomial generators of I.

### 3. The expansion functor in combinatorial and algebraic concepts

In this section we define the expansion of a simplicial complex and recall the expansion of a simple graph from [10] and the expansion of a monomial ideal from [1]. We show that these concepts are intimately related to each other.

(1) Let  $\alpha = (k_1, \ldots, k_n) \in \mathbb{N}^n$ . For  $F = \{x_{i_1}, \ldots, x_{i_r}\} \subseteq \{x_1, \ldots, x_n\}$  define

$$F^{\alpha} = \{x_{i_11}, \dots, x_{i_1k_{i_1}}, \dots, x_{i_r1}, \dots, x_{i_rk_{i_r}}\}$$

as a subset of  $[n]^{\alpha} := \{x_{11}, \ldots, x_{1k_1}, \ldots, x_{n1}, \ldots, x_{nk_n}\}$ .  $F^{\alpha}$  is called the expansion of F with respect to  $\alpha$ .

For a simplicial complex  $\Delta = \langle F_1, \ldots, F_r \rangle$  on [n], we define the expansion of  $\Delta$  with respect to  $\alpha$  as the simplicial complex

$$\Delta^{\alpha} = \langle F_1^{\alpha}, \dots, F_r^{\alpha} \rangle.$$

(2) The duplication of a vertex  $x_i$  of a simple graph  $\mathcal{G}$  was first introduced by Schrijver [10] and it means extending its vertex set  $V(\mathcal{G})$  by a new vertex  $x'_i$  and replacing  $E(\mathcal{G})$  by

$$E(\mathcal{G}) \cup \{(e \setminus \{x_i\}) \cup \{x'_i\} : x_i \in e \in E(\mathcal{G})\}.$$

For the *n*-tuple  $\alpha = (k_1, \ldots, k_n) \in \mathbb{N}^n$ , with positive integer entries, the *expansion* of the simple graph  $\mathcal{G}$  is denoted by  $\mathcal{G}^{\alpha}$  and it is obtained from  $\mathcal{G}$  by successively duplicating  $k_i - 1$  times every vertex  $x_i$ .

(3) In [1] Bayati and Herzog defined the expansion functor in the category of finitely generated multigraded S-modules and studied some homological behaviors of this functor. We recall the expansion functor defined by them only in the category of monomial ideals and refer the reader to [1] for more general case in the category of finitely generated multigraded S-modules.

Let  $S^{\alpha}$  be a polynomial ring over K in the variables

$$x_{11},\ldots,x_{1k_1},\ldots,x_{n1},\ldots,x_{nk_n}.$$

Whenever  $I \subset S$  is a monomial ideal minimally generated by  $u_1, \ldots, u_r$ , the expansion of I with respect to  $\alpha$  is defined by

$$I^{\alpha} = \sum_{i=1}^{r} P_1^{\nu_1(u_i)} \dots P_n^{\nu_n(u_i)} \subset S^{\alpha}$$

where  $P_j = (x_{j1}, \ldots, x_{jk_j})$  is a prime ideal of  $S^{\alpha}$  and  $\nu_j(u_i)$  is the exponent of  $x_j$  in  $u_i$ .

It was shown in [1] that the expansion functor is exact and so  $(S/I)^{\alpha} = S^{\alpha}/I^{\alpha}$ . In the following lemmas we describe the relations between the above three concepts of expansion functor.

**Lemma 3.1.** For a simplicial complex  $\Delta$  we have  $I^{\alpha}_{\Delta} = I_{\Delta^{\alpha}}$ . In particular,  $K[\Delta]^{\alpha} = K[\Delta^{\alpha}]$ .

Proof. Let  $\Delta = \langle F_1, \ldots, F_r \rangle$ . Since  $I_{\Delta} = \bigcap_{i=1}^r P_{F_i^c}$ , it follows from Lemma 1.1 in [1] that  $I_{\Delta}^{\alpha} = \bigcap_{i=1}^r P_{F_i^c}^{\alpha}$ . The result is obtained by the fact that  $P_{F_i^c}^{\alpha} = P_{(F_i^{\alpha})^c}$ .

Let  $u = x_{i_1} \dots x_{i_t} \in S$  be a monomial and  $\alpha = (k_1, \dots, k_n) \in \mathbb{N}^n$ . We set  $u^{\alpha} = G((u)^{\alpha})$  and for a set A of monomials in S,  $A^{\alpha}$  is defined by

$$A^{\alpha} = \bigcup_{u \in A} u^{\alpha}.$$

One can easily obtain the following lemma.

**Lemma 3.2.** Let  $I \subset S$  be a monomial ideal and  $\alpha \in \mathbb{N}^n$ . Then  $G(I^{\alpha}) = G(I)^{\alpha}$ .

**Lemma 3.3.** For a simple graph  $\mathcal{G}$  on the vertex set [n] and  $\alpha \in \mathbb{N}^n$  we have  $I(\mathcal{G}^{\alpha}) = I(\mathcal{G})^{\alpha}$ .

*Proof.* Let  $\alpha = (k_1, \ldots, k_n)$  and  $P_j = (x_{j1}, \ldots, x_{jk_j})$ . Then it follows from Lemma 11(ii,iii) of [1] that

$$I(\mathcal{G}^{\alpha}) = (x_{ir}x_{js} : x_ix_j \in E(\mathcal{G}), 1 \le r \le k_i, 1 \le s \le k_j) = \sum_{x_ix_j \in E(\mathcal{G})} P_iP_j$$
$$= \sum_{x_ix_j \in E(\mathcal{G})} (x_i)^{\alpha} (x_j)^{\alpha} = (\sum_{x_ix_j \in E(\mathcal{G})} (x_i)(x_j))^{\alpha} = I(\mathcal{G})^{\alpha}.$$

# 4. The expansion of a $CM_t$ complex

The following proposition gives us some information about the expansion of a simplicial complex which are useful in the proof of the next results.

**Proposition 4.1.** Let  $\Delta$  be a simplicial complex and let  $\alpha \in \mathbb{N}^n$ .

(i) For all  $i \leq \dim(\Delta)$ , there exists an epimorphism  $\theta : \tilde{H}_i(\Delta^{\alpha}; K) \to \tilde{H}_i(\Delta; K)$ .

In particular in this case  $% \left( f_{i},f_$ 

$$\tilde{H}_i(\Delta^{\alpha}; K) / \ker(\theta) \cong \tilde{H}_i(\Delta; K);$$

(ii) For  $F \in \Delta^{\alpha}$  such that  $F = G^{\alpha}$  for some  $G \in \Delta$ , we have

$$\operatorname{link}_{\Delta^{\alpha}}(F) = (\operatorname{link}_{\Delta}(G))^{\alpha};$$

(iii) For  $F \in \Delta^{\alpha}$  such that  $F \neq G^{\alpha}$  for every  $G \in \Delta$ , we have

$$\operatorname{link}_{\Delta^{\alpha}} F = \langle U^{\alpha} \backslash F \rangle * \operatorname{link}_{\Delta^{\alpha}} U^{\alpha}$$

for some  $U \in \Delta$  with  $F \subseteq U^{\alpha}$ . Here \* means the join of two simplicial complexes.

In the third case, 
$$link_{\Delta^{\alpha}}F$$
 is a cone and so acyclic, i.e.,  
 $\tilde{H}_i(link_{\Delta^{\alpha}}F; K) = 0$  for all  $i > 0$ .

Proof. (i) Consider the map  $\pi : [n]^{\alpha} \to [n]$  by  $\pi(x_{ij}) = x_i$  for all i, j. Let the simplicial map  $\varphi : \Delta^{\alpha} \to \Delta$  be defined by  $\varphi(\{x_{i_1j_1}, \ldots, x_{i_qj_q}\}) = \{\pi(x_{i_1j_1}), \ldots, \pi(x_{i_qj_q})\} = \{x_{i_1}, \ldots, x_{i_q}\}$ . Actually,  $\varphi$  is an extension of  $\pi$  to  $\Delta^{\alpha}$  by linearity. Define  $\varphi_{\#} : \tilde{\mathcal{C}}_q(\Delta^{\alpha}; K) \to \tilde{\mathcal{C}}_q(\Delta; K)$ , for each q, by

$$\varphi_{\#}([x_{i_0j_0},\ldots,x_{i_qj_q}]) = \begin{cases} 0 & \text{if for some indices } i_r = i_t \\ [\varphi(\{x_{i_0j_0}\}),\ldots,\varphi(\{x_{i_qj_q}\})] & \text{otherwise.} \end{cases}$$

It is clear from the definitions of  $\tilde{\mathcal{C}}_q(\Delta^{\alpha}; K)$  and  $\tilde{\mathcal{C}}_q(\Delta; K)$  that  $\varphi_{\#}$  is welldefined. Also, define  $\varphi_{\alpha} : \tilde{H}_i(\Delta^{\alpha}; K) \to \tilde{H}_i(\Delta; K)$  by

$$\varphi_{\alpha}: z + B_i(\Delta^{\alpha}) \to \varphi_{\#}(z) + B_i(\Delta).$$

It is trivial that  $\varphi_{\alpha}$  is onto.

(ii) The inclusion  $\operatorname{link}_{\Delta^{\alpha}}(F) \supseteq (\operatorname{link}_{\Delta}(G))^{\alpha}$  is trivial. So we show the reverse inclusion. Let  $\sigma \in \operatorname{link}_{\Delta^{\alpha}}(G^{\alpha})$ . Then  $\sigma \cap G^{\alpha} = \emptyset$  and  $\sigma \cup G^{\alpha} \in \Delta^{\alpha}$ . We want to show  $\pi(\sigma) \in \operatorname{link}_{\Delta}(G)$ . Because in this case,  $\pi(\sigma)^{\alpha} \in (\operatorname{link}_{\Delta}(G))^{\alpha}$  and since  $\sigma \subseteq \pi(\sigma)^{\alpha}$ , we conclude that  $\sigma \in (\operatorname{link}_{\Delta}(G))^{\alpha}$ .

Clearly,  $\pi(\sigma) \cup G \in \Delta$ . To show that  $\pi(\sigma) \cap G = \emptyset$ , suppose, on the contrary, that  $x_i \in \pi(\sigma) \cap G$ . Then  $x_{ij} \in \sigma$  for some *j*. Especially,  $x_{ij} \in G^{\alpha}$ . Therefore  $\sigma \cap G^{\alpha} \neq \emptyset$ , a contradiction.

(iii) Let  $\tau \in \operatorname{link}_{\Delta^{\alpha}} F$ . Let  $\tau \cap \pi(F)^{\alpha} = \emptyset$ . It follows from  $\tau \cup F \in \Delta^{\alpha}$ that  $\pi(\tau)^{\alpha} \cup \pi(F)^{\alpha} \in \Delta^{\alpha}$ . Now by  $\tau \subset \pi(\tau)^{\alpha}$  it follows that  $\tau \cup \pi(F)^{\alpha} \in \Delta^{\alpha}$ . Hence  $\tau \in \operatorname{link}_{\Delta^{\alpha}}(\pi(F)^{\alpha})$ . So we suppose that  $\tau \cap \pi(F)^{\alpha} \neq \emptyset$ . We write  $\tau = (\tau \cap \pi(F)^{\alpha}) \cup (\tau \setminus \pi(F)^{\alpha})$ . It is clear that  $\tau \cap \pi(F)^{\alpha} \subset \pi(F)^{\alpha} \setminus F$  and  $\tau \setminus \pi(F)^{\alpha} \in \operatorname{link}_{\Delta^{\alpha}} \pi(F)^{\alpha}$ . The reverse inclusion is trivial.  $\Box$ 

**Remark 4.2.** Let  $\Delta = \langle x_1 x_2, x_2 x_3 \rangle$  be a complex on [3] and  $\alpha = (2, 1, 1) \in \mathbb{N}^3$ . Then  $\Delta^{\alpha} = \langle x_{11} x_{12} x_{21}, x_{21} x_{31} \rangle$  is a complex on  $\{x_{11}, x_{12}, x_{21}, x_{31}\}$ . Notice that  $\Delta$  is pure but  $\Delta^{\alpha}$  is not. Therefore, the expansion of a pure simplicial complex is not necessarily pure.

**Theorem 4.3.** Let  $\Delta$  be a simplicial complex on [n] of dimension d-1 and let  $t \geq 0$  be the least integer that  $\Delta$  is  $CM_t$ . Suppose that  $\alpha = (k_1, \ldots, k_n) \in \mathbb{N}^n$  such that  $k_i > 1$  for some i and  $\Delta^{\alpha}$  is pure. Then  $\Delta^{\alpha}$  is  $CM_{t+e-k+1}$  but it is not  $CM_{t+e-k}$ , where  $e = \dim(\Delta^{\alpha}) + 1$  and  $k = \min\{k_i : k_i > 1\}$ .

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*Proof.* We use induction on  $e \ge 2$ . If e = 2, then  $\dim(\Delta^{\alpha}) = 1$  and  $\Delta$  should be only in the form  $\Delta = \langle x_1, \ldots, x_n \rangle$ . In particular,  $\Delta^{\alpha}$  is of the form

$$\Delta^{\alpha} = \langle \{x_{i_11}, x_{i_12}\}, \{x_{i_21}, x_{i_22}\}, \dots, \{x_{i_r1}, x_{i_r2}\} \rangle.$$

It is clear that  $\Delta^{\alpha}$  is CM<sub>1</sub> but it is not Cohen-Macaulay.

Assume that e > 2. Let  $\{x_{ij}\} \in \Delta^{\alpha}$ . We want to show that  $\operatorname{link}_{\Delta^{\alpha}}(x_{ij})$  is  $\operatorname{CM}_{e-k}$ . Consider the following cases:

Case 1:  $k_i > 1$ . Then

$$\operatorname{link}_{\Delta^{\alpha}}(x_{ij}) = \langle \{x_i\}^{\alpha} \backslash x_{ij} \rangle * (\operatorname{link}_{\Delta}(x_i))^{\alpha}$$

 $(\operatorname{link}_{\Delta}(x_i))^{\alpha}$  is of dimension  $e - k_i - 1$  and, by induction hypothesis, it is  $\operatorname{CM}_{t+e-k_i-k+1}$ . On the other hand,  $\langle \{x_i\}^{\alpha} \setminus x_{ij} \rangle$  is Cohen-Macaulay of dimension  $k_i - 2$ . Therefore, it follows from Theorem 1.1(i) of [4] that  $\operatorname{link}_{\Delta^{\alpha}}(x_{ij})$  is  $\operatorname{CM}_{t+e-k}$ .

Case 2:  $k_i = 1$ . Then

1

$$\operatorname{link}_{\Delta^{\alpha}}(x_{ij}) = (\operatorname{link}_{\Delta}(x_i))^{\alpha}$$

which is of dimension e - 2 and, by induction, it is  $CM_{t+e-k}$ .

Now suppose that e > 2 and  $k_s = k$  for some  $s \in [n]$ . Let F be a facet of  $\Delta$  such that  $x_s$  belongs to F.

If  $\dim(\Delta) = 0$ , then  $k_l = k$  for all  $l \in [n]$ . In particular, e = k. It is clear that  $\Delta^{\alpha}$  is not  $\operatorname{CM}_{t+e-k}$  (or Cohen-Macaulay). So suppose that  $\dim(\Delta) > 0$ . Choose  $x_i \in F \setminus x_s$ . Then

$$\operatorname{ink}_{\Delta^{\alpha}}(x_{ij}) = \langle \{x_i\}^{\alpha} \setminus x_{ij} \rangle * (\operatorname{link}_{\Delta}(x_i))^{\alpha}.$$

By induction hypothesis,  $(\operatorname{link}_{\Delta}(x_i))^{\alpha}$  is not  $\operatorname{CM}_{t+e-k_i-k}$ . It follows from Theorem 3.1(ii) of [4] that  $\operatorname{link}_{\Delta^{\alpha}}(x_{ij})$  is not  $\operatorname{CM}_{t+e-k-1}$ . Therefore  $\Delta^{\alpha}$  is not  $\operatorname{CM}_{t+e-k}$ .

**Corollary 4.4.** Let  $\Delta$  be a non-empty Cohen-Macaulay simplicial complex on [n]. Then for any  $\alpha \in \mathbb{N}^n$ , with  $\alpha \neq \mathbf{1}$ ,  $\Delta^{\alpha}$  can never be Cohen-Macaulay.

#### 5. The contraction functor

Let  $\Delta = \langle F_1, \ldots, F_r \rangle$  be a simplicial complex on [n]. Consider the equivalence relation '~' on the vertices of  $\Delta$  given by

$$x_i \sim x_j \Leftrightarrow \langle x_i \rangle * \operatorname{link}_\Delta(x_i) = \langle x_j \rangle * \operatorname{link}_\Delta(x_j).$$

In fact  $\langle x_i \rangle * \text{link}_{\Delta}(x_i)$  is the cone over  $\text{link}_{\Delta}(x_i)$ , and the elements of  $\langle x_i \rangle * \text{link}_{\Delta}(x_i)$  are those faces of  $\Delta$ , which contain  $x_i$ . Hence  $\langle x_i \rangle * \text{link}_{\Delta}(x_i) = \langle x_j \rangle * \text{link}_{\Delta}(x_j)$ , means the cone with vertex  $x_i$  is equal to the cone with vertex  $x_j$ . In other words,  $x_i \sim x_j$  is equivalent to saying that for a facet  $F \in \Delta$ , F contains  $x_i$  if and only if it contains  $x_j$ .

Let  $[\bar{m}] = \{\bar{y}_1, \ldots, \bar{y}_m\}$  be the set of equivalence classes under  $\sim$ . Let  $\bar{y}_i = \{x_{i1}, \ldots, x_{ia_i}\}$ . Set  $\alpha = (a_1, \ldots, a_m)$ . For  $F_t \in \Delta$ , define  $G_t = \{\bar{y}_i : \bar{y}_i \subset F_t\}$ 

and let  $\Gamma$  be a simplicial complex on the vertex set [m] with facets  $G_1, \ldots, G_r$ . We call  $\Gamma$  the contraction of  $\Delta$  by  $\alpha$  and  $\alpha$  is called the vector obtained from contraction.

For example, consider the simplicial complex  $\Delta = \langle x_1 x_2 x_3, x_2 x_3 x_4, x_1 x_4 x_5, x_2 x_3 x_5 \rangle$  on the vertex set  $[5] = \{x_1, \ldots, x_5\}$ . Then  $\bar{y}_1 = \{x_1\}, \bar{y}_2 = \{x_2, x_3\}, \bar{y}_3 = \{x_4\}, \bar{y}_4 = \{x_5\}$  and  $\alpha = (1, 2, 1, 1)$ . Therefore, the contraction of  $\Delta$  by  $\alpha$  is  $\Gamma = \langle \bar{y}_1 \bar{y}_2, \bar{y}_2 \bar{y}_3, \bar{y}_1 \bar{y}_3 \bar{y}_4, \bar{y}_2 \bar{y}_4 \rangle$  a complex on the vertex set  $[\bar{4}] = \{\bar{y}_1, \ldots, \bar{y}_4\}$ .

**Remark 5.1.** Note that if  $\Delta$  is a pure simplicial complex then the contraction of  $\Delta$  is not necessarily pure (see the above example). In the special case where the vector  $\alpha = (k_1, \ldots, k_n) \in \mathbb{N}^n$  and  $k_i = k_j$  for all i, j, it is easy to check that in this case  $\Delta$  is pure if and only if  $\Delta^{\alpha}$  is pure. Another case is introduced in the following proposition.

**Proposition 5.2.** Let  $\Delta$  be a simplicial complex on [n] and assume that  $\alpha = (k_1, \ldots, k_n) \in \mathbb{N}^n$  satisfies the following condition:

(†) for all facets  $F, G \in \Delta$ , if  $x_i \in F \setminus G$  and  $x_j \in G \setminus F$  then  $k_i = k_j$ . Then  $\Delta$  is pure if and only if  $\Delta^{\alpha}$  is pure.

*Proof.* Let  $\Delta$  be a pure simplicial complex and let  $F, G \in \Delta$  be two facets of  $\Delta$ . Then

$$|F^{\alpha}| - |G^{\alpha}| = \sum_{x_i \in F} k_i - \sum_{x_i \in G} k_i = \sum_{x_i \in F \setminus G} k_i - \sum_{x_i \in G \setminus F} k_i.$$

Now the condition (†) implies that  $|F^{\alpha}| = |G^{\alpha}|$ . This means that all facets of  $\Delta^{\alpha}$  have the same cardinality.

Let  $\Delta^{\alpha}$  be pure. Suppose that F, G are two facets in  $\Delta$ . If |F| > |G| then  $|F \setminus G| > |G \setminus F|$ . Therefore  $\sum_{x_i \in F \setminus G} k_i > \sum_{x_i \in G \setminus F} k_i$ . This implies that  $|F^{\alpha}| = \sum_{x_i \in F} k_i > \sum_{x_i \in G} k_i = |G^{\alpha}|$ , a contradiction.

There is a close relationship between a simplicial complex and its contraction. In fact, the expansion of the contraction of a simplicial complex is the same complex. The precise statement is the following.

**Lemma 5.3.** Let  $\Gamma$  be the contraction of  $\Delta$  by  $\alpha$ . Then  $\Gamma^{\alpha} \cong \Delta$ .

Proof. Suppose that  $\Delta$  and  $\Gamma$  are on the vertex sets  $[n] = \{x_1, \ldots, x_n\}$  and  $[\bar{m}] = \{\bar{y}_1, \ldots, \bar{y}_m\}$ , respectively. Let  $\alpha = (a_1, \ldots, a_m)$ . For  $\bar{y}_i \in \Gamma$ , suppose that  $\{\bar{y}_i\}^{\alpha} = \{\bar{y}_{i1}, \ldots, \bar{y}_{ia_i}\}$ . So  $\Gamma^{\alpha}$  is a simplicial complex on the vertex set  $[\bar{m}]^{\alpha} = \{\bar{y}_{ij} : i = 1, \ldots, m, j = 1, \ldots, a_i\}$ . Now define  $\varphi : [\bar{m}]^{\alpha} \to [n]$  by  $\varphi(\bar{y}_{ij}) = x_{ij}$ . Extending  $\varphi$ , we obtain the isomorphism  $\varphi : \Gamma^{\alpha} \to \Delta$ .

**Proposition 5.4.** Let  $\Delta$  be a simplicial complex and assume that  $\Delta^{\alpha}$  is Cohen-Macaulay for some  $\alpha \in \mathbb{N}^n$ . Then  $\Delta$  is Cohen-Macaulay.

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*Proof.* By Lemma 4.1(i), for all  $i \leq \dim(\operatorname{link}_{\Delta} F)$  and all  $F \in \Delta$  there exists an epimorphism  $\theta : \operatorname{link}_{\Delta^{\alpha}} F^{\alpha} \to \operatorname{link}_{\Delta} F$  such that

$$\tilde{H}_i(\operatorname{link}_{\Delta^{\alpha}} F^{\alpha}; K) / \operatorname{ker}(\theta) \cong \tilde{H}_i(\operatorname{link}_{\Delta} F; K).$$

Now suppose that  $i < \dim(\operatorname{link}_{\Delta} F)$ . Then  $i < \dim(\operatorname{link}_{\Delta^{\alpha}} G^{\alpha})$  and by Cohen-Macaulayness of  $\Delta^{\alpha}$ ,  $\tilde{H}_i(\operatorname{link}_{\Delta^{\alpha}} F^{\alpha}; K) = 0$ . Therefor  $\tilde{H}_i(\operatorname{link}_{\Delta} F; K) = 0$ . This means that  $\Delta$  is Cohen-Macaulay.

It follows from Proposition 5.4 that:

**Corollary 5.5.** The contraction of a Cohen-Macaulay simplicial complex  $\Delta$  is Cohen-Macaulay.

This can be generalized in the following theorem.

**Theorem 5.6.** Let  $\Gamma$  be the contraction of a CM<sub>t</sub> simplicial complex  $\Delta$ , for some  $t \geq 0$ , by  $\alpha = (k_1, \ldots, k_n)$ . If  $k_i \geq t$  for all i and  $\Gamma$  is pure, then  $\Gamma$  is Buchsbaum.

*Proof.* If t = 0, then we saw in Corollary 5.5 that  $\Gamma$  is Cohen-Macaulay and so it is CM<sub>t</sub>. Hence assume that t > 0. Let  $\Delta = \langle F_1, \ldots, F_r \rangle$ . We have to show that  $\tilde{H}_i(\operatorname{link}_{\Gamma}G; K) = 0$ , for all faces  $G \in \Gamma$  with  $|G| \ge 1$  and all  $i < \operatorname{dim}(\operatorname{link}_{\Gamma}G)$ .

Let  $G \in \Gamma$  with  $|G| \ge 1$ . Then  $|G^{\alpha}| \ge t$ . It follows from Lemma 2.1 and  $CM_t$ -ness of  $\Delta$  that

$$H_i(\operatorname{link}_{\Gamma}G;K) \cong H_i(\operatorname{link}_{\Delta}G^{\alpha};K) = 0$$

for  $i < \dim(\operatorname{link}_{\Delta}G^{\alpha})$  and, particularly, for  $i < \dim(\operatorname{link}_{\Gamma}G)$ . Therefore  $\Gamma$  is Buchsbaum.

**Corollary 5.7.** Let  $\Gamma$  be the contraction of a Buchsbaum simplicial complex  $\Delta$ . If  $\Gamma$  is pure, then  $\Gamma$  is also Buchsbaum.

Let  $\mathcal{G}$  be a simple graph on the vertex set [n] and let  $\Delta_{\mathcal{G}}$  be its independence complex on [n], i.e., a simplicial complex whose faces are the independent vertex sets of G. Let  $\Gamma$  be the contraction of  $\Delta_{\mathcal{G}}$ . In the following we show that  $\Gamma$  is the independence complex of a simple graph  $\mathcal{H}$ . We call  $\mathcal{H}$  the *contraction* of  $\mathcal{G}$ .

**Lemma 5.8.** Let  $\mathcal{G}$  be a simple graph. The contraction of  $\Delta_{\mathcal{G}}$  is the independence complex of a simple graph  $\mathcal{H}$ .

*Proof.* It suffices to show that  $I_{\Gamma}$  is a squarefree monomial ideal generated in degree 2. Let  $\Gamma$  be the contraction of  $\Delta_{\mathcal{G}}$  and let  $\alpha = (k_1, \ldots, k_n)$  be the vector obtained from the contraction. Let  $[n] = \{x_1, \ldots, x_n\}$  be the vertex set of  $\Gamma$ . Suppose that  $u = x_{i_1} \ldots x_{i_t} \in G(I_{\Gamma})$ . Then  $u^{\alpha} \subset G(I_{\Gamma})^{\alpha} = G(I_{\Delta_{\mathcal{G}}}) = G(I(\mathcal{G}))$ . Since  $u^{\alpha} = \{x_{i_1j_1} \ldots x_{i_tj_t} : 1 \leq j_l \leq k_{i_l}, 1 \leq l \leq t\}$  we have t = 2 and the proof is completed.

**Example 5.9.** Let  $\mathcal{G}_1$  and  $\mathcal{G}_2$  be, respectively, from left to right the following graphs:



The contraction of  $\mathcal{G}_1$  is equal to itself but  $\mathcal{G}_2$  is contracted to an edge and the vector obtained from contraction is  $\alpha = (2, 3)$ .

We recall that a simple graph is  $CM_t$  for some  $t \ge 0$ , if the associated independence complex is  $CM_t$ .

**Remark 5.10.** The simple graph  $\mathcal{G}'$  obtained from  $\mathcal{G}$  in Lemma 4.3 and Theorem 4.4 of [4] is the expansion of  $\mathcal{G}$ . Actually, suppose that  $\mathcal{G}$  is a bipartite graph on the vertex set  $V(\mathcal{G}) = V \cup W$  where  $V = \{x_1, \ldots, x_d\}$  and  $W = \{x_{d+1}, \ldots, x_{2d}\}$ . Then for  $\alpha = (n_1, \ldots, n_d, n_1, \ldots, n_d)$  we have  $\mathcal{G}' = \mathcal{G}^{\alpha}$ . It follows from Theorem 4.3 that if  $\mathcal{G}$  is  $CM_t$  for some  $t \geq 0$  then  $\mathcal{G}'$  is  $CM_{t+n-n_{i_0}+1}$  where  $n = \sum_{i=1}^d n_i$  and  $n_{i_0} = \min\{n_i > 1 : i = 1, \ldots, d\}$ . This implies that the first part of Theorem 4.4 of [4] is an immediate consequence of Theorem 4.3 for t = 0.

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(Rahim Rahmati-Asghar) DEPARTMENT OF MATHEMATICS, FACULTY OF BASIC SCIENCES, UNIVERSITY OF MARAGHEH, P.O. BOX 55181-83111, MARAGHEH, IRAN AND

School of Mathematics, Institute for Research in Fundamental Sciences (IPM), P.O. Box 19395-5746, Tehran, Iran.

*E-mail address*: rahmatiasghar.r@gmail.com