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Author(s):

J. Shi, Z. Wu and R. Hou

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### ON THE TYPE OF CONJUGACY CLASSES AND THE SET OF INDICES OF MAXIMAL SUBGROUPS

#### J. SHI\*, Z. WU AND R. HOU

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ABSTRACT. Let G be a finite group. By  $MT(G) = (m_1, \cdots, m_k)$  we denote the type of conjugacy classes of maximal subgroups of G, which implies that G has exactly k conjugacy classes of maximal subgroups and  $m_1, \ldots, m_k$  are the numbers of conjugates of maximal subgroups of G, where  $m_1 \leq \cdots \leq m_k$ . In this paper, we give some new characterizations of finite groups by the type of conjugacy classes of maximal subgroups. By  $\pi_t(G)$  we denote the set of indices of all maximal subgroups on the structure of finite groups.

**Keywords:** Maximal subgroup, non-abelian simple group, the type of conjugacy classes, the set of indices.

MSC(2010): Primary: 20D05; Secondary: 20D10.

#### 1. Introduction

In this paper all groups are finite. In [14] Wang defined the type of conjugacy classes of maximal subgroups.

**Definition 1.1.** ([14]). Let G be a group having exactly k conjugacy classes of maximal subgroups and  $m_1, \ldots, m_k$  the numbers of conjugates of all maximal subgroups of G, where  $m_1 \leq \cdots \leq m_k$ . Then the sequence  $MT(G) = (m_1, \cdots, m_k)$  is called the type of conjugacy classes of maximal subgroups of G.

In [14], Wang used MT(G) to show that a non-solvable group G has exactly 21 maximal subgroups if and only if  $G/\Phi(G)$  is isomorphic to the alternating group  $A_5$ , where  $\Phi(G)$  is the Frattini subgroup of G.

In [12], we applied MT(G) to characterize some groups having exactly four conjugacy classes of maximal subgroups, some simple groups and the equality

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 $<sup>^{\</sup>ast}\,\mathrm{Corresponding}$  author.

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for N < G, respectively. And in [15], we gave a new characterization of all alternating groups and some symmetric groups by MT(G).

Note that the number of conjugates of any normal maximal subgroup equals 1, and the number of conjugates of any non-normal maximal subgroup equals its index.

Let G and N be two groups with MT(G) = MT(N). Let  $\pi_t(G)$  be the set of indices of all maximal subgroups of G and  $\pi_t(N)$  the set of indices of all maximal subgroups of N. If G and N have no normal maximal subgroups, then  $\pi_t(G) = \pi_t(N)$ . However, if G and N have at least one normal maximal subgroup, we cannot get  $\pi_t(G) = \pi_t(N)$ . For example, it is easy to see that  $MT(S_5) = MT(A_5 \times \mathbb{Z}_p) = (1, 5, 6, 10)$ , where p is an odd prime, but  $\pi_t(S_5) = \{2, 5, 6, 10\} \neq \pi_t(A_5 \times \mathbb{Z}_p) = \{p, 5, 6, 10\}.$ 

Conversely, let G and N be two groups with  $\pi_t(G) = \pi_t(N)$ , we also cannot get MT(G) = MT(N). For example, it is easy to see that  $\pi_t(PSL_2(7)) = \pi_t(\mathbb{Z}_2^3 \rtimes \mathbb{Z}_7) = \{7,8\}$ , but  $MT(PSL_2(7)) = (7,7,8) \neq MT(\mathbb{Z}_2^3 \rtimes \mathbb{Z}_7) = (1,8)$ .

For the type of conjugacy classes of maximal subgroups, the following Proposition 1.2 is a direct corollary of [7].

**Proposition 1.2.** Let G be a simple group and  $N \leq G$ . If MT(N) = MT(G), then N = G.

In [13] we proved the following result:

**Lemma 1.3.** ([13, Lemma 1]). Let G be a group and  $N \leq G$ . If  $G/\Phi(G)$  is a non-abelian simple group, then MT(N) = MT(G) if and only if N = G.

Lemma 1.3 is not true if  $G/\Phi(G)$  is an abelian simple group. For example, let  $G = \mathbb{Z}_{p^n}$  and  $N = \mathbb{Z}_p \leq G$ , where p is a prime and  $n \geq 2$ . It is easy to see that  $G/\Phi(G) \cong \mathbb{Z}_p$  and MT(N) = MT(G) = (1), but N < G.

The following Proposition 1.4 is a direct consequence of [8] and Lemma 1.3.

**Proposition 1.4.** Let G be a group and N a non-abelian simple subgroup of G. If MT(N) = MT(G), then N = G.

Proposition 1.4 is not true if N is an abelian simple group. For example, let  $G = \mathbb{Z}_{p^2}$  and  $N = \mathbb{Z}_p \leq G$ , where p is a prime. It is obvious that MT(N) = MT(G) but N < G.

Motivated by above results, we give a further study of the structure of groups by the type of conjugacy classes of maximal subgroups, some new characterizations of groups are obtained, see Section 3.

Let G be a non-abelian simple group and N a subgroup of G. If  $\pi_t(N) \subseteq \pi_t(G)$ . By [7], we get:

when  $\pi_t(N) = \pi_t(G)$ , we have (1) N = G; when  $\pi_t(N) \subset \pi_t(G)$ , we have

(2) N < G, where  $G \cong M_{11}$ ,  $N \cong PSL_2(11)$ ; or

(3) N < G, where  $G \cong S_6(2)$ ,  $N \cong U_3(3)$ ; or

(4) N < G, where G is a non-abelian simple group having a maximal subgroup with index a prime q, N is a subgroup of G of order q.

In case (4), by [11, Theorem 12], we know that q is not only the largest prime divisor of |G| but also the smallest number in  $\pi_t(G)$ .

According to the above result, we propose the following problem:

Problem 1.5. Let G be a non-abelian simple group and N a subgroup of G such that  $\pi_t(G) \subseteq \pi_t(N)$ . Is it always true that we have N = G?

It is obvious that Problem 1.5 holds when G is a minimal simple group. In Section 4 of this paper, we will give a further study of Problem 1.5.

#### 2. Preliminaries

In this paper, we denote S(G) the largest solvable normal subgroup of a group G and p(G) the smallest number in  $\pi_t(G)$ .

**Lemma 2.1.** ([2]). If every maximal subgroup of a group G has prime-power index, then  $G/S(G) \cong 1$  or  $PSL_2(7)$ .

**Lemma 2.2.** ([7]). Let N be a group and G a simple group. If |N| divides |G| and  $\pi_t(N) \subseteq \pi_t(G)$ , then

when N is non-solvable, we have (1)  $N \cong G$ , or (2)  $G = M_{11}$  and  $N \cong PSL_2(11)$  or  $SL_2(11)$ , or (3)  $G = S_6(2)$  and  $N \cong U_3(3)$ . when N is solvable, we have (4) N is a cyclic group of prime order, or (5)  $N = \langle a, b, c, g \mid a^2 = b^2 = c^2 = g^7 = 1$ , [a, b] = [a, c] = [b, c] = 1,  $a^g = c$ ,  $b^g = a$ ,  $c^g = bc \rangle$ .

**Lemma 2.3.** ([6,9]). Let G be a non-solvable group having exactly n same order classes of maximal subgroups.

(1) If n = 2, then  $G/\Phi(G) \cong (\mathbb{Z}_2^{3i} \rtimes PSL_2(7)) \times \mathbb{Z}_7^j$ , where  $i, j = 0, 1, \ldots$ ;

(2) If n = 3, then  $G/S(G) \cong A_6$ ;  $PSL_2(q)$ , q = 11, 13, 23, 59, 61;  $PSL_3(3)$ ;

 $U_3(3); PSL_5(2); PSL_2(2^f), f \text{ is a prime; } PSL_2(7) \times PSL_2(7) \times \dots \times PSL_2(7).$ 

**Lemma 2.4.** ([12]). Let  $G = T \times \mathbb{Z}_p$  and N < G such that MT(N) = MT(G), where T is a non-abelian simple group and p is a prime. Then T has a non-solvable proper subgroup that is isomorphic to N.

**Lemma 2.5.** ([10]). Let G be a simple  $K_4$ -group, then G is isomorphic to one of the following simple groups:

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(1)  $A_n$ , n = 7, 8, 9, 10;

(2)  $M_{11}, M_{12}, J_2;$ 

(3) (a)  $PSL_2(r)$ , where  $r^2 - 1 = 2^a 3^b u^c$ ,  $a \ge 1$ ,  $b \ge 1$ ,  $c \ge 1$ , r and u are primes, u > 3;

(b) 
$$PSL_2(2^m)$$
, where  $\begin{cases} 2^m - 1 = u \\ 2^m + 1 = 3t^b, \end{cases}$   $m \ge 1, u \text{ and } t \text{ are primes, } t > 3, b \ge 1; \end{cases}$ 

(c) 
$$PSL_2(3^m)$$
, where  $\begin{cases} 3^m + 1 = 4t \\ 3^m - 1 = 2u^c, \end{cases}$  or  $\begin{cases} 3^m + 1 = 4t^b \\ 3^m - 1 = 2u, \end{cases}$   $m \ge 1$ ,  
w and t are odd primes  $b \ge 1$ ,  $c \ge 1$ :

(d)  $PSL_2(q)$ , q = 16, 25, 49, 81;  $PSL_3(q)$ , q = 4, 5, 7, 8, 17;  $PSL_4(3)$ ;  $S_4(q)$ , q = 4, 5, 7, 9;  $S_6(2)$ ;  $O_8^+(2)$ ;  $G_2(3)$ ;  $U_3(q)$ , q = 4, 5, 7, 8, 9;  $U_4(3)$ ;  $U_5(2)$ ;  $S_Z(8)$ ;  $S_z(32)$ ;  ${}^3D_4(2)$ ;  ${}^2F_4(2)'$ .

#### 3. Some results on MT(G)

**Theorem 3.1.** Let G be a simple group and K a group. Suppose that N is a subgroup of  $G \times K$  satisfying MT(N) = MT(G). If  $N \cap G \neq 1$ , then N = G.

Proof. Since  $N \cap G \neq 1$ , one has  $N \nleq K$  and consequently  $1 < N/(N \cap K) \cong NK/K \leq GK/K \cong G$ . Note that MT(N) = MT(G). Thus we always have  $\pi_t(N) = \pi_t(G)$  whenever G is an abelian simple group or a non-abelian simple group. It follows that  $\pi_t(N/(N \cap K)) \subseteq \pi_t(N) = \pi_t(G)$ . Note that MT(N) = MT(G). By Lemma 2.2, we have  $N/(N \cap K) \cong G$  and consequently  $MT(N/(N \cap K)) = MT(G) = MT(N)$ . It follows that  $N \cap K \leq \Phi(N)$ . Moreover, since  $N/(N \cap K) \cong G$  is a simple group, we must have  $N \cap K = \Phi(N)$ . Then  $N/\Phi(N)$  is a simple group.

Observe that  $(N \cap G) \Phi(N)$  is normal in N. One has  $(N \cap G) \Phi(N) = \Phi(N)$ or N. If  $(N \cap G) \Phi(N) = \Phi(N)$ . Then we have  $N \cap G = (N \cap G) \cap \Phi(N) = (N \cap G) \cap (N \cap K) = 1$ , which contradicts  $N \cap G \neq 1$ . Therefore  $(N \cap G) \Phi(N) = N$ . It follows that  $N = N \cap G \leq G$ . By Lemma 2.2, we have N = G.

The hypothesis that  $N \cap G \neq 1$  in Theorem 3.1 cannot be removed. For example, let  $G \cong A_5$  and  $K \cong A_5$ . Then  $G \times K$  has a maximal subgroup N that is also isomorphic to  $A_5$  but  $N \neq G$  and  $N \neq K$ . It is obvious that  $N \cap G = 1$  and MT(N) = MT(G).

**Corollary 3.2.** Let G be a non-abelian simple group and K a solvable group. Suppose that N is a subgroup of  $G \times K$  satisfying MT(N) = MT(G), then N = G.

*Proof.* We claim  $N \cap G \neq 1$ . Otherwise, assume  $N \cap G = 1$ . Since  $NG = NG \cap (G \times K) = (NG \cap K) \times G$ , one has  $N \cong NG/G = (NG \cap K)G/G \cong NG \cap K \leq K$ . It follows that N is solvable, which implies that N has at least one normal maximal subgroup. However, since MT(N) = MT(G) and G is a

non-abelian simple group, one has that N has no normal maximal subgroups, a contradiction. Hence we get  $N \cap G \neq 1$ . By Theorem 3.1, we have N = G.

Corollary 3.2 is not true if G is an abelian simple group. For example, let  $G = \langle (12)(34) \rangle$ ,  $K = \langle (13)(24) \rangle$  and  $N = \langle (14)(23) \rangle$ . One has  $N \leq G \times K$  and MT(N) = MT(G), but  $N \neq G$ .

#### 4. Some results on Problem 1.5

In [12], we investigated the following problem:

Problem 4.1. Let  $G = T \times \mathbb{Z}_p$  and  $N \leq G$ , where T is a non-abelian simple group and p is a prime. Is it always true that MT(N) = MT(G) if and only if N = G?

Note that if Problem 1.5 holds, then we can get that the Problem 4.1 holds. For the necessity part of the Problem 4.1. Assume  $N \neq G$ . By Lemma 2.4, there exists a non-solvable proper subgroup H of T such that  $N \cong H$ . Then  $MT(H) = MT(N) = MT(G) = MT(T \times \mathbb{Z}_p)$ . It follows that  $\pi_t(T) \subseteq \pi_t(H)$ . If Problem 1.5 holds, one has H = T, a contradiction. Thus we have N = G.

**Theorem 4.2.** Let  $G \cong PSL_2(p^n)$  and N a subgroup of G, where  $p^n \ge 4$ . If  $\pi_t(G) \subseteq \pi_t(N)$ , then N = G.

*Proof.* (1) Suppose  $G \cong PSL_2(5)$  or  $PSL_2(7)$  or  $PSL_2(9)$  or  $PSL_2(11)$ . It is easy to see that the result holds by [1].

(2) Suppose  $p^n \neq 4, 5, 7, 9, 11$ . By [5, Theorem 5.2.2], one has  $p(G) = p^n + 1$ . If N is solvable. Since  $\pi_t(G) \subseteq \pi_t(N)$  and every maximal subgroup of a solvable group has prime-power index, we have  $G \cong PSL_2(7)$  by Lemma 2.1, a contradiction. Thus N is non-solvable. By [4, Theorem 8.27], one has that N might be isomorphic to one of the following groups:  $A_5, PSL_2(p^m)$  if  $m \mid n$ ,  $PGL_2(p^s)$  if  $2s \mid n$ .

If  $N \cong A_5$ . Then  $\pi_t(G) \subseteq \{5, 6, 10\}$ . It follows that G has at most three same order classes of maximal subgroups. By Lemma 2.3, one has  $G \cong A_5 \cong PSL_2(5)$ , a contradiction.

Thus  $N \cong PSL_2(p^m)$  or  $PGL_2(p^s)$ . If N < G. We have  $p^n + 1 \nmid |PSL_2(p^m)|$ and  $p^n + 1 \nmid |PGL_2(p^s)|$ . However, since  $\pi_t(G) \subseteq \pi_t(N)$ , one has  $p^n + 1 \mid |N|$ , a contradiction. Hence N = G.

**Theorem 4.3.** Let G be a simple  $K_3$ -group or a simple  $K_4$ -group and N a subgroup of G. If  $\pi_t(G) \subseteq \pi_t(N)$ , then N = G.

*Proof.* By [3], Lemma 2.5, [1] and Theorem 4.2, we can easily get that the theorem holds.  $\Box$ 

Note that in Problem 1.5 if we assume that G is a general non-solvable group and N is a subgroup of G satisfying  $\pi_t(G) \subseteq \pi_t(N)$ , we cannot get N = G.

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For example, let  $G = U_3(3) \times N$ , where  $N = S_6(2)$ . It is easy to see that  $\pi_t(G) = \pi_t(N)$  but N < G. However, we have the following three results, see Theorems 4.4, 4.5 and 4.6.

**Theorem 4.4.** Let  $G \cong SL_2(p^n)$  and N a subgroup of G, where  $p^n \ge 4$ . If  $\pi_t(G) \subseteq \pi_t(N)$ , then N = G.

*Proof.* (1) Suppose p = 2. Then  $SL_2(2^n) \cong PSL_2(2^n)$ . By Theorem 4.2, we have N = G.

(2) Suppose  $p^n = 5$ . Then  $\pi_t(G) = \{5, 6, 10\}$ . Since  $\pi_t(G) \subseteq \pi_t(N)$ , one has  $|N| \ge 30$ . Note that  $SL_2(5)$  has no proper subgroup H such that  $|H| \ge 30$ . It follows that N = G.

(3) Suppose  $p^n = 7, 9, 11$ . Arguing as in (2), we can get N = G.

(4) Suppose  $p^n \neq 5, 7, 9, 11$ . By p(M) we denote the smallest index of maximal subgroups of group M. Then  $p(SL_2(p^n)) = p(PSL_2(p^n)) = p^n + 1$ . If N is solvable. Since  $\pi_t(G) \subseteq \pi_t(N)$  and G is non-solvable, we have  $G/S(G) \cong PSL_2(7)$ . It follows that  $G \cong SL_2(7)$ , a contradiction. Thus N is non-solvable.

Note that  $\Phi(G) \cong \mathbb{Z}_2$ . We claim  $\Phi(G) \leq N$ . Otherwise, assume  $\Phi(G) \cap N = 1$ . Then  $N \cong N \Phi(G) / \Phi(G) \leq G / \Phi(G) \cong PSL_2(p^n)$ . Since  $\pi_t(G/\Phi(G)) = \pi_t(G)$ , one has  $\pi_t(G/\Phi(G)) \subseteq \pi_t(N) = \pi_t(N\Phi(G)/\Phi(G))$ . By Theorem 4.2, we have  $N \Phi(G) / \Phi(G) = G / \Phi(G)$ . It follows that  $G = N \Phi(G) = N$ . Then  $\Phi(G) \cap N = \Phi(G) \cap G = \Phi(G) \cong \mathbb{Z}_2 \neq 1$ , a contradiction.

Thus  $\Phi(G) \leq N$ . Since N is non-solvable, one has that  $N/\Phi(G)$  is non-solvable and  $1 < N/\Phi(G) \leq G/\Phi(G) \cong PSL_2(p^n)$ .

If N < G. By [4, Theorem 8.27],  $N/\Phi(G)$  might be isomorphic to  $A_5$  or  $PSL_2(p^m)$  if  $m \mid n$  or  $PGL_2(p^s)$  if  $2s \mid n$ .

If  $N/\Phi(G) \cong A_5$ . Since  $\Phi(G) \cong \mathbb{Z}_2$ , one has  $\pi_t(N) = \{5, 6, 10\}$  or  $\{2, 5, 6, 10\}$ . Note that G has no normal maximal subgroup and  $\pi_t(G) \subseteq \pi_t(N)$ . It follows that  $\pi_t(G) \subseteq \{5, 6, 10\}$ . By Lemma 2.3, one has  $G \cong SL_2(5)$ , a contradiction.

So  $N/\Phi(G) \cong PSL_2(p^m)$  if  $m \mid n$  or  $PGL_2(p^s)$  if  $2s \mid n$ . Then  $|N| = 2|PSL_2(p^m)|$  if  $m \mid n$  or  $2|PGL_2(p^s)|$  if  $2s \mid n$ . Since N < G, one has m < n and s < n. It follows that  $p^n + 1 \nmid 2|PSL_2(p^m)|$  and  $p^n + 1 \nmid 2|PGL_2(p^s)|$ . However,  $\pi_t(G) \subseteq \pi_t(N)$  implies that  $p^n + 1 \mid |N|$ , a contradiction. Hence N = G.

**Theorem 4.5.** Let  $G = T \times \mathbb{Z}_p$  and N a subgroup of G, where  $T \cong PSL_2(7)$ and p is a prime. If  $\pi_t(G) \subseteq \pi_t(N)$ , then one of the following statements holds: (1) N = T or N = G if p = 7;

(2) N = G if  $p \neq 7$ .

*Proof.* (1) Suppose p = 7. Then  $\pi_t(G) = \pi_t(T \times \mathbb{Z}_p) = \{7, 8\}.$ 

First assume  $\mathbb{Z}_p \leq N$ . One has  $N = N \cap (T \times \mathbb{Z}_p) = \mathbb{Z}_p \times (N \cap T)$ . Since  $\pi_t(G) \subseteq \pi_t(N)$  and  $\pi_t(G) = \{7, 8\}$ , we have  $N \cap T = T$  by [1], and it follows that  $T \leq N$ . Thus  $N = T \times \mathbb{Z}_p = G$ .

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Next assume  $\mathbb{Z}_p \nleq N$ . One has  $N \times \mathbb{Z}_p = (N \times \mathbb{Z}_p) \cap (T \times \mathbb{Z}_p) = \mathbb{Z}_p \times ((N \times \mathbb{Z}_p) \cap T)$ . Then  $N \cong (N \times \mathbb{Z}_p) \cap T \leq T$ . Since  $\pi_t(G) \subseteq \pi_t(N)$ , we have  $(N \times \mathbb{Z}_p) \cap T = T$  by [1]. It follows that  $N \cong T$ . We claim N = T. Otherwise, if  $N \neq T$ . One has  $N \cap T < N$ . Since  $N \cap T \leq N$ , it follows that  $N \cap T = 1$ . Note that T is a normal maximal subgroup of G. We have  $N \cdot T = T \times \mathbb{Z}_p$ . Then  $|N||T| = |T||\mathbb{Z}_p|$ , which implies that  $|N| = |\mathbb{Z}_p|$ , a contradiction. Hence N = T.

(2) Suppose  $p \neq 7$ . Arguing as above, we can get N = G.

Arguing as in proof of Theorem 4.5, applying Theorem 4.2 and Theorem 4.4, we have:

**Theorem 4.6.** Let  $G = T \times \mathbb{Z}_q$  and N a subgroup of G, where  $T \cong PSL_2(p^n)$ or  $SL_2(p^n)$ ,  $p^n \ge 4$  and q is a prime. If  $\pi_t(G) \subseteq \pi_t(N)$ , then N = G if and only if  $q \notin \pi_t(T)$ .

Note that if N is a subgroup of a general non-solvable group G satisfying  $\pi_t(G) \subseteq \pi_t(N)$ , we cannot get that N is non-solvable. For example, let  $G = PSL_2(7) \times N$ , where  $N = \mathbb{Z}_2^3 \rtimes \mathbb{Z}_7$ . It is easy to see that  $\pi_t(G) = \pi_t(N) = \{7, 8\}$  but N is solvable. However, we have the following two results:

**Theorem 4.7.** Let  $G = T \times \mathbb{Z}_p$  and N a subgroup of G, where T is a non-abelian simple group and p is a prime. If  $\pi_t(G) \subseteq \pi_t(N)$ , then N is non-solvable.

*Proof.* Assume that N is solvable. Since  $\pi_t(G) \subseteq \pi_t(N)$  and G is non-solvable, one has  $G/S(G) \cong T \cong PSL_2(7)$  by Lemma 2.1. Therefore,  $G \cong PSL_2(7) \times \mathbb{Z}_p$ . It follows that  $N \cong PSL_2(7)$  or  $PSL_2(7) \times \mathbb{Z}_p$  by Theorem 4.5, this contradicts that N is solvable. Hence N is non-solvable.

Recall that a group A is called a B-free group if any quotient group of every subgroup of A is not isomorphic to B. Arguing as in proof of Theorems 4.5 and 4.7, we have:

**Theorem 4.8.** Let  $G = T \times K$  and N a subgroup of G, where T is a non-abelian simple group and K is a  $(\mathbb{Z}_2^3 \rtimes \mathbb{Z}_7)$ -free solvable group. If  $\pi_t(G) \subseteq \pi_t(N)$ , then N is non-solvable.

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(Jiangtao Shi) School of Mathematics and Information Science, Yantai University, Yantai 264005, China.

E-mail address: shijt@pku.org.cn

(Zhengfei Wu) Xingjian College of Science and Liberal Arts, Guangxi University, Nanning 530004, China.

E-mail address: wzh9129@163.com

(Ruchen Hou) School of Mathematics and Information Science, Yantai University, Yantai 264005, China.

E-mail address: hourc@mail.ustc.edu.cn